

# Gyrokinetic Simulation of Turbulent Transport for I-mode Edge Plasmas

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## Abstract

I-mode is an attractive candidate among the confinement regimes proposed for burning operation with good energy confinement similar to H-mode but poor particle confinement similar to L-mode, resulting in no obvious impurity accumulation and free of large ELMs. Although I-mode has been recently achieved experimentally on several tokamaks, theory and simulation remain insufficient to explain the I-mode formation and its peculiar transport behavior. In this work, an electrostatic gyrokinetic simulation using GTC is carried out for the I-mode plasma profiles. Linear simulations reveal that two competitive instabilities coexist within the range of short and long wavelengths during I-mode phase, respectively. It is found that the passing electron response cannot be treated adiabatically for those modes with mode numbers close to that of weakly coherent mode (WCM), which is considered to be a major player in the I-mode formation and responsible for its unusual transport behavior. Nonlinear simulations yield a turbulent heat transport level that is comparable to the experimental data. However, the particle transport mechanism remains elusive, and requires further investigation.

Keywords: I-mode, weakly coherent mode, L-I transition

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## 1. INTRODUCTION AND SIMULATION MODEL

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I-mode is an intermediate confinement regime in tokamaks between L-mode and H-mode, which was first discovered on ASDEX Upgrade [1] as a transient regime and originally named “Improved L-mode”. During the last decade, researchers on the Alcator C-Mod tokamak have achieved I-mode a stationary operation regime with its lifetime more than 10 times of the energy confinement time  $\tau_E$  [2]. What makes this regime most special and intriguing is that the energy and particle transport channels in the edge region are decoupled, i.e., it exhibits low energy transport across the last closed flux surface (LCFS), while higher particle transport than that of energy. Furthermore, this regime is free of explosive edge localized modes (ELMs) and as a result of high level of particle transport, there is no apparent impurity accumulation. Thus I-mode is often considered to be an alternative regime for burning plasma operation in parallel with the conventional ELMy or ELM-free H-mode, which needs extra control of impurity accumulation level and strategies for heavy impurities and helium ash removal to avoid significant energy confinement deterioration. To create an I-mode experimentally, people start with L-mode in the magnetic geometry with unfavorable ion  $B \times \nabla B$  drift, then L-I transition occur when keeping heating plasmas in various ways with power threshold two times higher than that needed for the direct L-H transition in favorable magnetic geometry, and finally the plasma enters the I-mode regime [3-5]. During this process the edge plasma temperature gradient especially for electrons would increase gradually to the level of the conventional H-modes, while the density gradient changes insignificantly. Meanwhile, edge fluctuations with low frequency disappear and turbulence with broad frequency band pops up in the middle range around 150-400 kHz in lab frame and is believed to play a major role in sustaining the two decoupled transport channels and the whole I-mode regime. These high frequency fluctuations are termed WCM, which can be detected from the signals of fluctuations of density, temperature as well as poloidal magnetic field. Typically, the relative fluctuation level of density in I-mode is about 10%, that of

49 magnetic field less than 1% and that of temperature around 1% [3]. Recently I-mode plasmas  
50 with features similar to those on C-Mod have also been created on DIII-D [4, 6], ASDEX  
51 Upgrade [3, 6] and EAST [5].

52 On the theory and simulation side, a few investigators [7-12] have provided some  
53 preliminary understandings of I-mode, but challenging issues like the essence of WCM, the  
54 mechanism responsible for L-I transition, the decoupling of two transport channels remains  
55 to be tackled. Since the long pulse I-mode has been achieved for the first time on C-Mod [13],  
56 a tokamak with high toroidal field, people naturally think of high field as an advantage in  
57 accessing I-mode as well as sustaining it from transitioning to the H-mode. Recently Whyte  
58 et al. have launched a new programme named SPARC [14], which emphasizes particularly  
59 the high field approach to burning plasmas, to advance research in this respect. The primary  
60 goal of this paper is to investigate the fluctuations and their transport properties in the I-mode  
61 plasmas through massive gyrokinetic simulations using GTC (Gyrokinetic Toroidal Code)  
62 [15]. We have conducted a series of global gyrokinetic simulations, using the profiles from  
63 the discharge #1120907023 on Alcator C-Mod [6, 7, 16]. Since in the I-mode plasmas the  
64 density fluctuation level is much higher than that of magnetic fluctuation [3, 9] and the local  
65  $\beta_e$  is estimated to be less than 0.1% around the temperature pedestal of the I-mode, the  
66 electrostatic approximation will be adopted as a first attempt of our I-mode study via  
67 gyrokinetic simulation. Given the characteristic frequencies and wavelengths of the I-mode  
68 plasma fluctuations, the ion response is described by gyrokinetics and that of the electron by  
69 drift kinetics [17, 18], and both passing and trapped particles are retained in the simulation.

70 The simulation domain is restricted in the edge region of tokamak around 0.8~0.99  
71 minor radius of the plasma column with  $R_0 = 0.67m$ ,  $a = 0.22m$ , where the WCM and  
72 temperature pedestal are located. The two normalized gradient length scales of local electron  
73 and ion temperatures are taken as  $R_0/L_{Te} = R_0/L_{Ti} = 142$ , and those of local density as

74  $R_0/L_{ne} = R_0/L_{ni} = 42$ . Other parameters adopted are  $T_{e,95} = 700eV$ ,  $n_{e,95} = 1 \times 10^{20} m^{-3}$ , local  
75 safety factor  $q_{95} = 3.5$  and on-axis magnetic field  $B_0 = 5.8T$ , which gives rise to the local  
76 ratio  $a/\rho_s = 472$  with gyroradius  $\rho_s \equiv C_s / \Omega_c$  at the reference point, where ion sound speed  
77  $C_s \equiv \sqrt{T_e / m_p}$ ,  $m_p$  is proton mass and  $\Omega_c = eB_0 / m_p$ . We select deuterium (D) as the main  
78 ion species in the simulation. By ignoring plasma shaping, the magnetic flux surfaces are  
79 simply assumed to be concentrically circular.

## 80 2. VALIDATION OF I-MODE EDGE PROPERTIES

81 To validate nonlinear GTC simulation, we need to compare turbulence characteristics  
82 and transport level from the simulation with the experimental features exhibited in I-mode  
83 plasmas. Here we list a number of important characteristics of the I-mode plasmas from C-  
84 Mod discharge #1120907023 [6]: there exists characteristic turbulent fluctuations called  
85 WCM, which propagate in electron diamagnetic drift (EDD) direction with frequency around  
86 150-400kHz in the lab frame; it is also observed that a geodesic acoustic mode (GAM)  
87 coexists with the WCM; the turbulent effective heat conductivity is measured to be about  
88  $0.2m^2 / s$ , and the particle transport is four times larger [7, 19].

