

Energetic particle physics and optimization methods for stellarators

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The Hangzhou International Stellarator Workshop (HISW2018)

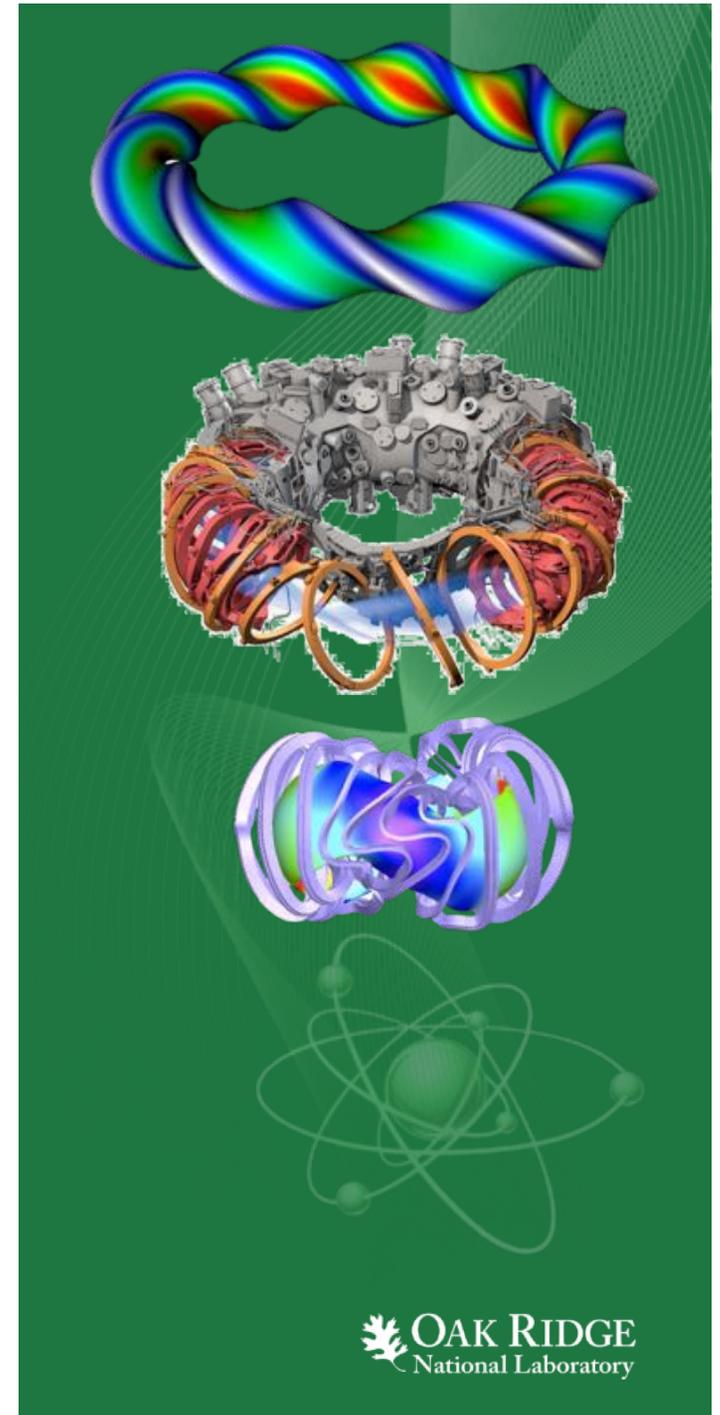
March 26-28, 2018

Zhejiang University

Hangzhou, China

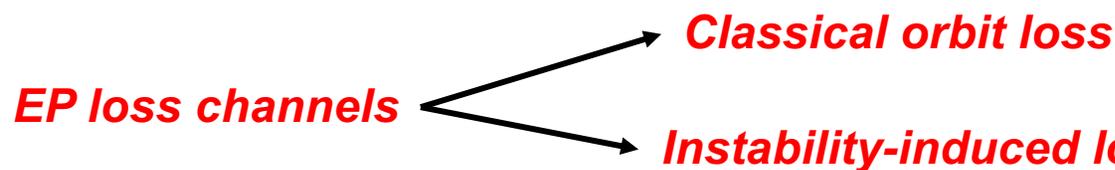
Work supported by U.S. Department of Energy, Office of Science under DE-FC02-04ER54698, DE-AC52-07NA27344, DE-FG02-07ER54917, DE-SC00-16268, and DE-AC05-00OR22725

ORNL is managed by UT-Battelle
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Energetic particle (EP) transport in stellarators

- Energetic particle confinement: remains important question for existing and future 3D systems
 - Heating efficiency, ignition margin, PFC damage
 - Very difficult to achieve good alpha particle confinement for stellarator reactors – not at tokamak levels yet
- EP confinement improvement examples
 - LHD inward shifted discharges
 - J-optimization, QO systems
 - Large aspect ratio IPP designs
 - NCSX design and ARIES-CS study
- Should consider both classical and turbulent losses

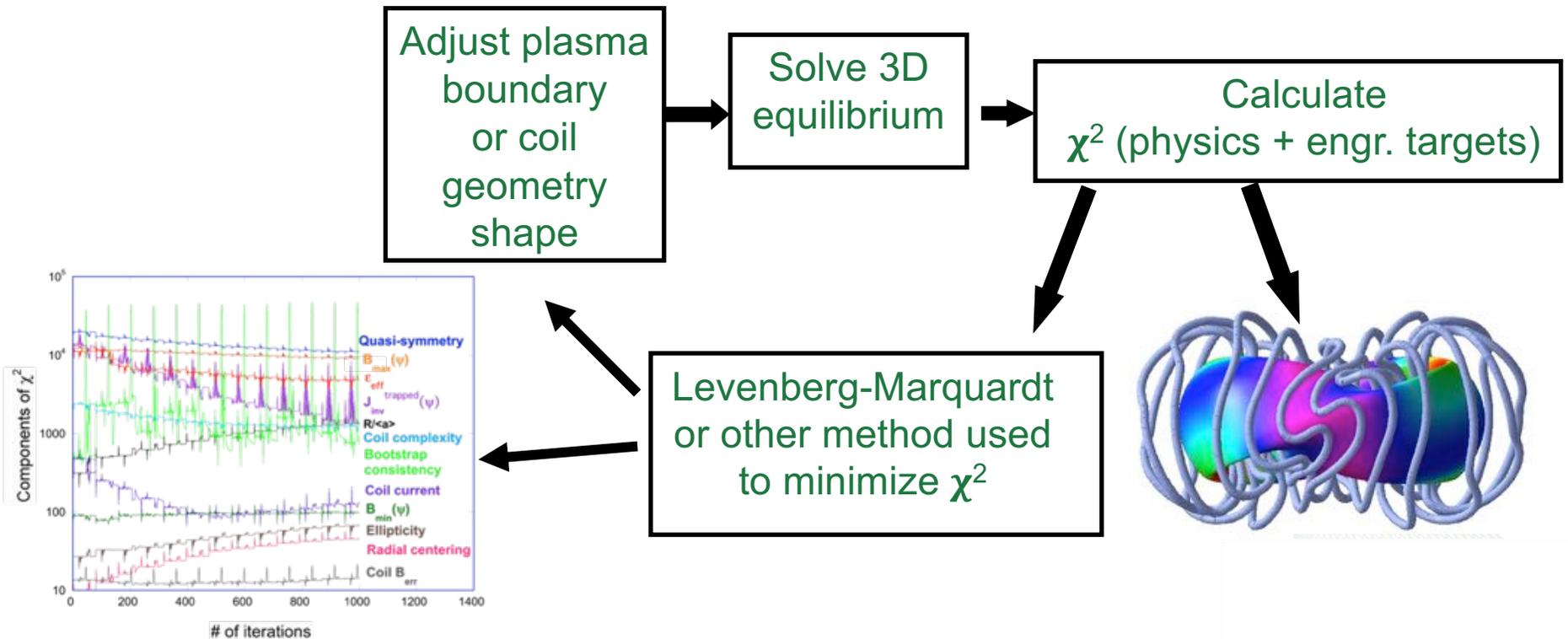


Outline

- Introduction
 - Stellarator optimization
 - Confinement optimization targets
- Energetic particle confinement
- Energetic particle stability

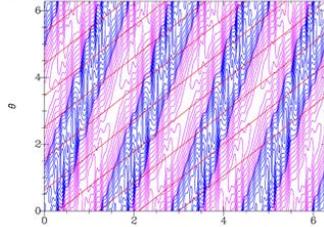
Synthesis of 3D configurations

- Coils \rightarrow outer magnetic surface shape \rightarrow physics properties
- 3D shapes open up very large design space: effectively ~ 40 independent parameters (A. Boozer, L. P Ku, 2010) based on SVD analysis
- Axisymmetric tokamak shape parameters: $R_0/a, \kappa, \delta$
- Thought experiment: quantize shape parameters into 10 levels
 - 10^3 2D configurations vs. 10^{40} 3D configurations \Rightarrow “combinatorial explosion”
- STELLOPT:

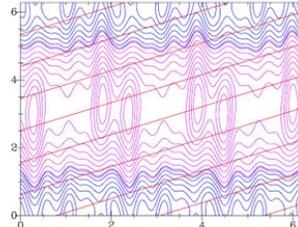


Orbit confinement has been a dominant factor in stellarator optimization

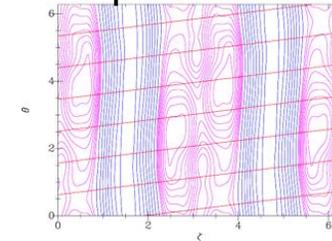
Closed helical contours



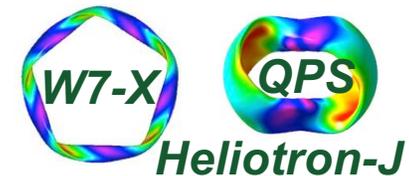
Closed toroidal contours



Closed poloidal contours

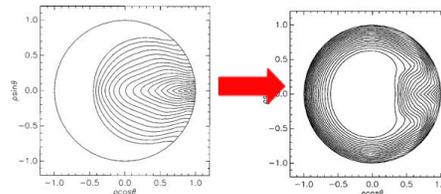


Examples:



Heliotron-J

- Quasi-symmetry $B = B(\psi, M\Theta - N\zeta)$ Nührenberg (1988)
 - Ideal (but unachieved) goal $\xrightarrow{\quad}$ $\frac{(B \times \nabla \psi) \cdot \nabla B}{\vec{B} \cdot \nabla B} = F(\psi)$ Helander (2014)
 - Quasi-helical (M,N integers), quasi-toroidal (N=0), quasi-poloidal (M=0)
- Quasi-omnigeneity can further improve closed contour configurations
 - $J = \oint v_{\parallel} dl = J(\psi)$
 - Constant $|B|$ contour spacing
Cary, Shasharina (1997)
- B_{\min} and B_{\max} contours
 - Min/max along field line make const. on flux surface
 - Deeply trapped: $B_{\min}(\psi)$, Transitional: $B_{\max}(\psi)$

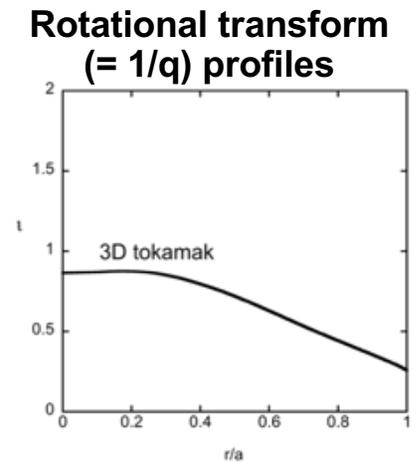
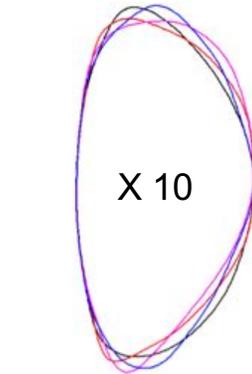
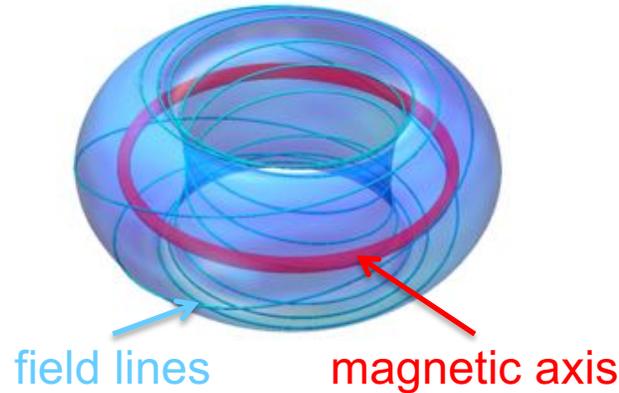


*D. Spong, S. Hirshman, et al.
(first use of STELLOPT, 1998)*

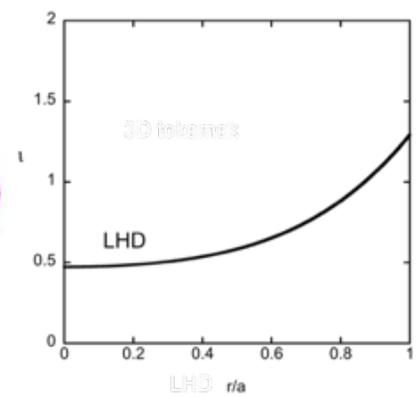
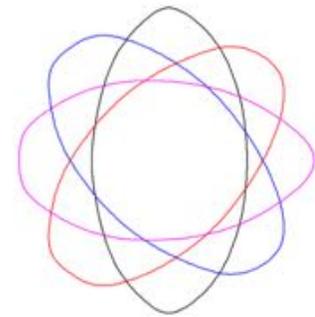
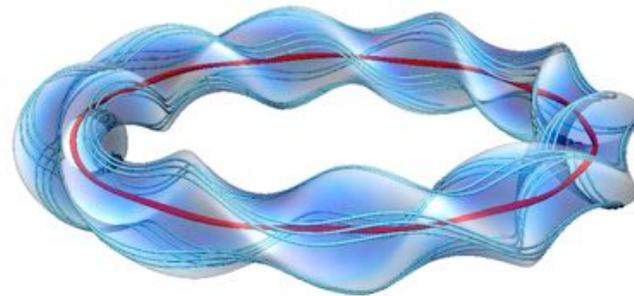
3 methods for creating rotational transform

(L. Spitzer, 1951; C. Mercier, 1964)

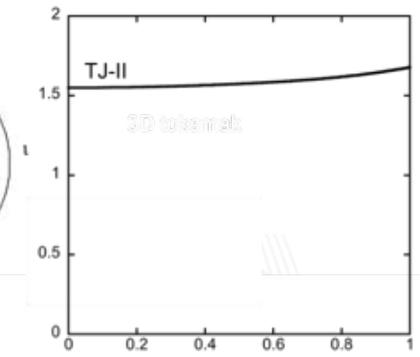
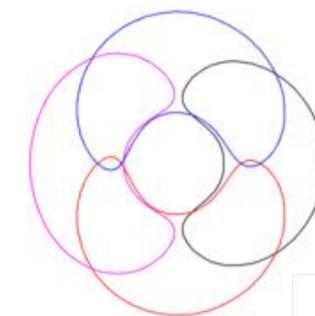
- Plasma current (3D tokamak)



- Planar axis, rotating cross-section (LHD, ATF)



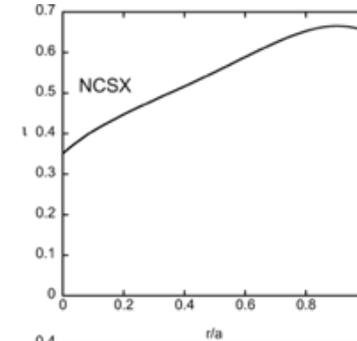
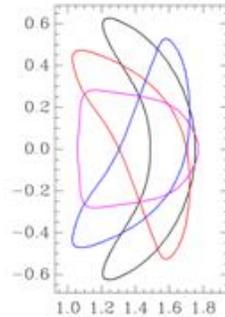
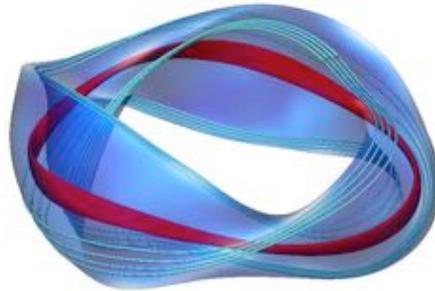
- Helical magnetic axis (TJ-II, HSX, W7-X)



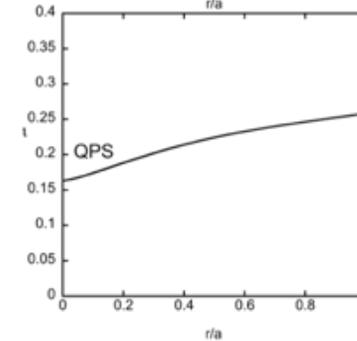
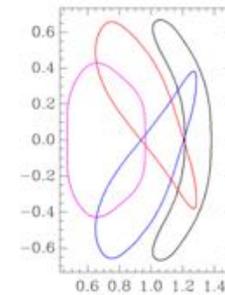
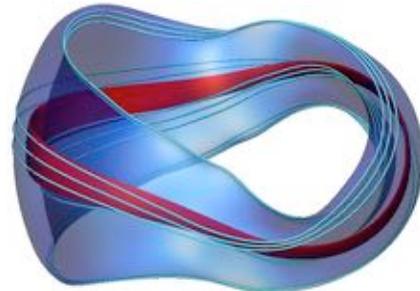
Configuration scaling: $i / N_{fp} = \text{const.}$, $\langle R \rangle / \langle a \rangle / N_{fp} = \text{const.}$, N_{fp} = field periods r/a

• Stellarator/tokamak hybrids

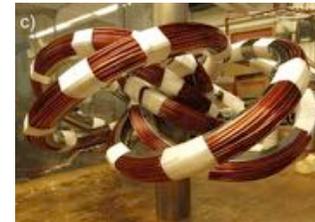
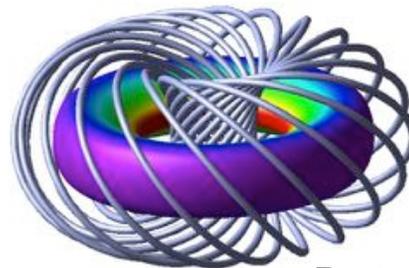
– NCSX



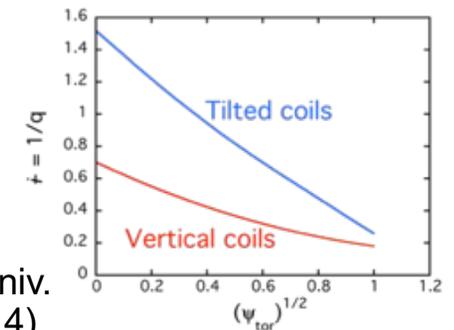
– QPS



– Tilted coils transform amplifier

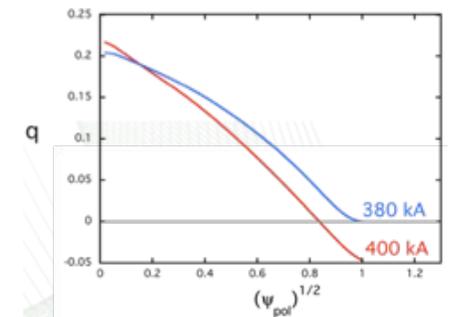
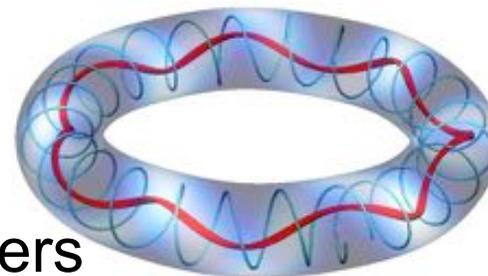


Proto-CIRCUS - Columbia Univ.
A. Clark, F. Volpe, et al. (2014)



• Reverse field pinches

- single helicity states
- sustainment, transport barriers



Outline

- Introduction
- **Energetic particle confinement**
 - Orbit equations and types
 - Adiabatic invariants/reduced models
 - Optimization targets
 - Configuration categorization based on closed $|B|$ contours
- Energetic particle stability

Particle Orbits in 3D fields

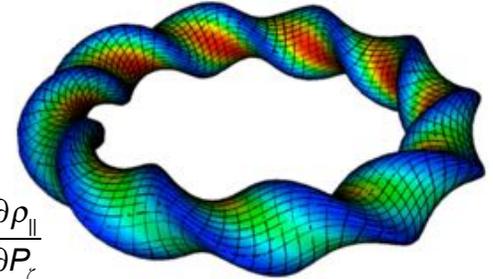
- **Guiding-center**

- Canonical coordinates (A. Boozer, R. White, 1981)

- Only involves |B| and currents in straight field line coordinates

$$\frac{d\psi}{dt} = \frac{1}{D} \left(l \frac{\partial B}{\partial \zeta} - g \frac{\partial B}{\partial \theta} \right) \left(\mu + \frac{mv_{\parallel}^2}{B} \right); \quad \frac{d\rho_{\parallel}}{dt} = \frac{+ - \rho_{\parallel} g'}{D} \dot{\rho}_{\theta} + \frac{\rho_{\parallel} l'}{D} \dot{\rho}_{\zeta}$$

$$\frac{d\theta}{dt} = \left[\left(\mu + \frac{mv_{\parallel}^2}{B} \right) \frac{\partial B}{\partial \psi} + e \frac{\partial \Phi}{\partial \psi} \right] \frac{\partial \psi}{\partial P_{\theta}} + e B v_{\parallel} \frac{\partial \rho_{\parallel}}{\partial P_{\theta}}; \quad \frac{d\zeta}{dt} = \left[\left(\mu + \frac{mv_{\parallel}^2}{B} \right) \frac{\partial B}{\partial \psi} + e \frac{\partial \Phi}{\partial \psi} \right] \frac{\partial \psi}{\partial P_{\zeta}} + e B v_{\parallel} \frac{\partial \rho_{\parallel}}{\partial P_{\zeta}}$$



- Non-canonical coordinates (Littlejohn, Cary 1979-83)

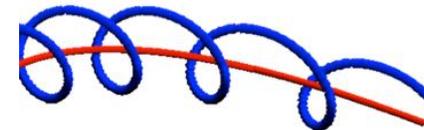
- Lie-transform perturbation methods, variational action integral

- Coordinate-free $\frac{d\vec{R}}{dt} = \frac{1}{B_{\parallel}^*} \left(v_{\parallel} \vec{B}^* + \vec{E}^* \times \hat{b} + \frac{\mu \hat{b} \times \vec{\nabla} B}{Ze} \right); \quad \frac{dv_{\parallel}}{dt} = Ze (\vec{E}^* + \vec{R} \times \vec{B}^*) \cdot \mu \vec{\nabla} B$

$$\vec{B}^* = \vec{B} + \frac{mv_{\parallel}}{Ze} \vec{\nabla} \times \hat{b}; \quad \vec{E}^* = \vec{E} - \frac{mv_{\parallel}}{Ze} \frac{\partial \hat{b}}{\partial t}$$

- Adiabatic invariants: $\mu = mv_{\perp}^2/2B$, $J = \oint mv_{\parallel}$, $\vec{v}_d = \vec{\nabla} J \times \hat{b}/Ze$

- **Lorentz equation:** $\frac{d\vec{v}}{dt} = \frac{Ze}{m} (\vec{E} + \vec{v} \times \vec{B})$ **G.C.**

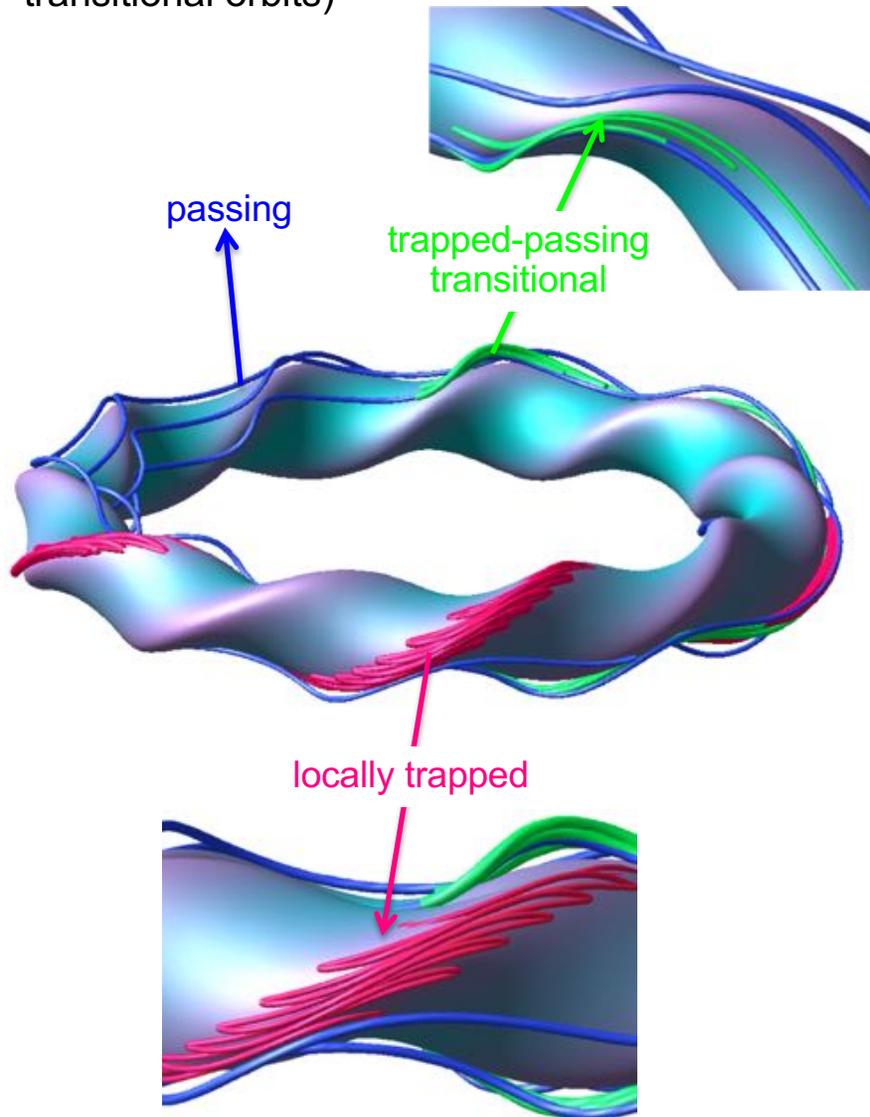


Issues for simulations: energy conservation, Liouville's theorem (conservation of phase space volume carried with particle), intersections of fast ion with walls, PFCs

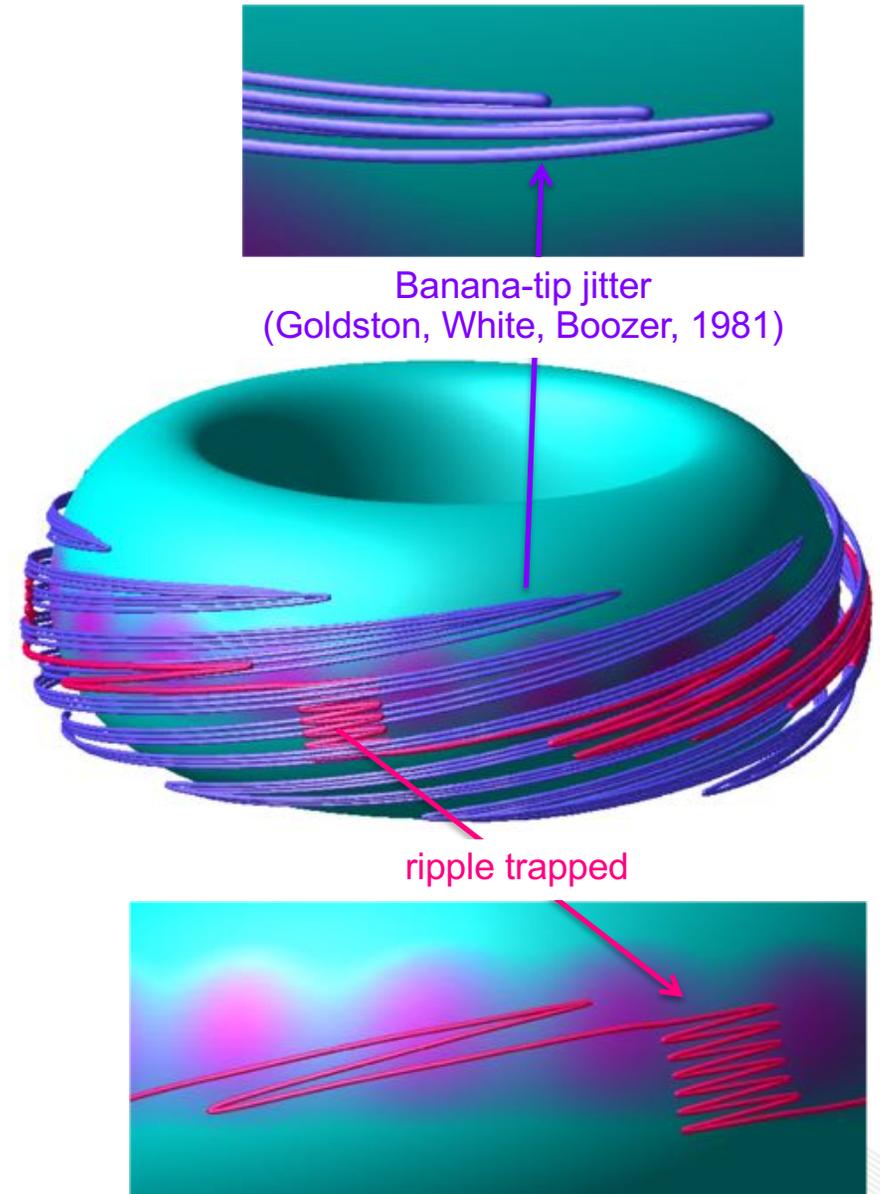
$$\frac{1}{\sqrt{g}} \frac{\partial}{\partial z^i} \left(\sqrt{g} \frac{\partial z^i}{\partial \tau} \right) = 0$$