89 As shown in Fig. 2, our nonlinear simulation successfully demonstrates that the  
90 nonlinear edge heat conductivities for ion and electron,  $\chi_i$  and  $\chi_e$ , are in the same level as the  
91 experimental values. However, the particle diffusivities for both species,  $D_i$  and  $D_e$ , are  
92 significantly lower than those from experiment diagnostics. This suggests that the particle  
93 and energy transport decoupling observed in the I-mode experiment cannot be reproduced by  
94 our gyrokinetic simulation in the electrostatic limit. This further implies that the dominant  
95 turbulence such as the WCM could not be completely treated as electrostatic, although the  
96 edge density fluctuation level of WCM is one order of magnitude higher than that of poloidal

97 magnetic field and the edge local  $\beta_e$  value is small enough so that the traditional drift wave  
 98 turbulence can be safely treated as electrostatic. Surprisingly, we found that the turbulence  
 99 driven by the I-mode profiles can self-generate GAM oscillations and low frequency zonal  
 100 flow (LFZF), that have been observed in all I-mode experiments [20, 21]. Shown in Fig. 3  
 101 (a) & (b) are the evolution of the perturbed electrostatic potential averaged over the reference  
 102 magnetic surface, where the change of color with time over the narrow edge region in the  
 103 form of strips indicates that there exists the component of oscillations with mode numbers  
 104  $n = 0$  and  $m = 0$ , which is for GAM and LFZF. Simple estimation based on the periodic  
 105 change shown in Fig. 3 (a) & (b) reveals that the frequency normalized to GAM frequency  
 106 predicted from ideal magneto-hydrodynamics (MHD) in high safety factor  $q$  region is  
 107  $\omega_{GAM}^{simulation} \approx 1.45\omega_{GAM}^{MHD}$  with  $\omega_{GAM}^{MHD} \approx \sqrt{2}(1 + q^{-2}/2)C_s / R_0$  and  $C_s \equiv \sqrt{T_e / m_p}$  [22], which is  
 108 very close to the characteristic order of GAM. Shown in Fig. 4 is the frequency spectrum  
 109 during nonlinear saturation process in the temporal window bounded by the two vertical  
 110 dashed lines in Fig. 5, which ranges over a WCM-like signal near the frequency  $4.8C_s / R_0$ ,  
 111 a GAM signal near the frequency  $1.6C_s / R_0$  and extremely low frequency for LFZF.

### 112 3. TURBULENCE SATURATION MECHANISMS AND ROLES OF GAM/LFZF

113 In this set of nonlinear validation simulations, we find out that the turbulence saturates  
 114 twice in succession, one phase of gently linear growth and saturation followed by another  
 115 phase of more explosive linear growth and saturation, which is somewhat atypical, as  
 116 illustrated by the blue solid line in Fig. 5 for time evolution of the electron heat conductivity .  
 117 This suggests that there may exist two distinct instabilities in two consecutive stages which  
 118 are labeled respectively as the first and second instabilities. The first instability dominates  
 119 the earlier nonlinear process and the second one dominates the later phase. In a typical  
 120 nonlinear saturation process, the dominant unstable mode, which is the most unstable one

121 determined by linear dispersion relation, undergoes exponential growth and gets saturated by  
122 wave-wave interaction or wave-particle interaction [15, 23], then the turbulent plasma enters  
123 the nonlinear saturation stage and stays in steady state. However, in the nonlinear processes  
124 shown in Figs. 4 and 5, right after the first instability becomes saturated and its level gets  
125 lowered down somewhat by some dissipation for a while, the second instability is then  
126 excited and takes over. Then the energy transport levels for both species are boosted by  
127 another explosive growth of the second instability, to much higher level, e.g., about five times  
128 that of the first stage, when new nonlinear saturation is reached.

129 To verify our theory that the two instabilities are of different types, we need to examine  
130 the time evolution of mode wavelength for each of them in nonlinear process, that is, we need  
131 to analyze their poloidal mode spectra, as is exhibited in Fig. 6. Around  $t=2.5R_0 / C_s$  in Fig.6  
132 (a), the first unstable mode grows exponentially which correspond to the first uphill of the  
133 blue/solid curve in Fig.5 with central perpendicular wavenumber  $k_\theta \rho_s \approx 0.8776$  shown by the  
134 vertical dashed lines in Fig. 6 (a) & (b). This wavelength is comparable to that of trapped  
135 electron mode (TEM), which is a typical short wavelength instability, whereas this poloidal  
136 wavelength is shorter than that inferred from the WCM. Around  $t=3.75R_0 / C_s$ , as is shown in  
137 Fig. 6 (b) which corresponds to the first downhill of the blue/solid curve in Fig.5, the  
138 spectrum peaks at  $k_\theta \rho_s \approx 0.13$  as is marked by the left vertical dashed line. Meanwhile, the  
139 peaks around  $k_\theta \rho_s \approx 0.8776$  are dwindling drastically relative to the surroundings. We note  
140 that  $k_\theta \rho_s \approx 0.13$  is very close to the measured poloidal wavenumber of WCM reported in [24].  
141 This means the energy carried by the modes with short wavelengths has been inversely  
142 cascaded to the long wavelength WCM-like modes. Around  $t=6R_0 / C_s$  and afterwards, the  
143 second instability undergoes an exponential growth and reaches a much higher saturation  
144 level as is shown in Fig. 5 and Fig. 6 (c), and the value of  $k_\theta \rho_s$  is eventually locked around

145 0.13, which is consistent with the poloidal wavenumber of WCM in the experiment. During  
146 the growth of second linear instability and nonlinear saturation the first instability with  
147  $k_\theta \rho_s \approx 0.8776$  disappears completely in the poloidal spectra.

148 On the other hand, when we use some numerical technique to filter out those modes  
149 with short wavelengths around  $k_\theta \rho_s \approx 0.8776$  corresponding to toroidal mode number  
150  $n \geq 50$  in our nonlinear simulation, the results plotted in Fig. 7 illustrate that, although the  
151 first stage of linear growth and saturation disappears, the final saturation levels of both heat  
152 conductivities and particle diffusivities do not change significantly, comparing with those  
153 simulations with short wavelength modes retained. Whether or not the first instability is  
154 filtered out, the electrostatic fluctuating potential for WCM turbulence maintains almost the  
155 same level, and the turbulence potential cascade nonlinearly into the same type of long  
156 wavelength mode with  $k_\theta \rho_s \approx 0.13$ . This indicates that although the first stage exponential  
157 growth of the modes with shorter wavelength ( $n \geq 50$ ) raises the transport level by a small  
158 amount, apparently, they do not play determining role when the system evolves into the  
159 ultimate nonlinear saturation phase. Therefore, between the two instabilities with distinct  
160 spatial scales, it is the mode with  $k_\theta \rho_s \approx 0.13$  that behaves like WCM in the I-mode  
161 determines the transport level, and hence could be identified as the WCM.

162 It is well-known that GAM/LFZF has the capability of regulating turbulence and  
163 improving confinement quality indirectly. One naturally expects that the regulation of  
164 GAM/LFZF remains valid for the I-mode. In fact, the experiments [21, 23] have revealed  
165 that: (1) GAM sucks energy from the WCM turbulence and broadens the frequency band of  
166 the latter through nonlinear interaction; (2) GAM and WCM vanishes simultaneously when  
167 the plasmas change from I-mode to flow-up ELM-free H-mode when heating power is above  
168 some threshold [2, 3, 19]. However, it remains elusive to what extent the regulating role is  
169 played by GAM/LFZF in I-mode plasmas. We therefore conduct two contrasting nonlinear

170 simulations to examine the influences of GAM/LFZF on WCM and its transport properties.  
171 In one of such simulations, we calculate the electron heat conductivity induced by the WCM  
172 turbulence with the self-generated GAM/LFZF retained and the time history of this transport  
173 coefficient is plotted in Fig. 5 as blue/solid curve, in the other the response of GAM/LFZF is  
174 filtered out and the resultant electron heat conductivity by turbulence alone is shown in Fig.  
175 5 as red/dashed curve. By turning on and off the contributions of GAM/LFZF response, we  
176 find out that both the first and second instabilities are strongly regulated by them. However,  
177 the second instability is evidently more affected, as not only its saturation level drops down  
178 more but also it oscillates more violently, after reaching its peak value when entering the  
179 nonlinear phase.

## 180 **4. LINEAR PHYSICS OF I-MODE**

181 Following the traditional procedure of exploring generic nonlinear physics, in order to  
182 gain deeper understanding of WCM turbulence, it is not sufficient to work on the nonlinear  
183 simulation alone. In fact, we conduct a series of linear simulations to see how the linear  
184 dispersion relation behaves and to identify the dominant unstable mode at each linear stage  
185 of the two distinct evolution processes in our previous nonlinear simulation.

### 186 **4.1 Linear Dispersion Relation for the Instabilities**

187 In our linear simulations, we first work on single fixed toroidal mode number  $n$  to  
188 capture the most unstable poloidal mode, and then vary the  $n$  number to get the frequency  
189 and linear growth rate for each mode that needs to be identified. Firstly, we retain all  
190 ingredients of gyrokinetic ion response and all those of drift-kinetic electron in our linear  
191 simulations. In this case, as is shown in Fig. 8 (b), there are two comparable peaks of linear  
192 growth rate, whose location are very close to  $k_\theta \rho_s \approx 0.16$  and  $k_\theta \rho_s \approx 0.85$ , respectively, i.e.,



193 the two normalized poloidal wave numbers marked in Fig. 6 for the two dominant instabilities  
 194 in preceding nonlinear simulations. Thus, the mode with maximum growth rate around  
 195  $k_\theta \rho_s \approx 0.85$  corresponds to the first exponentially growing phase shown in Fig. 5, and the  
 196 one with peaked growth rate around  $k_\theta \rho_s \approx 0.16$  to the second linear growing phase in Fig.  
 197 5, which accounts for the WCM observed in experiment [24]. Moreover, we note that the  
 198 linear growth rate for the mode with  $k_\theta \rho_s \approx 0.85$  is slightly larger than that with  $k_\theta \rho_s \approx 0.16$ ,  
 199 and the first unstable mode grows faster and saturates earlier. This is also consistent with our  
 200 previous observation for the dynamic evolution of these two modes in the nonlinear  
 201 saturation process, that is, the more unstable mode exponentially grows faster and saturates  
 202 earlier in nonlinear evolutions.

203 Upon inspecting the mode frequencies and the directions of phase velocity shown in Fig.  
 204 8 (a), we find out that there are three categories of modes: (1) the most unstable modes with  
 205  $k_\theta \rho_s \approx 0.85$ , which is marked as the “1<sup>st</sup> unstable” in Fig. 8 (b) and propagates in EDD with  
 206 frequencies in the range of typical ion scale drift instabilities such as TEM; (2) the unstable  
 207 mode with  $k_\theta \rho_s \approx 0.16$ , which is marked as “2<sup>nd</sup> unstable” in Fig. 8 (b), and also propagates  
 208 in EDD with frequency close to the electron transit frequency  $\omega_{te}$ ; (3) the unstable modes  
 209 with poloidal wave numbers somewhere between previous two types ( $0.4 < k_\theta \rho_s < 0.8$ ),  
 210 which propagates in the ion diamagnetic drift direction (IDD) and have frequencies close to  
 211 the frequency  $\omega_{*i}$  or frequency of typical ion drift waves, such as ion temperature gradient  
 212 (ITG) mode. Furthermore, upon switching off the non-adiabatic response of passing electrons  
 213 and keeping the trapped electron response only, we find out that only the second type unstable  
 214 mode (the type with highest frequency) are sensitively affected in such a way that both its  
 215 frequency and linear growth rate drop down substantially. On the other hand, it is well-known  
 216 that the passing electron response is close to adiabatic for typical ion drift waves, and the

217 dispersion relation of this type of modes is not expected to be affected sensitively by  
218 switching on or off the non-adiabatic passing electron response. The existence of significant  
219 non-adiabatic response for the passing electron indicates that the second instability,  
220 consistent with the WCM observed experimentally in mode frequency, wavelength, as well  
221 as propagation direction, belongs to the certain type of instabilities different from  
222 conventional drift waves.

223 Based on the preceding facts, we conclude that the high frequency branch with longer  
224 wavelength ( $k_\theta \rho_s \approx 0.16$ ) is unlikely to be either TEM or ITG, while the low frequency  
225 branch with shorter wavelength ( $k_\theta \rho_s \approx 0.85$ ) should be TEM. In addition, when the ion  
226 temperature gradient is lowered down to be comparable to that of ion density ( $\eta_i \approx 1$ ), shown  
227 as the red/dashed curves in Fig. 9, the high frequency branch persists with slight upswing of  
228 frequency. Thus, it is fair to say that the contribution of ion temperature gradient to this  
229 branch is not very relevant. So ITG mode must be ruled out as the candidate for WCM at  
230 least in the sense of linear instability drive.