Particle trajectories in 3D configurations: many new classes of orbits

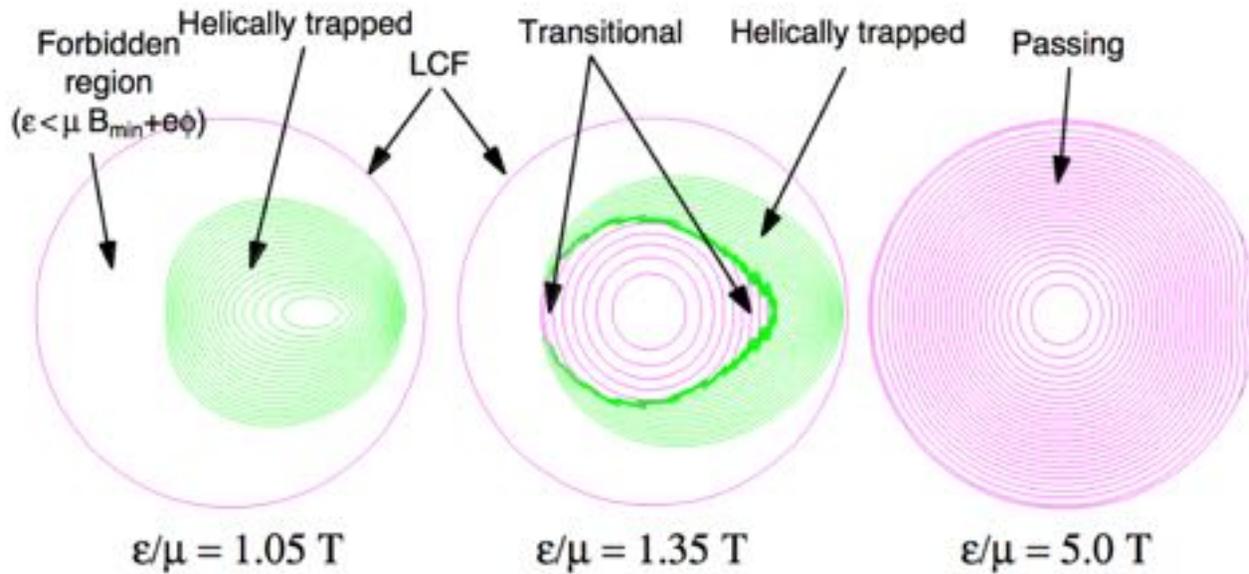
Stellarator (E_r added for confinement of trapped and transitional orbits)



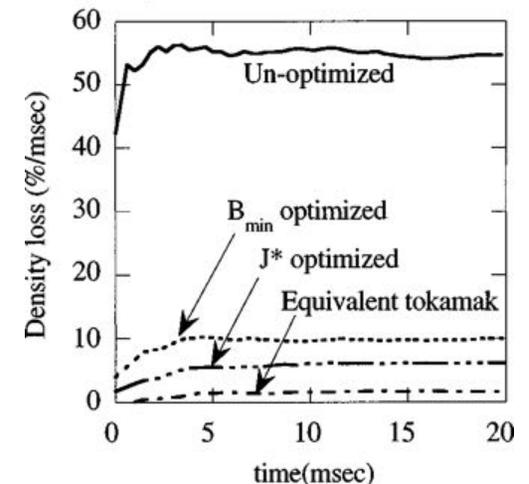
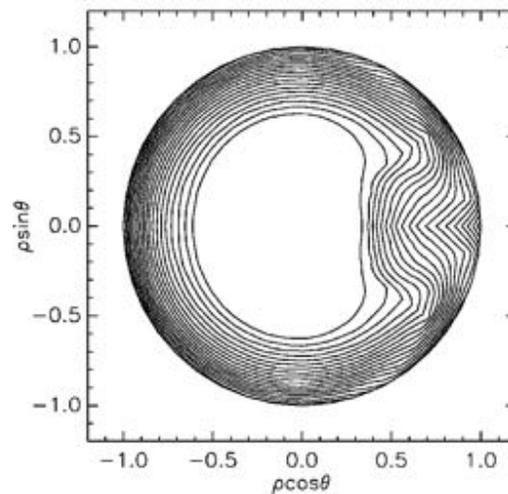
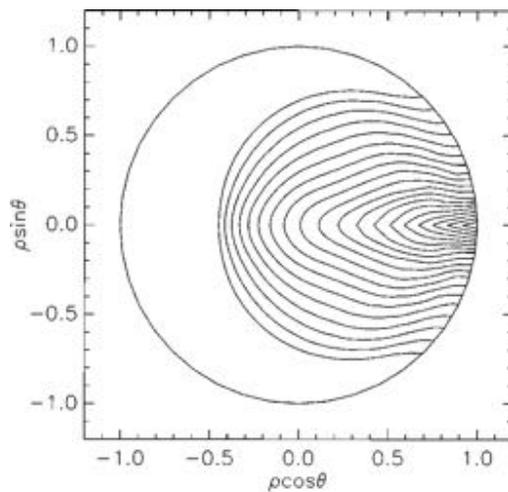
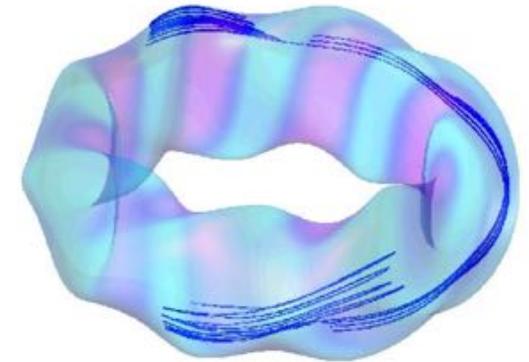
Tokamak with ripple and TBM



J optimization – simplest to apply to ≥ 5 field period QO systems, but should be extendable to other configurations (QH, QA)



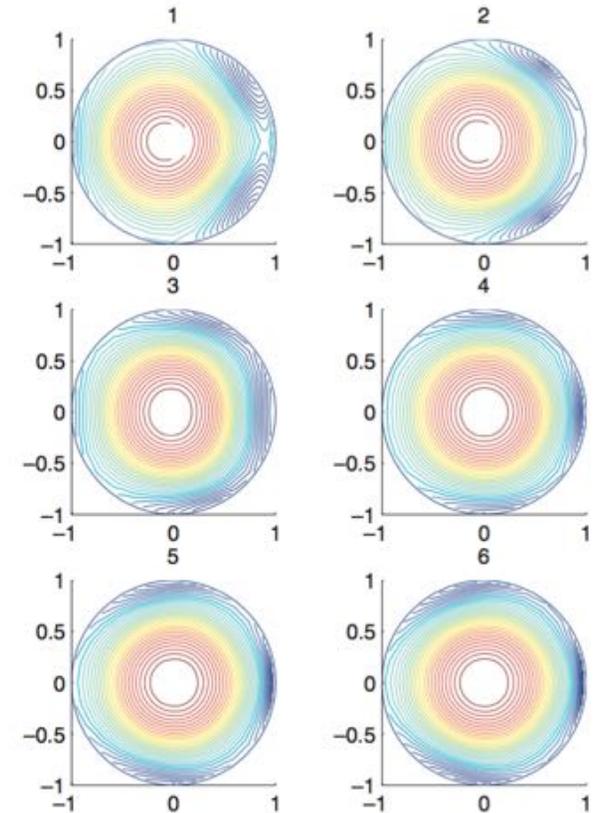
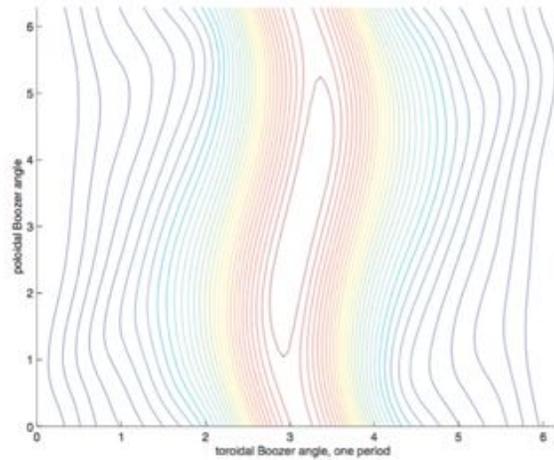
$$J = \oint \frac{dl}{v_{||}}$$



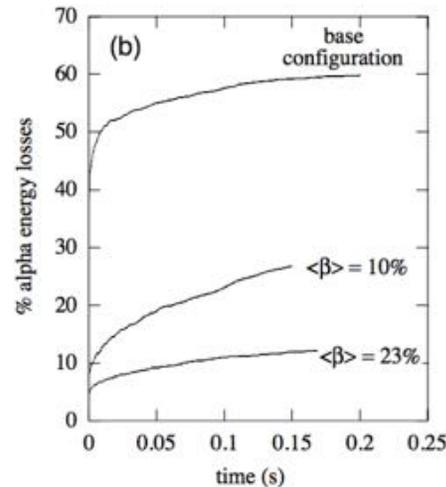
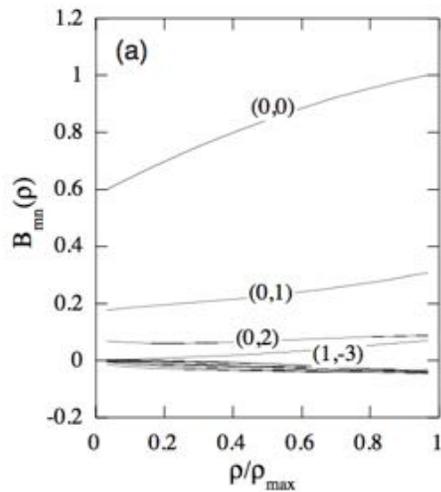
D. Spong, et al., POP (1998)

J optimization (contd.)

Large aspect ratio QO configuration
 [Mikhailov, Shafranov, Nührenberg, et al, Nuclear Fusion 2002]



Finite β effects on J contour centering [A. Ware, S. Hirshman, et al., PRL 2002]



Also, significant improvements in J seen for W7-X at $\beta \sim 5\%$

EP confinement improvements

- Bounce/velocity-averaged radial drift parameter (analogous to NEO ϵ_{eff} for low v transport)

$$\Gamma_w = \frac{\pi R_0^2}{\sqrt{2}} \lim_{L_s \rightarrow \infty} \left(\int_0^{L_s} \frac{ds}{B} \right)^{-1} \frac{1}{\langle |\nabla \psi| \rangle^2} \quad \text{For } B \approx B_0 [1 + \epsilon_h \cos(m\theta - n\varphi)]$$

$$\times \int_{B_{\min}^{\text{abs}}/B_0}^{B_{\max}^{\text{abs}}/B_0} db' \sum_{j=1}^{j_{\max}} \left(\frac{\partial g_j}{\partial b'} \right)^2 \left(\frac{\partial \hat{I}_j}{\partial b'} \right)^{-1} \quad \Gamma_w^{(\text{conv})} = \sqrt{\epsilon_h}$$

V. Nemov, S. Kasilov, W. Kernbichler, G. Leithold, POP 2005

- Monte Carlo

- Mynick, Boozer, Ku (POP, 2006) find NCSX fast ion confinement improved by adding mirror term: local well near magnetic peak vs. in magnetic slope region
- Reactor size NCSX α losses reduced from 27% to $< 10\%$
- Particle noise may favor genetic or differential evolution optimization methods

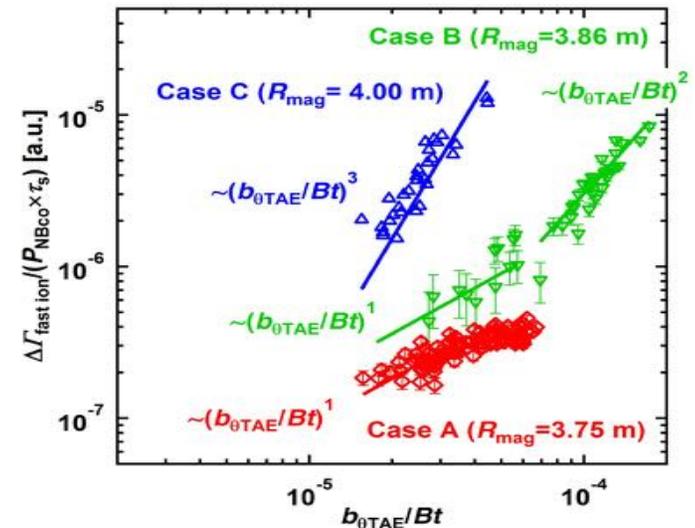
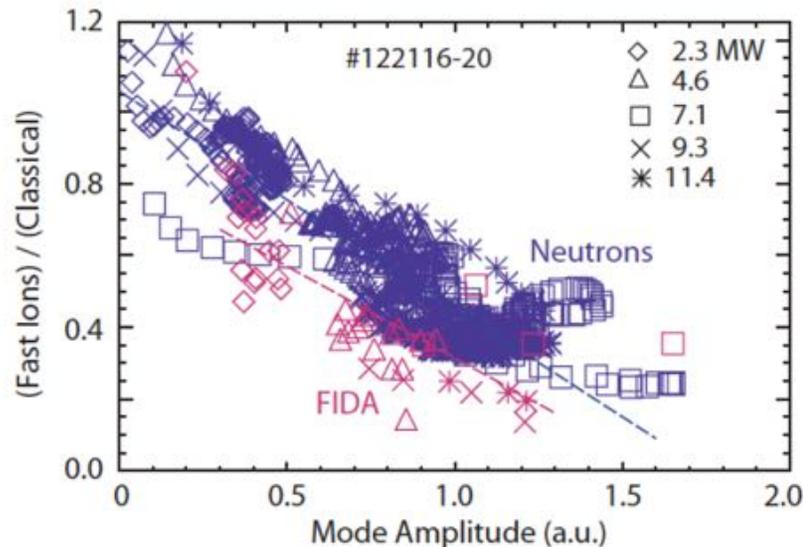


Outline

- Introduction
- Energetic particle confinement
- **Energetic particle stability**
 - EP turbulence impacts confinement
 - Categories of EP instability
 - Alfvén instability basics: gap structure, eigenmodes
 - Gyrokinetic models – full/reduced
 - Gyrofluid models – application to LHD and TJ-II
 - Methods for controlling EP instabilities

Fast ion instabilities and confinement

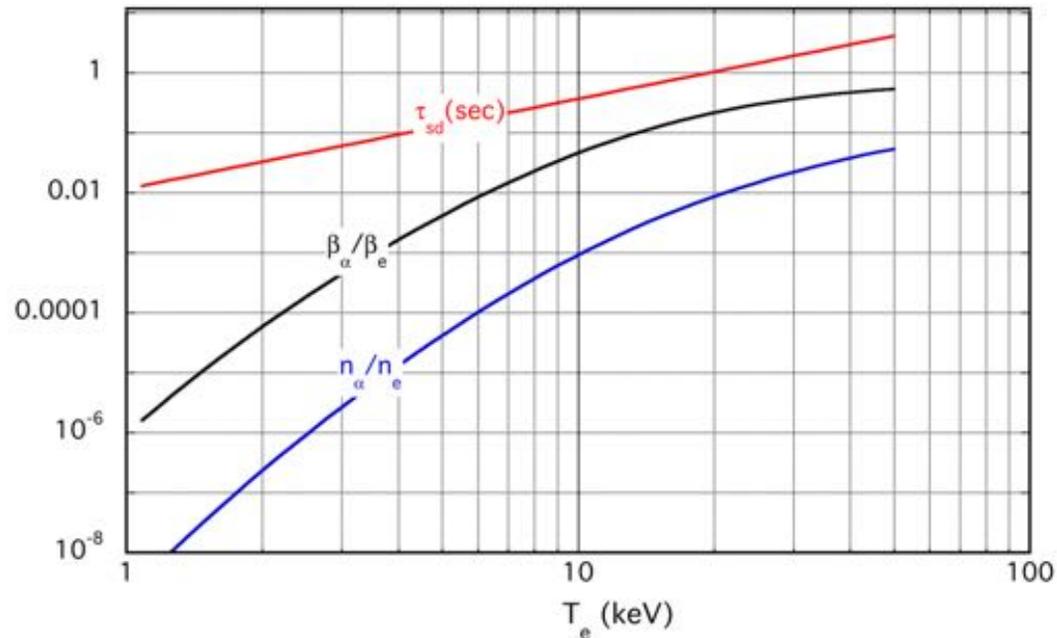
- Tokamaks show 40-60% of fast ion energy can be lost when EP instabilities are present [DIII-D, W. Heidbrink, Nuclear Fusion **48** (2008)]



Loss scaling in LHD – K. Ogawa

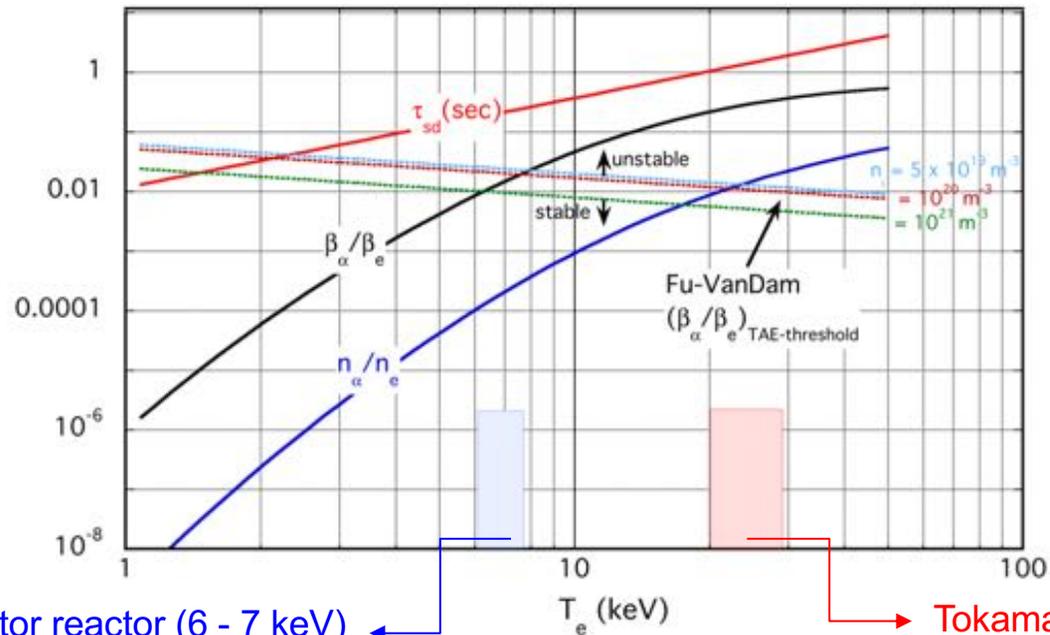
- Stellarator options for mitigation
 - High density operation
 - ECH suppression (as in tokamaks, M. Van Zeeland, et al.)
 - ECCD => modify iota (Heliotron-J)
 - Direct optimization of Alfvén gap structures

Stellarator reactors at high density sample a different EP regime than tokamaks



- At steady-state $\frac{n_\alpha}{\tau_{s\alpha}} = \frac{n_e^2}{4} \langle \sigma_f v \rangle$ D. Sigmar, et al. Phys. Scripta 1987
- Since $\tau_{s\alpha} \propto T_e^{3/2} / n_e$, $\frac{n_\alpha}{n_e}$ depends only on T_e (for $T_e = T_i$)

Stellarator reactors at high density sample a different EP regime than tokamaks



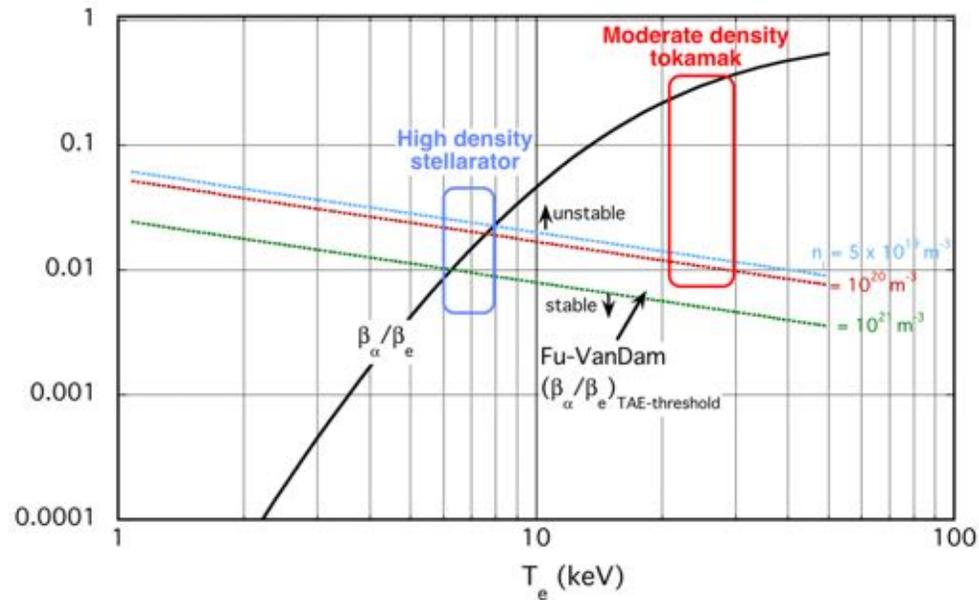
- AE stability limits also scale with T_e , (with a weak density dependence), e.g., Fu-Van Dam (1989)

$$\beta_\alpha \left(\frac{\omega_{*a}}{\omega} - \frac{1}{2} \right) F > \beta_e \frac{V_A}{V_\alpha} \quad \text{where } F = x(1 + 2x^2 + 2x^4)e^{-x^2} \quad x = \frac{V_A}{V_\alpha}$$

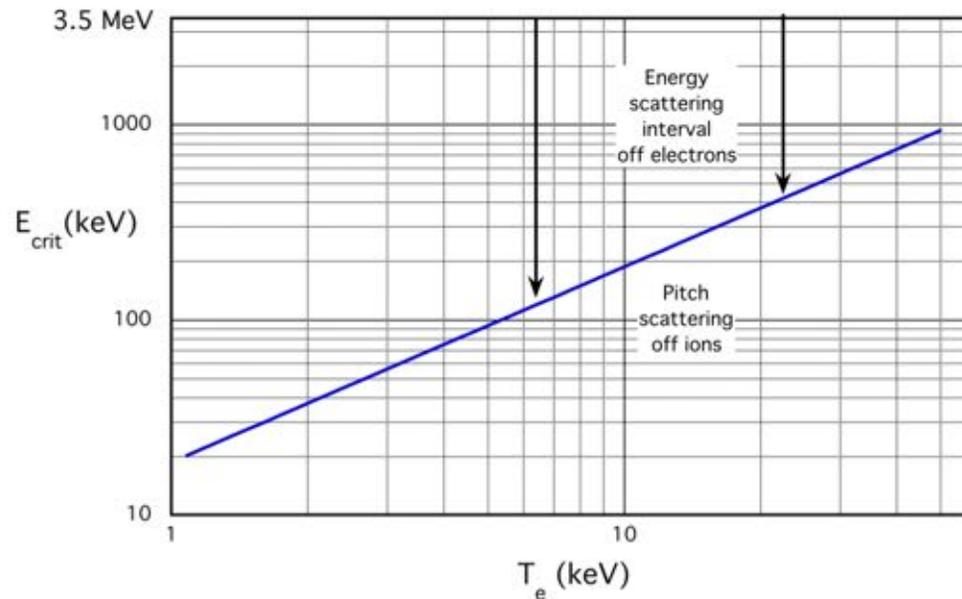
- N. Ohya, et al., PRL 2006 consider low temperature, high density reactor with $T_{e,i} = 6$ to 7 keV
 - But thermal stability feedback loop: rising $T \Rightarrow$ more alpha heating

Stellarator reactors at high density sample a different EP regime than tokamaks

High density/low T reactor may be able to stay on stable side of AE's



High density increases energy scattering range/minimizes lossy pitch angle scattering range



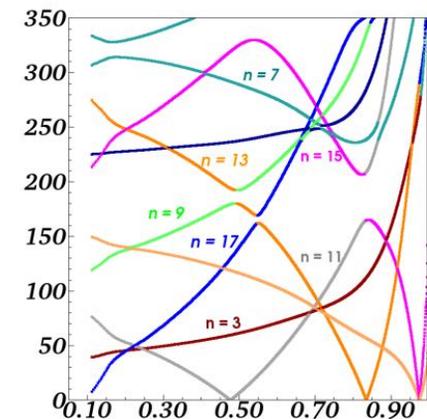
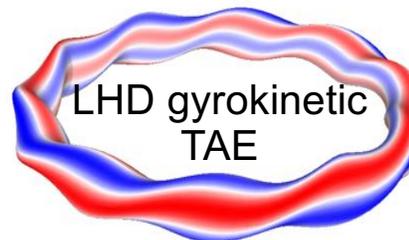
Categories of EP-driven instability

- **Thermal plasma-driven MHD instabilities that are augmented or suppressed by energetic particles**
 - EIC mode, fishbones, sawteeth, infernal modes
- **Stable MHD waves that are destabilized by EP**
 - ★AE modes (★ = T, G, H, M, C, RS, B, BA, ...)
 - Can be classified as gap (mode coupled) or extremal modes
- **Some possible good effects**
 - Channeling: direct transfer of EP energy to core ions
 - Beta-induced Alfvén and acoustic Alfvén modes (BAE, BAAE)
 - Alpha ash removal
- But, since highest energy EP component can be rapidly transported, deleterious for PFC's and power balance

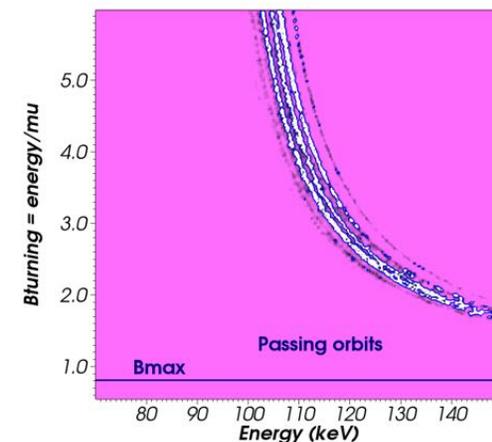
A growing collection of models/codes are available for AE's in 3D systems

- Alfvén continua and gaps
 - CAS3D, **STELLGAP***
- Stable Alfvén modes
 - CAS3D, **AE3D***
- Perturbative stability models
 - CAS3D-K, AE3D-K, CKA-EUTERPE
- AE resonance mapping
- Hybrid fluid particle models
 - MEGA, M3D-K
- Gyrofluid: FAR3D
- Gyrokinetic: GTC, GENE, GS2, EUTERPE

*Available on GITHUB



TJ-II continuum gaps

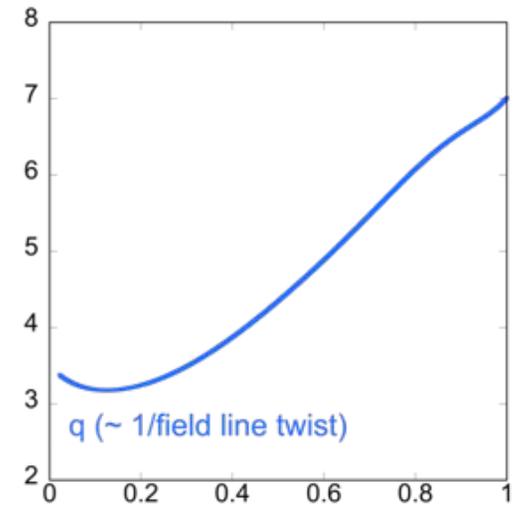


LHD particle-AE resonance map

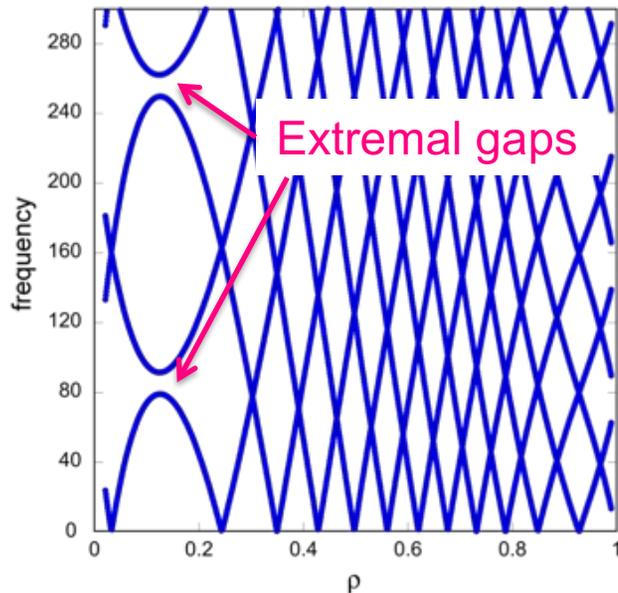
Open/closed Alfvén gaps: show which modes are viable for destabilization

Effective inertia along magnetic field line $\sim n_{ion} m_{ion} / B^2$

Bending energy $\sim k_{\parallel}^2 = \frac{1}{qR} (nq - m)^2 \quad n, m = \text{integers}$

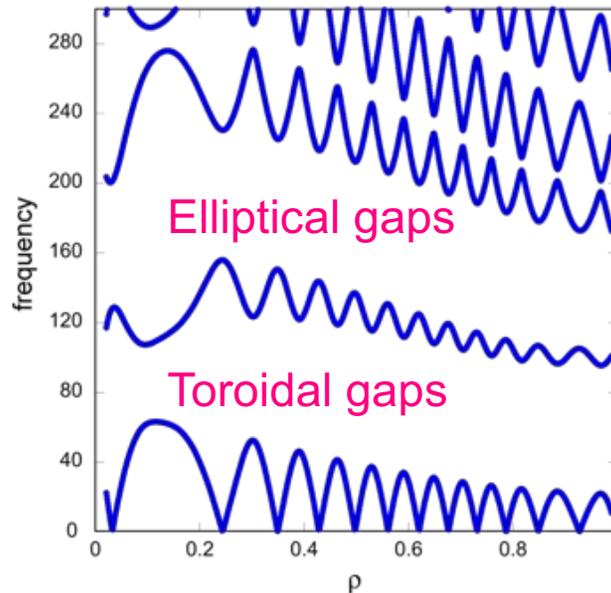


Cylindrical $n = 3$ Alfvén continua: $\omega^2 = k_{\parallel}^2 v_A^2$



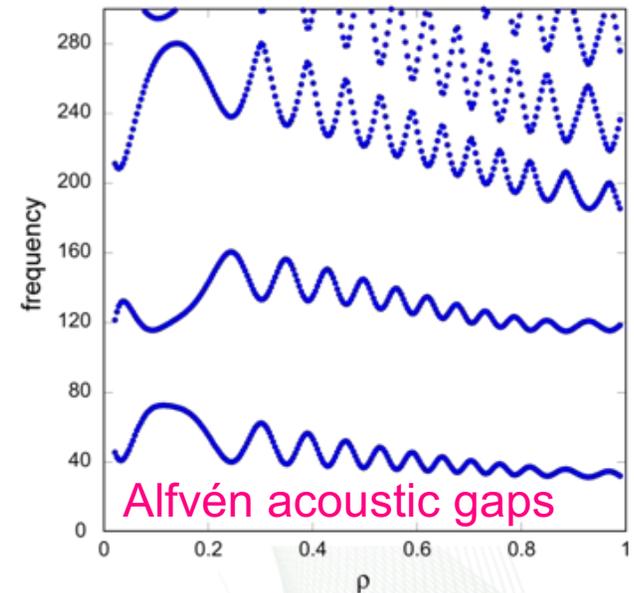
$$\frac{\partial k_{\parallel} v_A}{\partial r} = 0; k_{\parallel, m} \neq -k_{\parallel, m+1}$$