## 231 **4.2 I-mode Formation Mechanism**

232 Now we focus on the high frequency mode of with  $k_\theta \rho_i = 0.16$  or  $n = 20$ , which is the  
233 typical toroidal mode number of WCM observed in the C-Mod experiment [24]. As has been  
234 checked in Subsection 4.1, the existence of this branch is insensitive to the ion temperature  
235 gradient, thus it can be safely frozen by setting  $\eta_i = 1.0$  in the following analysis. We  
236 decrease  $\eta_e$  from 4.0 to 1.0 by reducing the electron temperature gradient while keeping  
237 electron density gradient fixed. Here the smallest value 1.0 for  $\eta_e$  corresponds to the  
238 electron profiles that are almost the same as those of ions, a situation resembling the back  
239 transition from I-mode to L-mode. As shown in Fig. 10 (a), whether or not the passing

240 electron response is retained in the simulation, there always exists a narrow threshold band  
241 of  $\eta_e$  centered around  $\eta_{ec} \simeq 2.0$ , across which the L-I transition occurs, indicated by the big  
242 mode frequency jump. Below this band, the influence of non-adiabatic response of passing  
243 electron on the viable instability becomes irrelevant and the corresponding instability should  
244 be TEM that propagates with characteristic frequency around  $\omega_{*e}$  in EDD. Meanwhile, the  
245 plasma profiles degrade to those for a typical L-mode plasma, which agrees with the common  
246 picture that TEM grows most easily at the plasma edge for the L-mode plasmas. However,  
247 as  $\eta_e$  is increased above the threshold band, the mode frequency jumps up abruptly to the  
248 values that are close to the electron transit frequency  $\omega_{te}$ , which is located in the range of the  
249 higher frequency branch aforementioned in Section 3 and Subsection 4.1. Moreover, for a  
250 wide range of  $\eta_e$  above the threshold band, the non-adiabatic response of passing electrons  
251 produces a huge change in the mode frequency as well as a mild change in the growth rate.  
252 The heavy dependence on passing electrons excludes this mode from some typical drift wave  
253 instabilities such as TEM. In this range the plasma profiles become similar to those of I-mode  
254 plasmas. As we know, the typical I-mode plasmas come along with the existence of  
255 temperature barrier and the absence of particle barrier in the edge region, which complies  
256 with a large edge temperature gradient or  $\eta_e$ . Thus, the mode-jumping across critical values  
257 of  $\eta_e$  may be relevant to the transition mechanism from L-mode to I-mode or vice versa,  
258 and in terms of frequency range, the high frequency mode or its derivative mode is likely to  
259 account for the WCM widely observed in the I-mode plasmas. All these similarities cannot  
260 easily be counted as just coincidences. Instead, there are new evidences that the high  
261 frequency mode is indeed WCM, besides those offered by the nonlinear simulations.

## 262 5. COLLISIONAL EFFECTS

263 So far collisionality has been completely ignored in our simulation. In the I-mode

264 plasmas, the density profile becomes flat, and the edge temperature is significantly lower  
265 than the core temperature, which is similar to conventional H-mode plasmas. The edge  
266 collisionality is expected to be higher than that in the core region and the edge plasmas may  
267 not be collisionless. Hence, it is better to check how the collisionality affects the instability  
268 and transport in the I-mode plasmas. To this end, we add collisional effects by implementing  
269 a pitch-angle scattering process for electrons in the simulation, the local effective electron  
270 collision frequency  $\nu_e^*$  is found to be  $\nu_e^* \approx 0.33$  according to Ref. [25-27]. The nonlinear  
271 simulation is repeated for this collisional case, and the heat conductivity, particle diffusivity  
272 and GAM/LFZF saturation level are shown in Fig. 11 (a), (b) & (c). These are contrast plots  
273 for the electron heat conductivities, electron particle diffusivities, and GAM/LFZF saturation  
274 levels from the fluctuations of electrostatic potential respectively, between the case with  
275 collisions (red dashed curve) and the case without collisions or collisionless (blue solid  
276 curve). The downshifts of the two transport coefficients at each time step and the delayed  
277 peaks reveal that both the first and second instabilities are partially stabilized by the electron  
278 collisional effects. In particular, the nonlinear saturation level of the second instability is  
279 lowered down by the collisional effects more enormously and significantly. This difference  
280 can also be detected from the GAM/LFZF saturation levels, see Fig. 11 (c). For the first  
281 exponential growth and saturation, the collisional effect on the GAM/LFZF is marginal. But  
282 for the second one, GAM/LFZF are visibly damped by the electron collision, in which the  
283 turbulence was supposed to induce more severe transport than the collisionless case [28, 29].  
284 In fact, we observe the contrary trend in the transport level, i.e., the turbulent transport with  
285 physical collisions is less than the collisionless turbulent transport shown in Fig. 11 (a) & (b),  
286 which suggests much less linear drive in the case with collisions and the damping effect of  
287 collisions play a major role. Therefore, the electron collisional effect in this I-mode scenario  
288 is different from that in either dissipative trapped electron mode (DTEM) or resistive  
289 ballooning mode (RBM), since both DTEM and RBM are normally destabilized by

290 collisional effects in this weak collisional regime. Based on the preceding observations and  
291 considerations, both DTEM and RBM can be excluded from the candidates of WCM.

## 292 **6. SUMMARY AND DISCUSSION**

293 In this paper we present a novel understanding of the mechanism of I-mode formation  
294 and the essence of the associated WCM, based on our electrostatic GTC simulations with the  
295 profiles that are taken directly from the experimental I-mode data on C-Mod. In particular,  
296 our nonlinear simulations have two major findings: (1) a long wavelength instability with  
297 high frequency in the typical range of local electron transit frequency  $\omega_{te}$  dominates the  
298 nonlinear evolution of the I-mode edge plasmas, and this instability resembles WCM in I-  
299 mode plasmas in many aspects, such as mode wavelength, frequency spectra of edge  
300 fluctuations, energy transport levels and characteristics of profiles evolution from L-mode to  
301 I-mode transitions; (2) GAM oscillations with characteristic frequency close to  
302  $\omega_{GAM}^{MHD} \approx \sqrt{2}(1+q^{-2}/2)C_s/R_0$  are identified to be self-generated by the turbulence nonlinear  
303 interaction. Up to now, almost all I-mode experiments have observed [5, 21, 30] that GAM  
304 co-exists with WCM fluctuations when plasmas transition from L-mode to I-mode occurred.  
305 To our best knowledge, this is the first time that this universal experimental phenomenon has  
306 been verified from our first principle gyrokinetic simulations. Meanwhile, the level of  
307 effective heat conductivity out of our simulations is consistent with the experimental values.  
308 All these successes strongly suggest that we have captured many essential physics of the I-  
309 mode plasmas, except that the particle transport level from our simulation is too low to justify  
310 the transport decoupling. This imply that the transport decoupling of I-mode cannot be  
311 reproduced in the electrostatic simulation. To see this decoupling, we need to resort to  
312 electromagnetic simulation.

313 As for the linear simulation on I-mode, we have discovered a newly high frequency

314 unstable mode exhibiting many features that are similar to those for WCM in our linear  
315 simulation results. In particular, this mode has a frequency in the range of local electron  
316 transit frequency  $\omega_{te}$  in the plasma frame and propagates in EDD. The mode wavelength is  
317 also similar to that of WCM. These two features owned simultaneously by the instability:  
318 high frequency (fast time scale), long wavelength (large space scale) and the non-  
319 adiabatically passing electron response exclude TEM, especially collisionless trapped  
320 electron mode (CTEM) as a candidate for WCM in I-mode plasmas. Furthermore, we find  
321 out that finite electron collisional effects can stabilize this mode, so both DTEM and RBM  
322 are ruled out. Moreover, since the growth rate of this mode is not sensitive to the local value  
323 of  $\eta_i$ , ITG mode is also ruled out as the dominant linear instability for WCM. By varying the  
324 scale length of electron temperature and density gradient, we have pinpointed the high  
325 frequency mode down to an instability, driven by electron temperature gradient with long  
326 wavelength and high frequency. All these features strongly suggest that there exist a new type  
327 of instability sustaining the I-mode, which is, however, not listed in the zoo of instabilities  
328 ever documented before (see, e.g., [31]). Further analytical and numerical studies are desired  
329 to give a clear identification of this mode.

## 330 **ACKNOWLEDGMENTS**

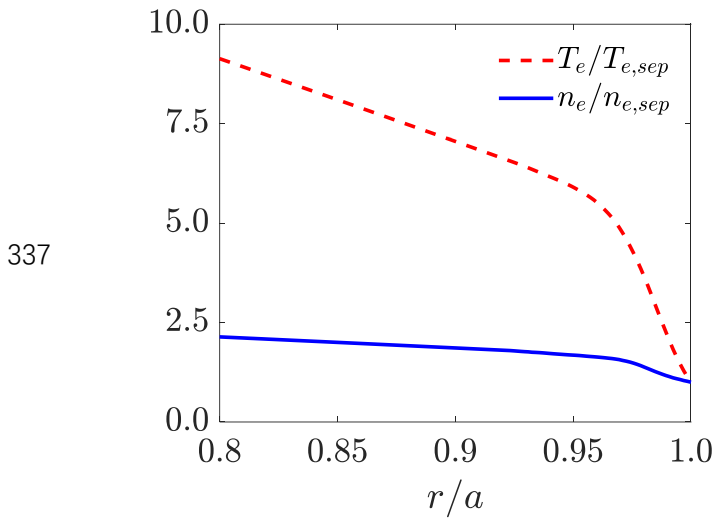
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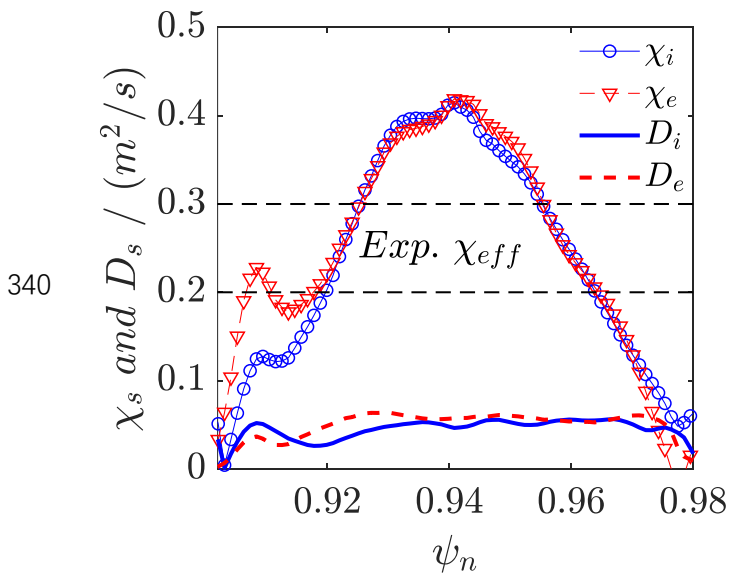
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336 **Figures**



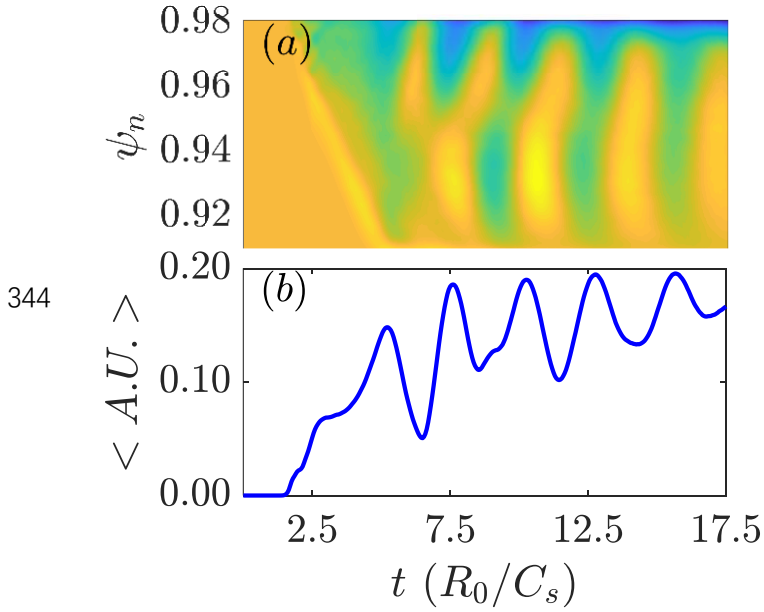
338 *Fig. 1 Edge profiles of I-mode [6].*