Toroidal $n = 3$ Alfvén continua



$$k_{\parallel, m} = -k_{\parallel, m \pm 1}$$

Toroidal $n = 3$ Alfvén-acoustic continua

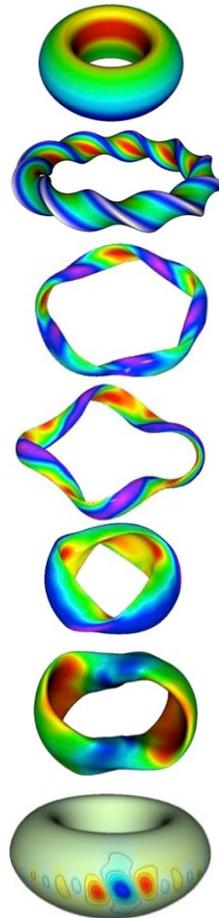


$$k_{\parallel, m} v_A = -k_{\parallel, m'} C_S$$

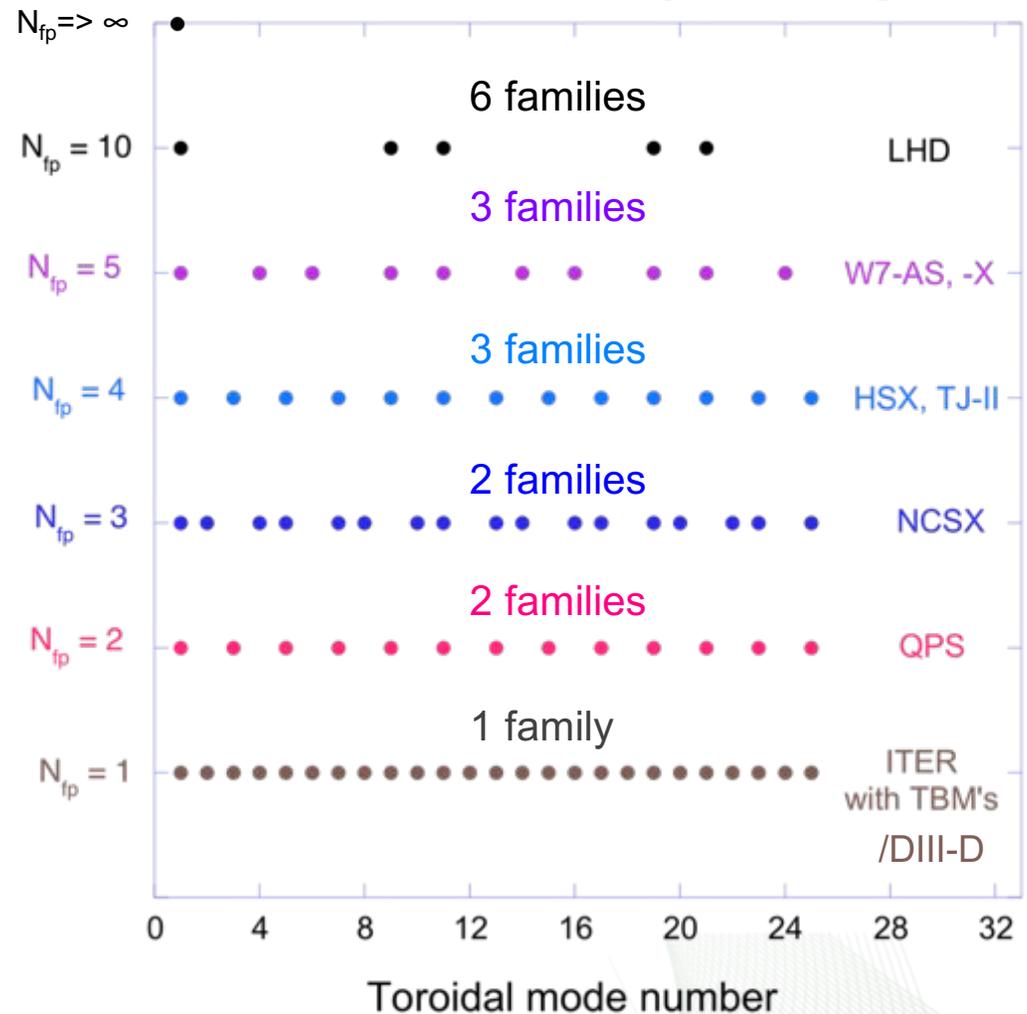
Toroidal mode number (n) is not a good quantum number for 3D configurations

- Field period symmetry: N_{fp} replicated elements
- Toroidal coupling => mode families, rather than single toroidal modes:

$$n' \pm n = kN_{fp}, k = 0, 1, 2, \dots$$
- Finite number of families:
 - $1 + N_{fp}/2$ for even N_{fp} and
 - $(N_{fp}-1)/2 + 1$ for odd N_{fp}
- Computational difficulty
 - High N_{fp} easiest
 - Low N_{fp} hardest



n = ±1 mode family couplings



Gyrokinetic models for 3D configurations

- **Reduced vs. complete models**
 - Perturbative linear wave-particle energy transfer model
 - Global δf PIC or continuum models
 - GEM: flux tube approach generalized to flux surface – each field line different in 3D
 - Global: GTC, EUTERPE
- **Kinetic ions, fast ions: full GC orbits followed**
 - charges, currents allocated over local gyro radius template for field solve
 - Maxwellian, slowing-down distribution options
- **Several options for electron and field models**
 - Adiabatic, Fluid/hybrid, Fully kinetic
 - Electrostatic (ITG), electromagnetic (Alfvén instability)

Linear gyrokinetic wave-particle energy transfer method:

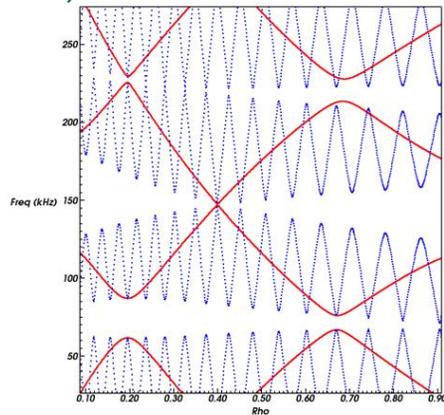
$$\gamma \propto \frac{\int \overline{\delta J}_{particles} \cdot \vec{E}}{Wave\ energy}$$

D. Spong, et al., Contr. to Plasma Physics **50** (2010) 708.

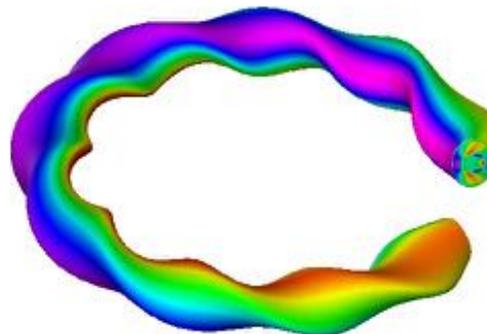
A. Mischenko, et al., NF (2014).

- Used in AE3D-K, EUTERPE, and VENUS codes

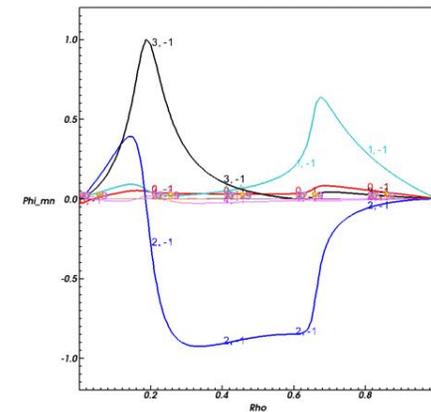
$n = 1, -9$ continuum structure



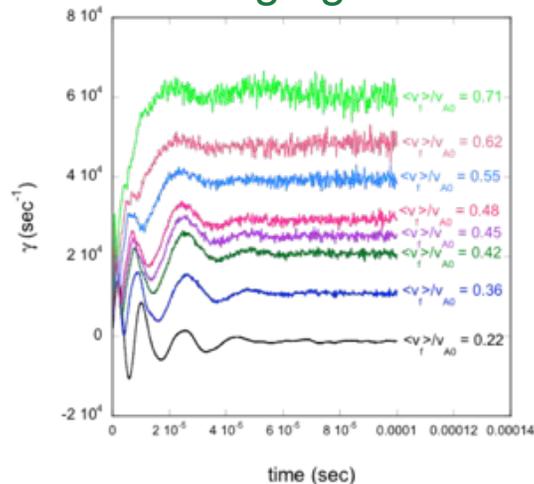
LHD TAE mode
 $B = 3.1\text{T}, n_{fast}(0) = 1.2 \times 10^{18}\text{ m}^{-3}$



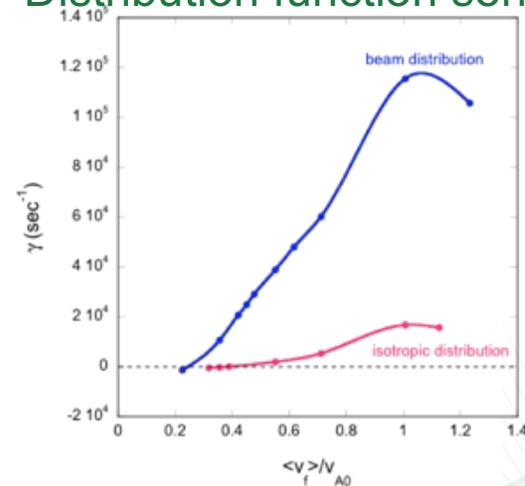
Stable AE mode structure



Time-average growth rates

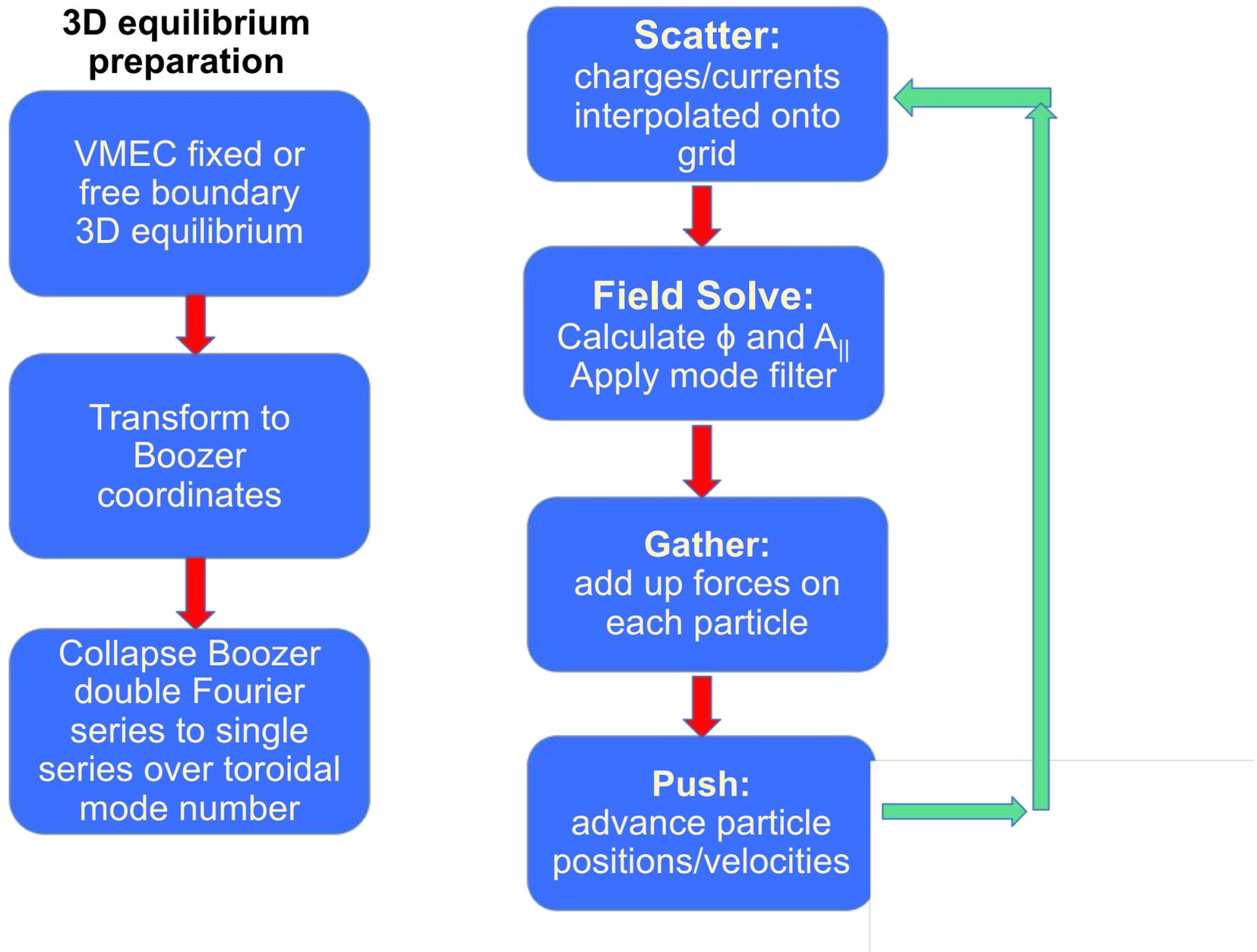


Distribution function sensitivity



Gyrokinetic modeling of 3D systems

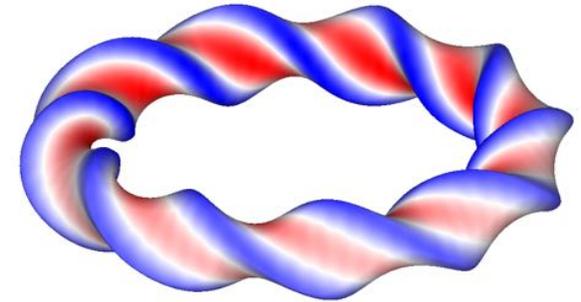
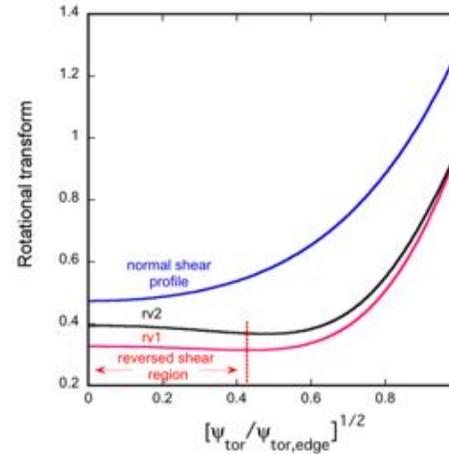
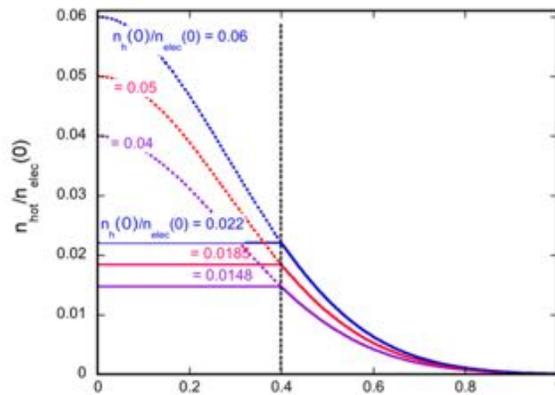
GTC/gyrokinetic time evolution



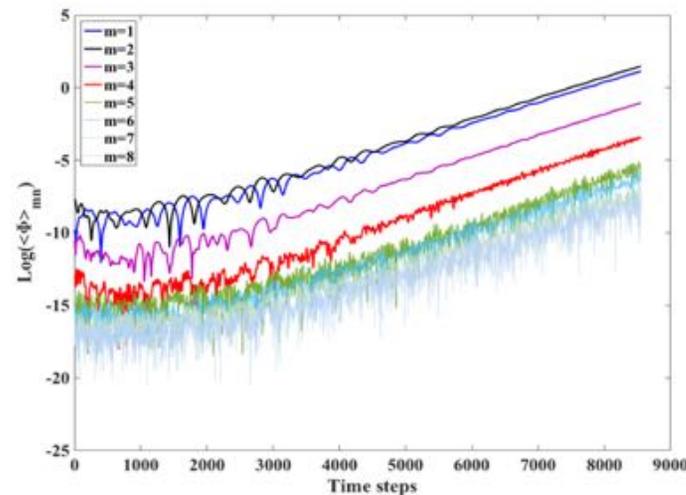
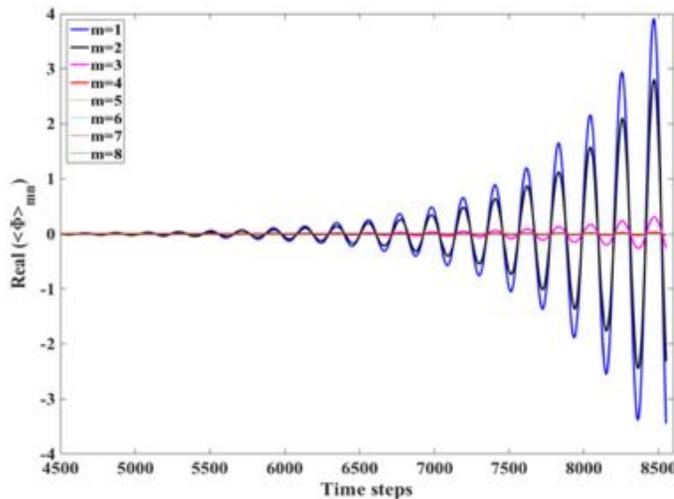
GTC modeling of AE instabilities in LHD

D. Spong, I. Holod, Y. Todo, M. Osakabe, Nuclear Fusion (2017)

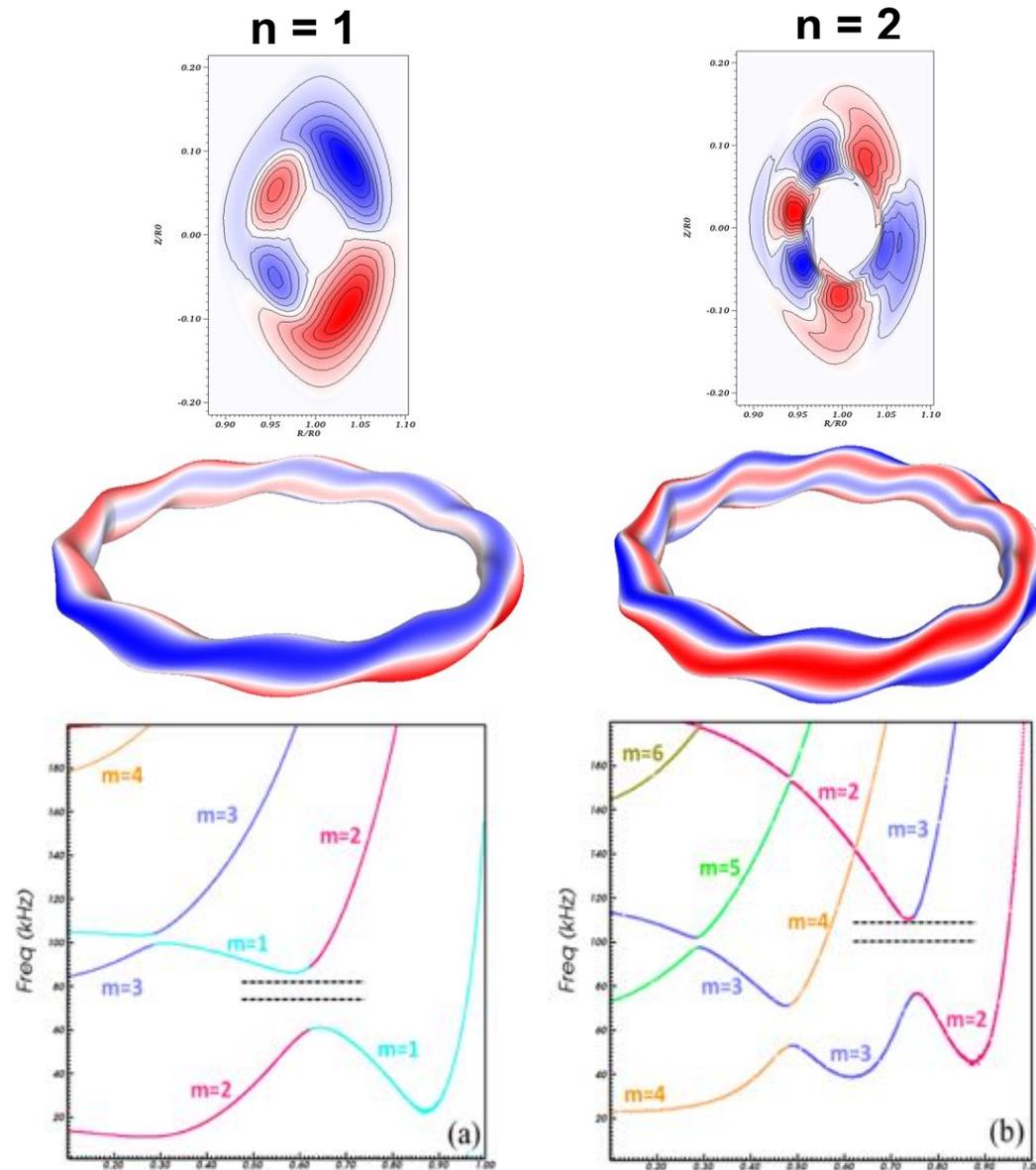
- Equilibrium and profiles



- Typical Alfvén instability evolution

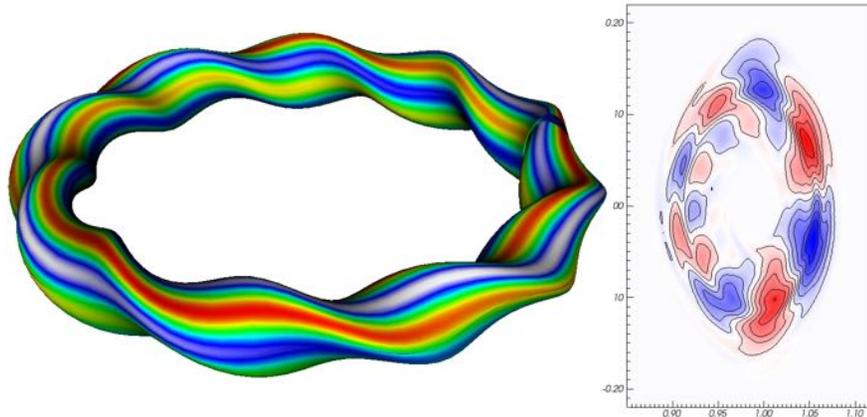


GTC finds linear EP-driven modes with frequencies aligned with the primary TAE continuum gaps

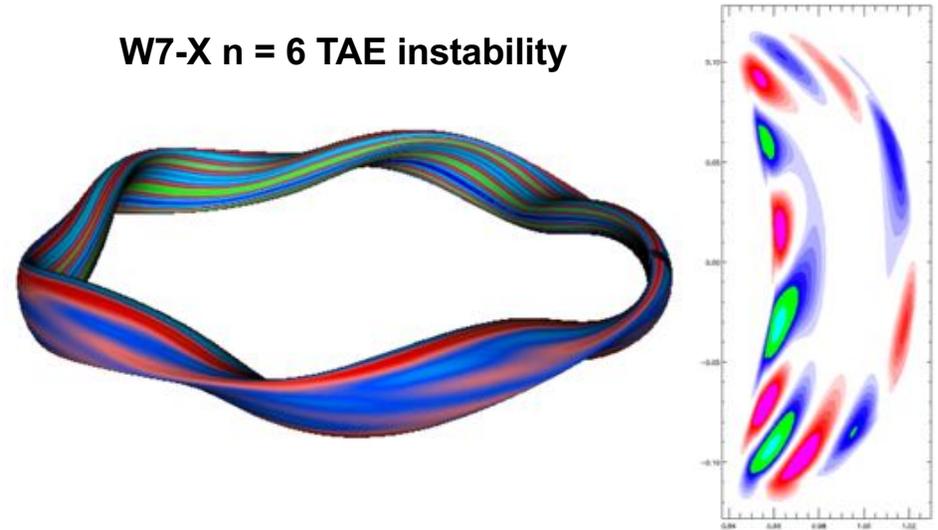


The GTC model has been applied linearly and nonlinearly for several different 3D configurations (2015 ISHW)

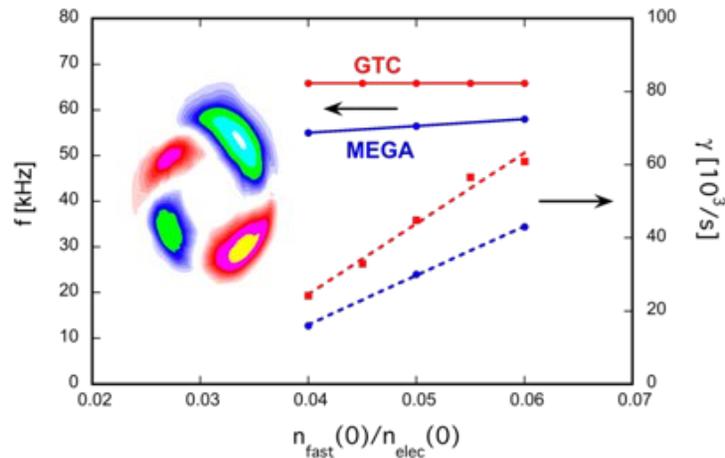
LHD n = 3 BAE instability



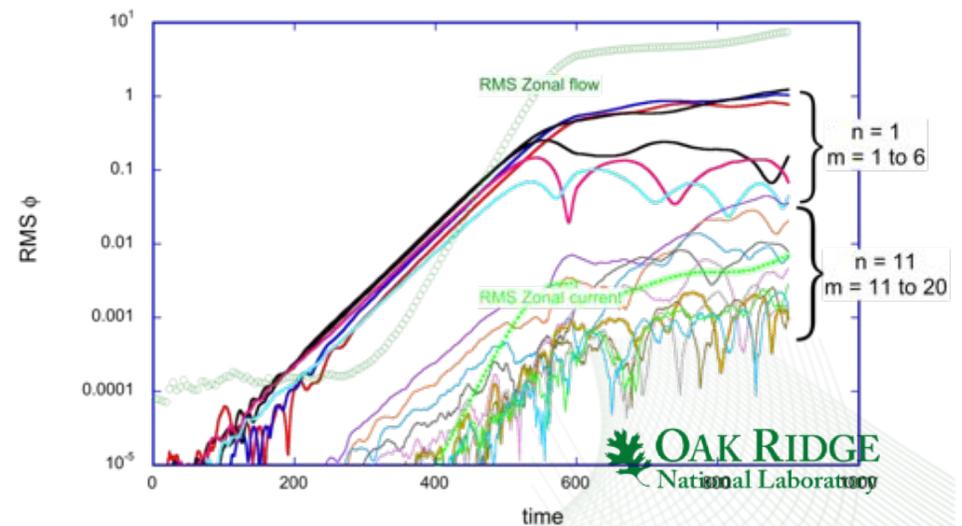
W7-X n = 6 TAE instability



2015 JIFT exchange collaboration with Y. Todo – comparison with MEGA



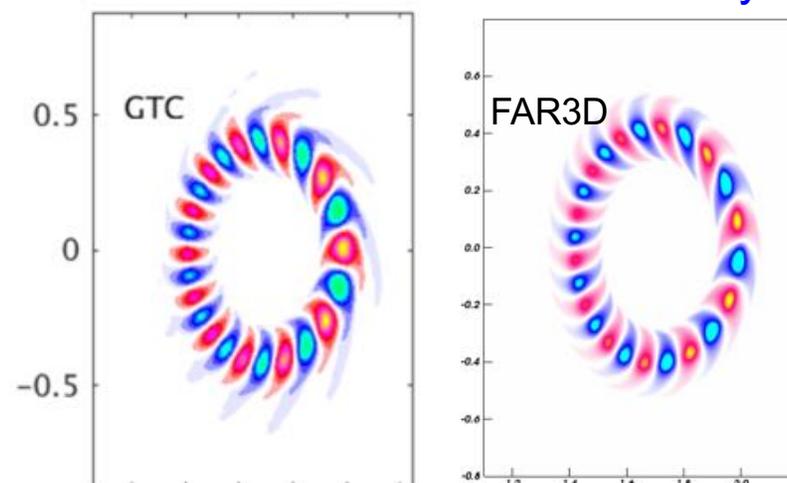
Nonlinear n = 1 evolution in LHD



Efficient reduced dimensionality method: gyrofluid

- TAEFL (tokamak only) => FAR3D (tokamak&stellarator)
- MHD + gyrokinetic moments/Hammett-Perkins closure
- ~ 1000 times faster than gyrokinetic models
 - Good for parameter scans, Potential for optimization target
- Solution methods
 - Initial value – fastest growing instability
 - Eigenvalue hunting => can find multiple/sub-dominant modes

2018 RSAE Benchmark study



Tokamak:

D. Spong, B. A. Carreras, C. L. Hedrick, Phys. Fluids **B 4** (1992)
D. Spong, Nuclear Fusion **53** (2013)

LHD: J. Varela, D. Spong, et al.,
Nuclear Fusion (2017)