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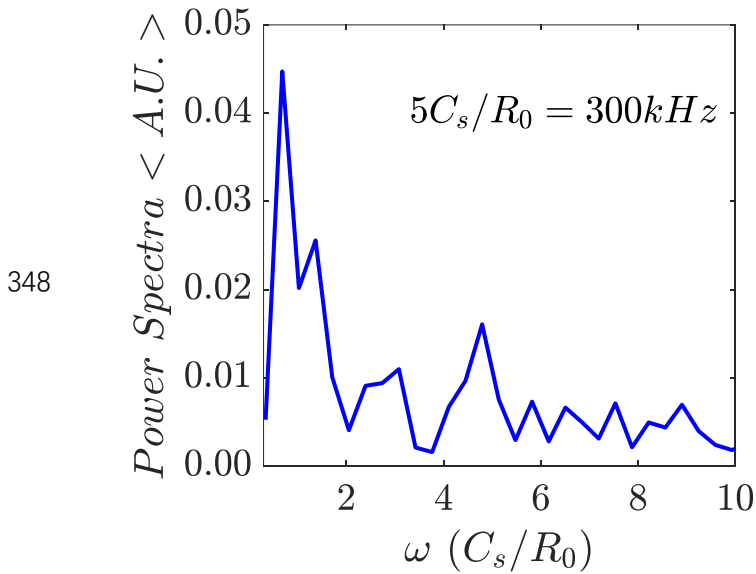
341 *Fig. 2 Radial profiles of ion/electron heat conductivities  $\chi_i / \chi_e$  and particle diffusivities*  
342  *$D_i / D_e$ .*

343



345 Fig. 3 (a) Radial structures of GAM/LFZF and their time evolutions; (b) time evolution of  
 346 GAM/LFZF intensity at the diagnostic point  $r = r_{ref}, \theta = 0, \zeta = 0$ .

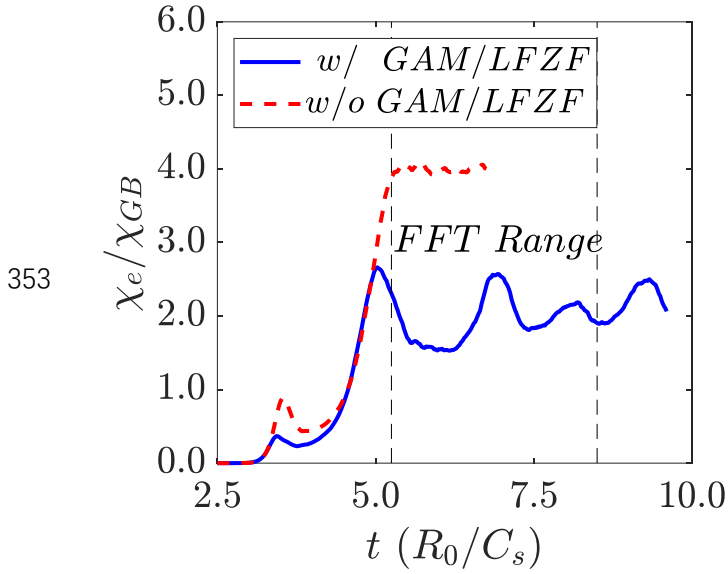
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349 Fig. 4 Power spectrum of electrostatic potential at  $r = r_{ref}, \theta = 0$  and  $\zeta = 0$ . The peaks  
 350 around  $\omega = 0.5, 1.4, 4.8$  (in unit  $C_s/R_0$ ) are identified with LFZF, GAM and WCM,  
 351 respectively.

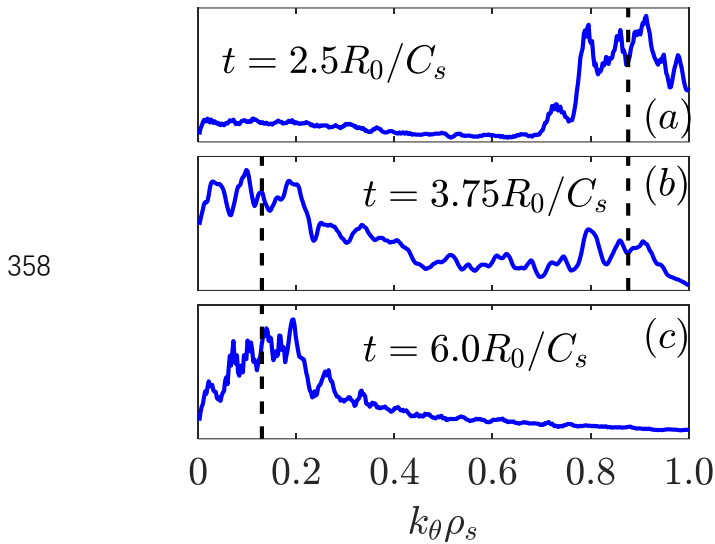
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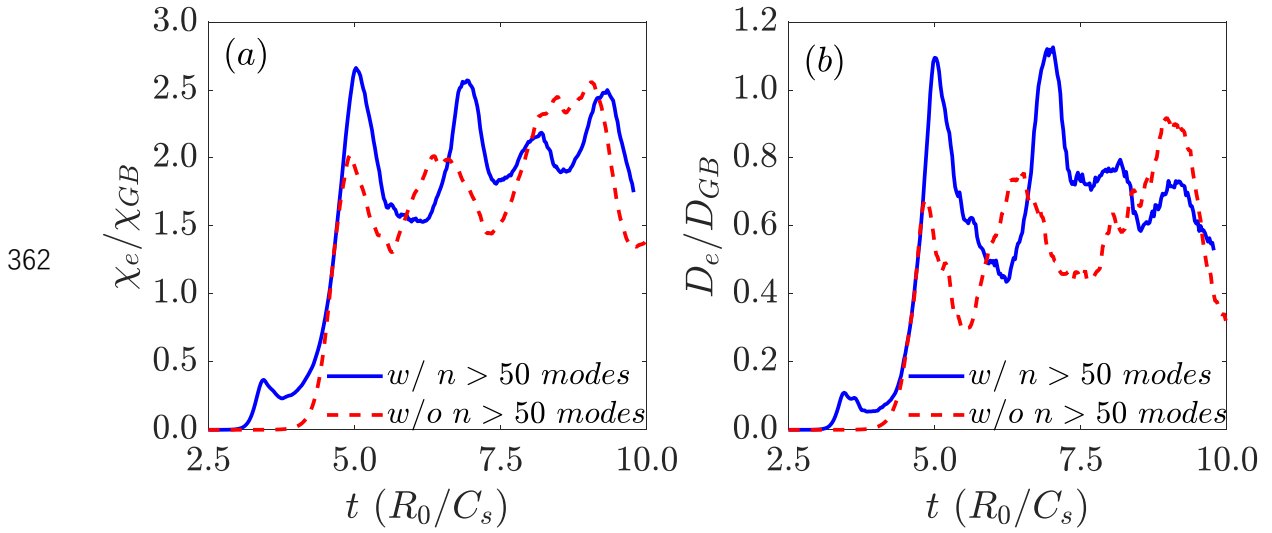
354 Fig. 5 Time evolution of electron heat conductivities induced by WCM and the regulation by  
 355 GAM/LFZF on it. Bounded by the two vertical dashed lines is the time in which the power  
 356 spectra over frequency has been analyzed, as shown in Fig. 4.