TJ-II: J. Varela, D. Spong, et al.,
Nuclear Fusion (2018)

Gyrofluid Equations couple reduced MHD with Hammett-Perkins closure relations

Reduced MHD equations (assumes small β , ϵ , $k_{\parallel} / k_{\perp}$)

$$\text{Ohm's + Faraday's law: } E_{\parallel} = -\frac{\partial A_{\parallel}}{\partial t} - \hat{b} \cdot \vec{\nabla} \phi = \eta J_{\parallel}$$

Toroidal component of vorticity:

$$\hat{e}_{\zeta} \cdot \vec{\nabla} \times \sqrt{g} \left\{ \rho_m \left(\frac{\partial \vec{v}}{\partial t} + \vec{v} \cdot \vec{\nabla} \right) = -\vec{\nabla} (p_{th} + n_{fast} T_{fast}) + \vec{J} \times \vec{B} \right\}$$

Pressure evolution:

$$\frac{\partial p_{th}}{\partial t} + \vec{v} \cdot \vec{\nabla} p_{th} = -\Gamma p_{th} \vec{\nabla} \cdot \vec{v}$$

Basic fast ion Landau closure equations:

$$\frac{\partial n_f}{\partial t} = -\Omega_d(n_f) - n_{f0} \nabla_{\parallel} (v_{\parallel f}) - n_{f0} \Omega_d \left(\frac{q_f \phi}{kT_{f0}} \right) + n_{f0} \Omega_* \left(\frac{q_f \phi}{kT_{f0}} \right)$$

$$\frac{\partial v_{\parallel f}}{\partial t} = -\Omega_d(v_{\parallel f}) - \left(\frac{\pi}{2} \right)^{1/2} \sqrt{\frac{kT_{f0}}{M_f}} |\nabla_{\parallel}| (v_{\parallel f}) - \frac{kT_{f0}}{M_f n_{f0}} \nabla_{\parallel} (n_f) + \frac{q_f}{M_f} \Omega_* \left(\frac{\psi}{R} \right)$$

where $\Omega_d(\) = \frac{T_{f0}}{q_f B_0} \frac{\vec{B}_0 \times \vec{\nabla} B_0}{B_0^2} \cdot \vec{\nabla}(\)$ and $\Omega_*(\) = \frac{T_{f0}}{q_f B_0 n_{f0}} \vec{\nabla} n_{f0} \cdot \frac{\vec{B}_0}{B_0} \times \vec{\nabla}(\)$

Gyrofluid Equations couple reduced MHD with Hammett-Perkins closure relations

Reduced MHD equations (assumes small β , ϵ , $k_{\parallel} / k_{\perp}$)

$$\text{Ohm's + Faraday's law: } E_{\parallel} = -\frac{\partial A_{\parallel}}{\partial t} - \hat{b} \cdot \vec{\nabla} \phi = \eta J_{\parallel}$$

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Pressure evolution:

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where $\Omega_d(\) = \frac{T_{f0}}{q_f B_0} \frac{\vec{B}_0 \times \vec{\nabla} B_0}{B_0^2} \cdot \vec{\nabla}(\)$ and $\Omega_*(\) = \frac{T_{f0}}{q_f B_0 n_{f0}} \vec{\nabla} n_{f0} \cdot \frac{\vec{B}_0}{B_0} \times \vec{\nabla}(\)$

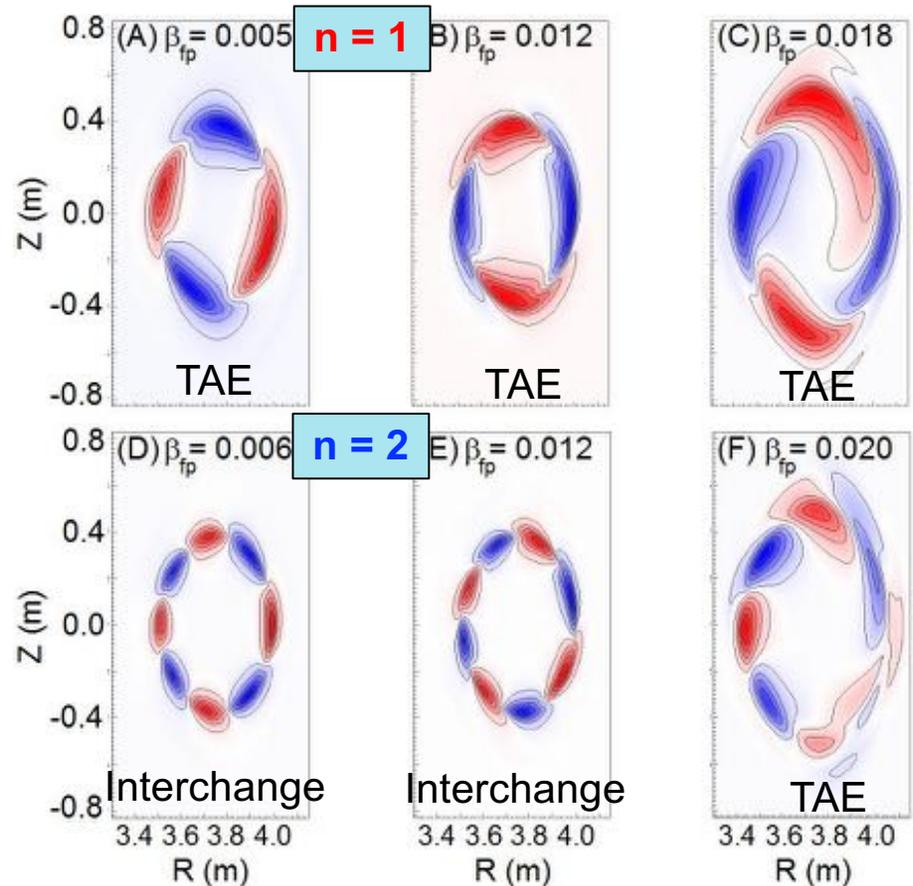
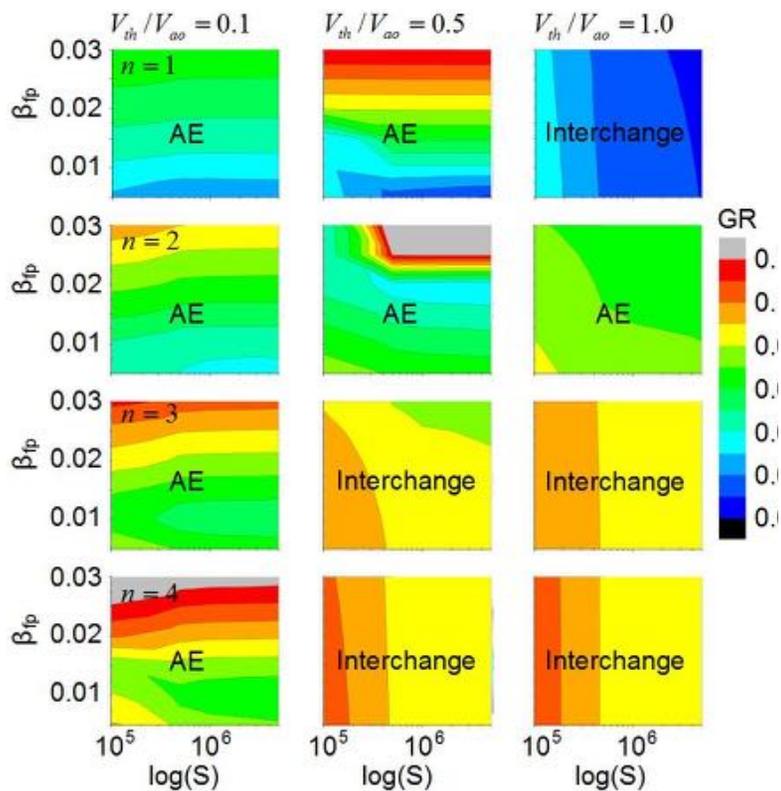
Recent upgrades
(collaboration with Jacobo Varela, Luis Garcia):

- Thermal ion and EP FLR effects added
- Multiple fast ion species
- Two fluid (ion/electron) thermal plasma
- 3 and 4 field EP model
- Nonlinear terms

Application to LHD

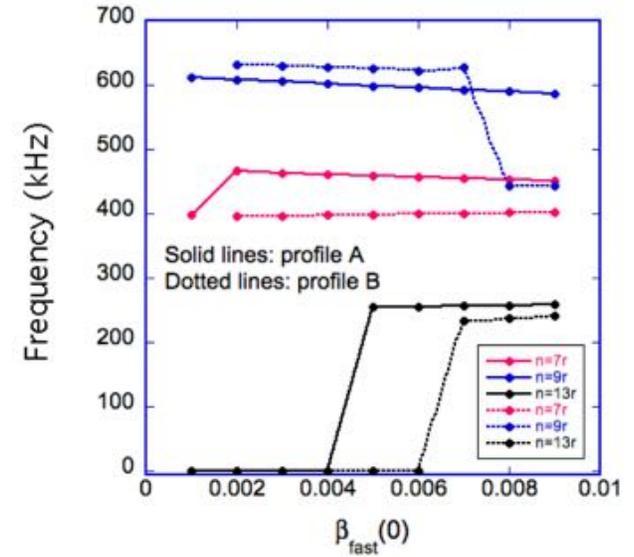
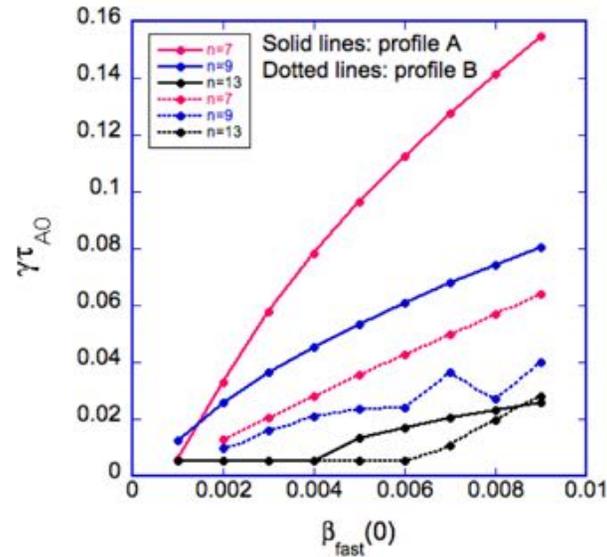
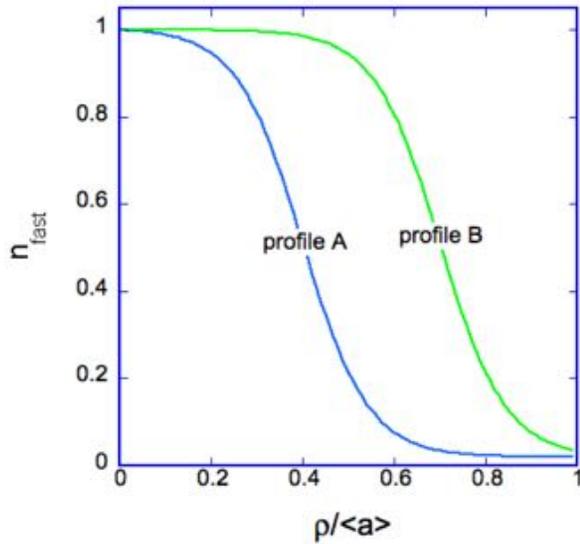
- J. Varela, D. Spong, L. Garcia, Nuclear Fusion (2017)
- TAE modes + MHD interchange/ballooning, parameter scans, eigenmode structures

Growth rate contours vs. S and β_{fast}

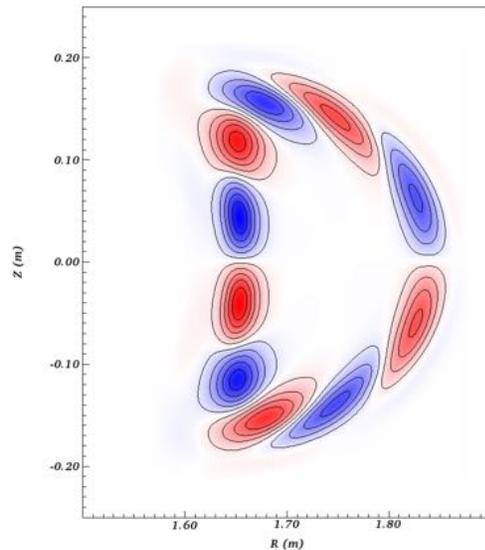


Application to TJ-II

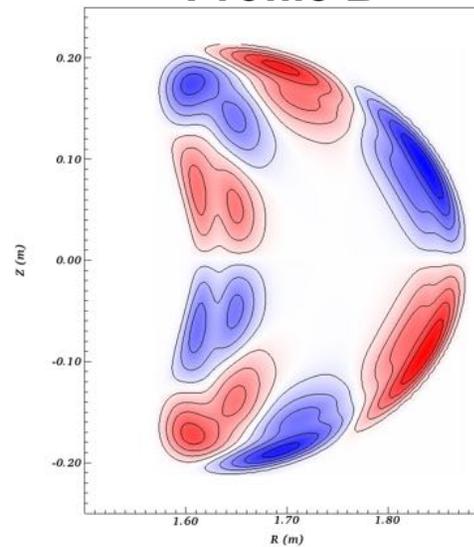
J. Varela, D. Spong, L. Garcia, Nuc. Fusion (2018) Mostly helical Alfvén eigenmodes (HAE), parameter scans, eigenmode structures



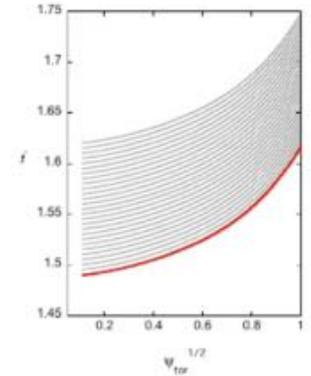
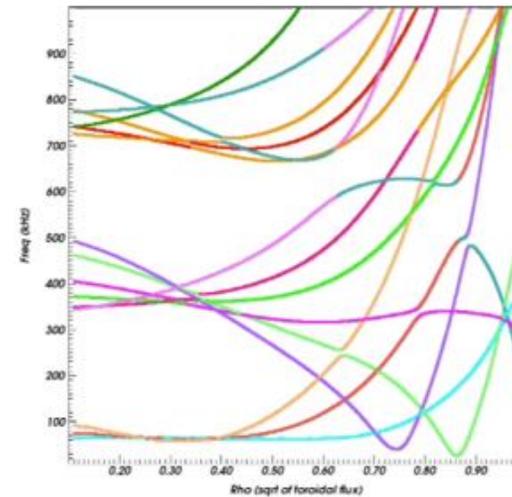
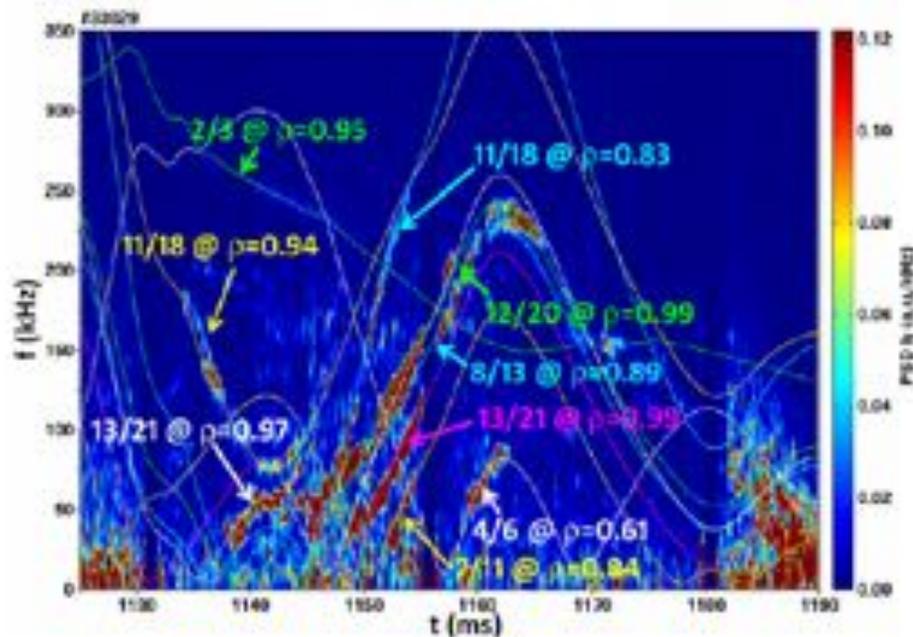
Profile A



Profile B

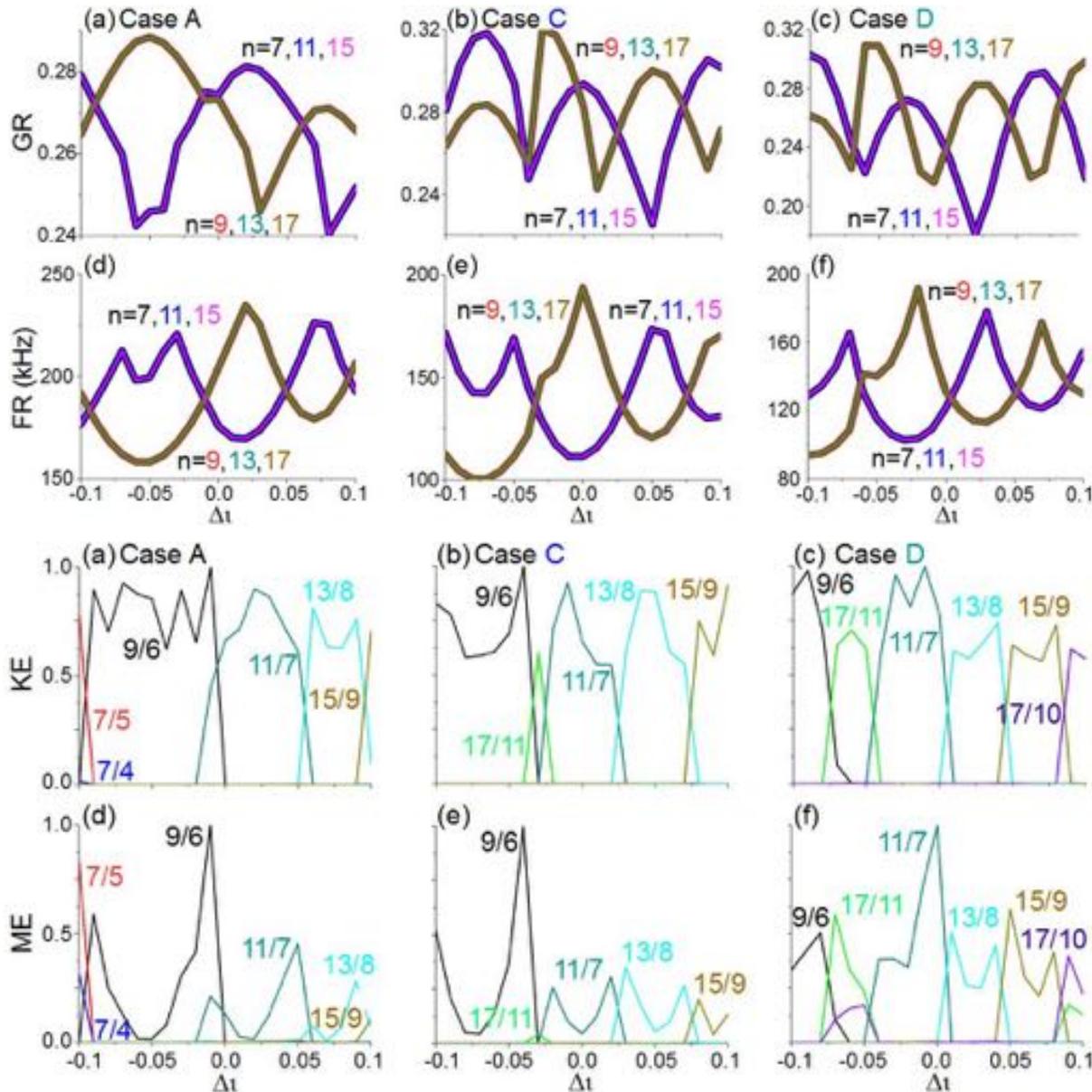


TJ-II shows many examples of dynamical frequency sweeping as the iota profile is changed:



A. V. Melnikov et al, NF **54**, 123002, 2014.

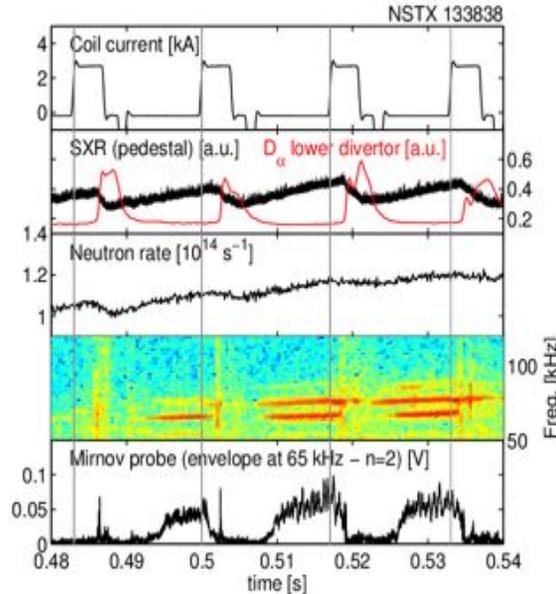
Gyrofluid model reproduces TJ-II frequency sweeping effects as iota profiles are displaced



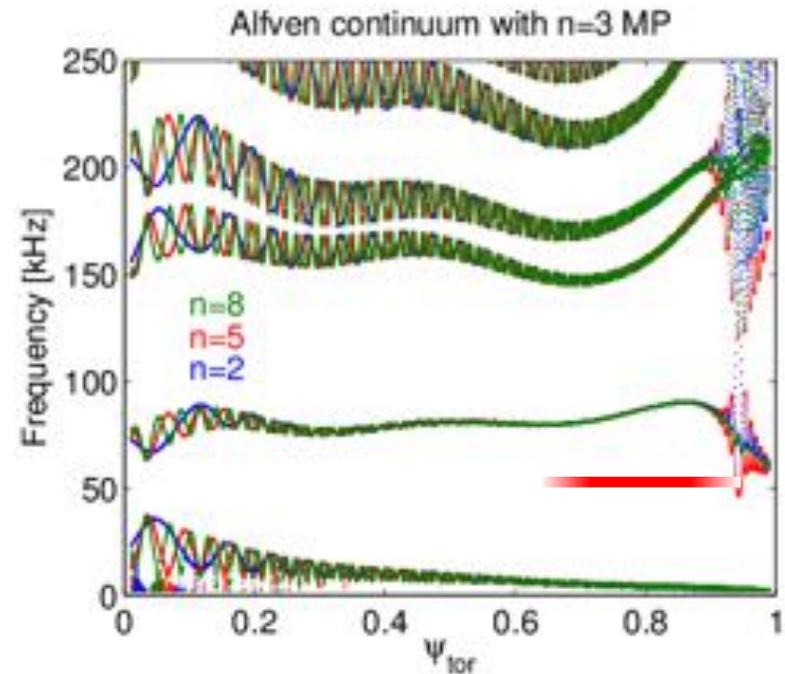
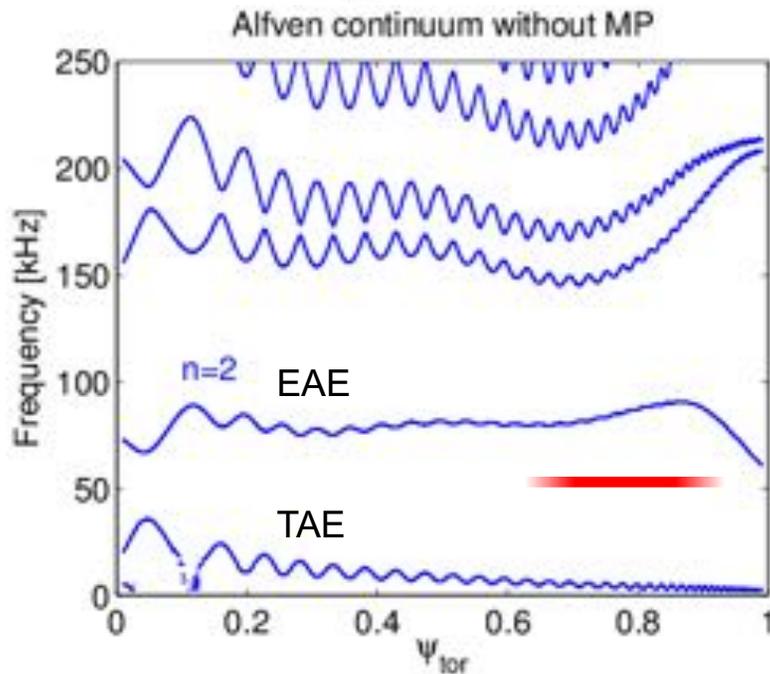
- Iota variations cause frequency sweeping, showing inverse correlations between growth rates and frequency.

- As iota changes and the frequency sweeps, different helicities become dominant

NSTX Alfvén mode suppression correlated with 3D coils

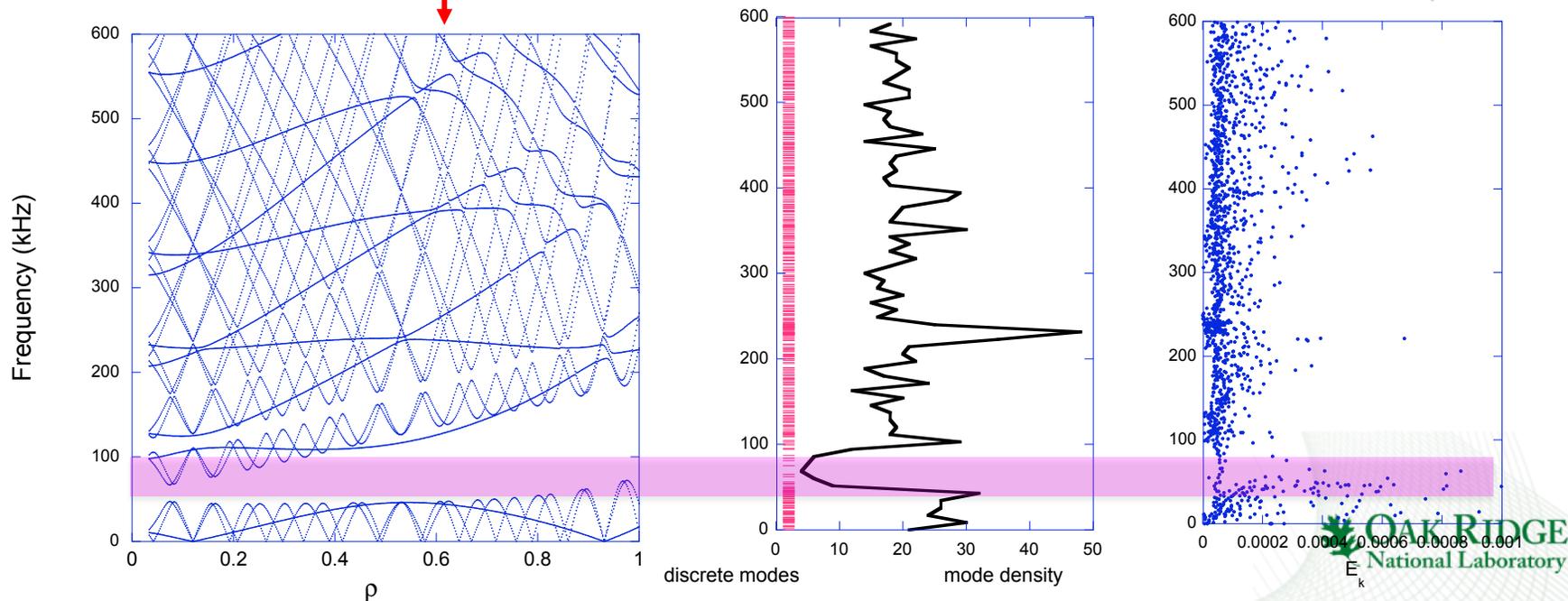
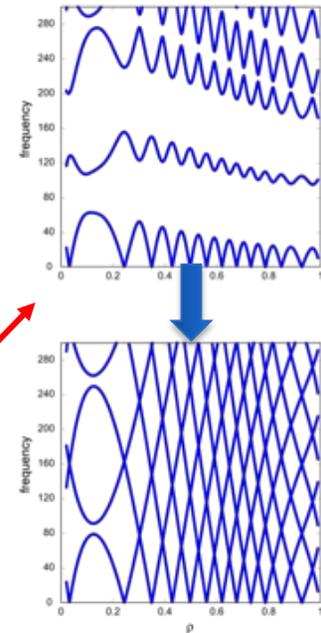


- A. Bortolon, PRL (2013), EPS (2014)
- ELMs triggered 2 ms after MP starts
- Two dominant TAEs observed
 - n=2, 65 kHz; n=3, 75 kHz
- 3D Alfvén continuum (STELLGAP model) shows toroidal coupling effects near edge => increased damping for TAE



Control of EP-driven modes in stellarators

- **AE control in existing devices:**
 - Rotational transform control, ECH deposition
 - High density operation (shorter $\tau_{\text{slow-down}}$, smaller β_{EP})
- **3D shaping optimization for future designs**
 - AE gap width and locations determined by variation in $g^{\rho\rho}$, $|B|$ and ζ
 - Suppress or enhance?
 - Could try to make $g^{\rho\rho}/B^2$ uniform on surface to reduce gap width
 - Target more uniform density of eigenstates vs. frequency => close off open gaps



Conclusions/Summary

- Energetic particle (EP) confinement remains an important stellarator optimization goal
 - Reactor-grade confinement: stellarators still have some “catching up” to get to tokamak levels
 - Fusion power balance probably okay, PFC loading not
- EP confinement different, depending on optimization approach
 - Helically, toroidally, poloidally closed contours of $|B|$, QO-improvements
 - Long vs. short trapped particle bounce orbits
 - Focused vs. diffuse loss patterns
 - Innovations needed: better optimization, or ways to deal with EP losses (divertors for EP, liquid metal walls, etc.)
- A variety of EP instability models have been developed and provide understanding of EP observations
 - Stable Alfvén gap, mode structure and frequency spectra
 - Gyrokinetic, gyrofluid, particle-mode energy transfer
- EP stability optimization tools (ECH, ECCD, iota control, direct optimization) available => more tests needed