357



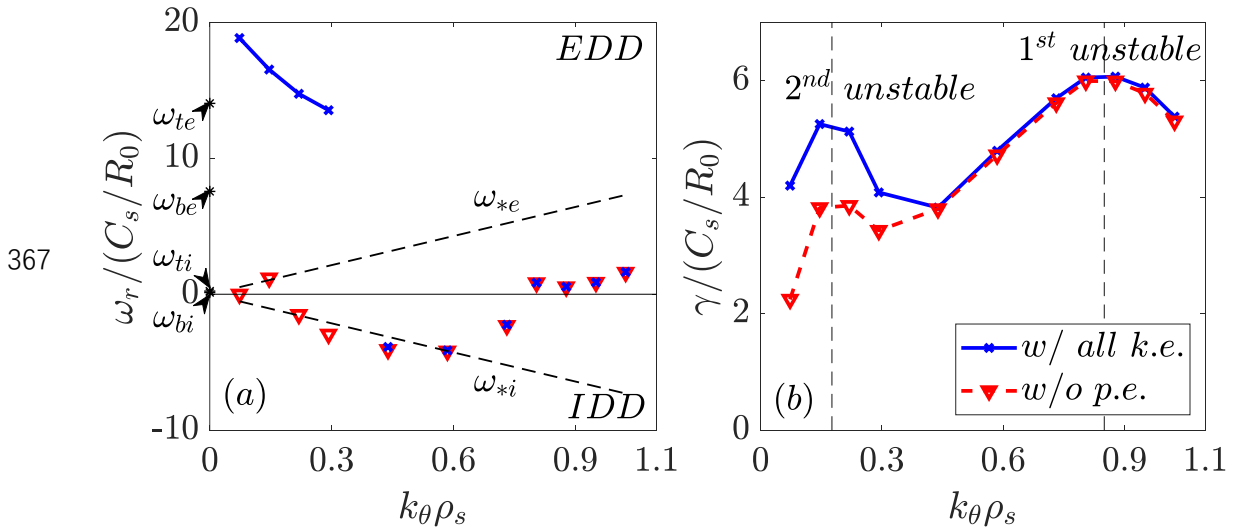
358  
 359 Fig. 6 Poloidal spectra measured at three moments corresponding to those on the blue/solid  
 360 curve in Fig. 5.

361

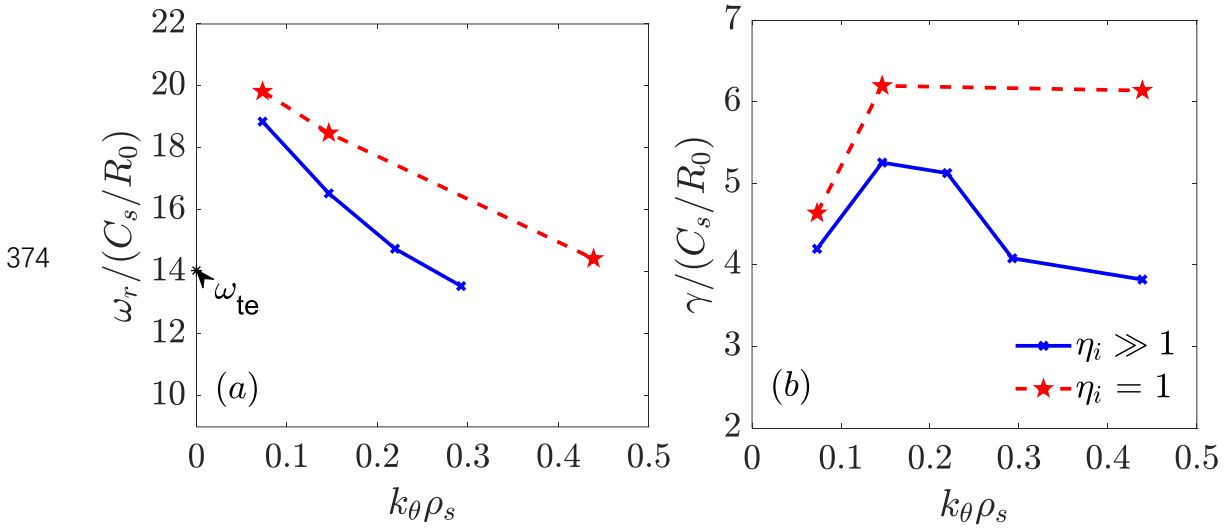


363 *Fig. 7 Simulation with filtering out short wavelength modes have the same saturation level*  
 364 *as that retaining full poloidal spectral. (a), Time history of electron heat conductivity. (b),*  
 365 *Time history of electron particle diffusivity.*

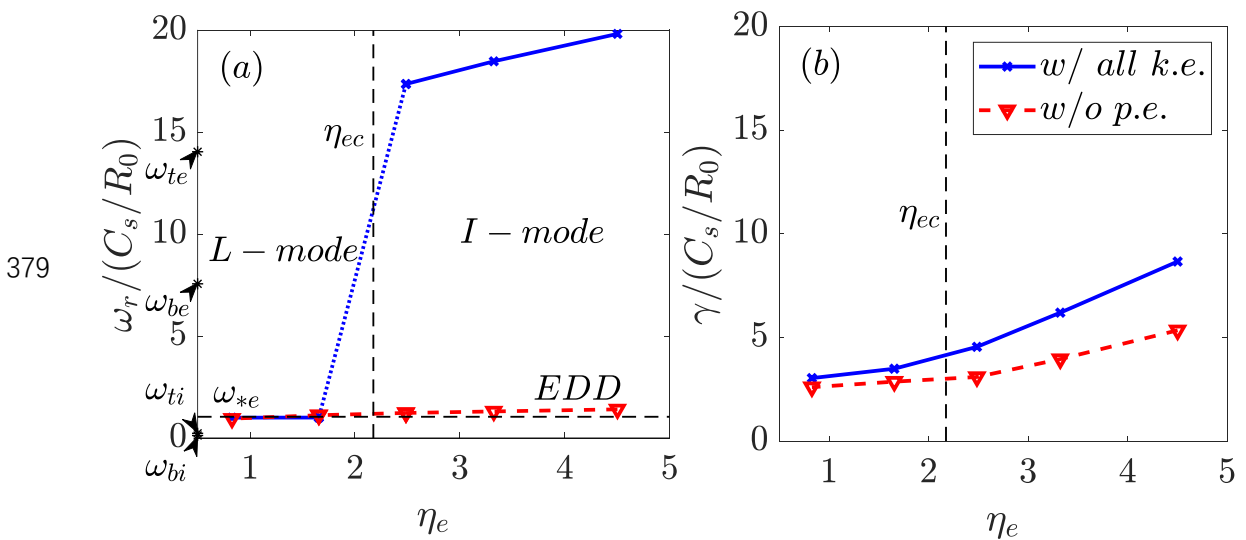
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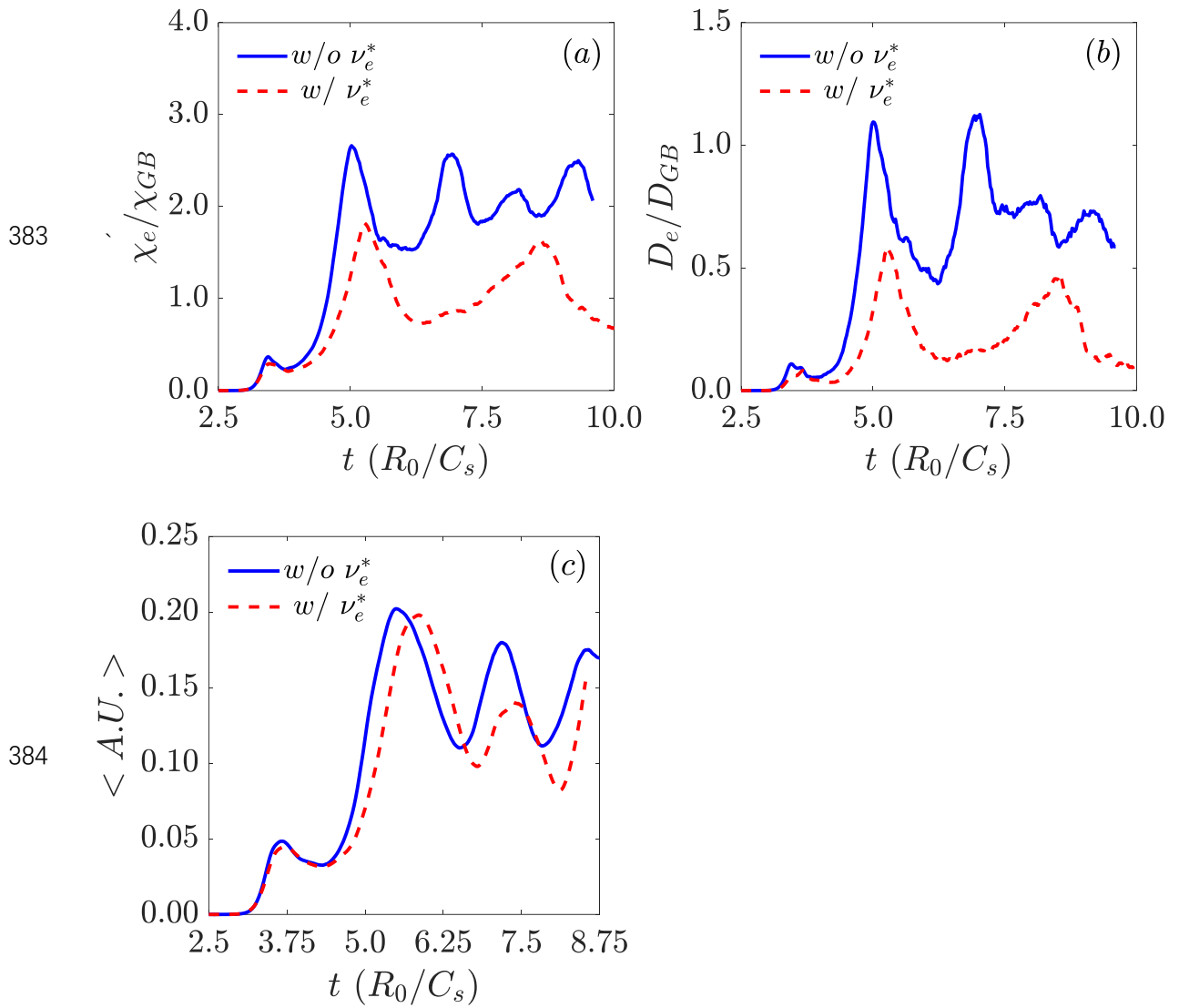
368 *Fig. 8 Linear dispersion relations of I-mode edge plasmas. (a), Real frequency  $\omega_r$  vs.  $k_\theta \rho_s$ .*  
 369 *(b), Linear growth rate  $\gamma$  vs.  $k_\theta \rho_s$ . Positive  $\omega_r$  corresponds to the poloidal mode phase*  
 370 *velocity in EDD. Marked on  $\omega_r$ -axis with asterisks are the heights of  $\omega_{te}$ ,  $\omega_{be}$ ,  $\omega_{ti}$  and  $\omega_{bi}$ . The*  
 371 *blue crosses are for the case retaining non-adiabatic response of passing electrons while the*  
 372 *red triangles for the case with that being turned off.*



375 Fig. 9  $\eta_i$ -sensitivity of the higher frequency mode. (a), Real frequency  $\omega_r$  vs.  $k_\theta \rho_s$ . (b), Linear  
 376 growth rate  $\gamma$  vs.  $k_\theta \rho_s$ . The blue cross is for the case with the value of  $\eta_i$  calculated from the I-  
 377 mode profiles, i.e.  $\eta_i \gg 1$ , while the red star for the case with  $\eta_i = 1$ .



380 Fig. 10 L-I transition and instability-jumping as  $\eta_e$  crosses critical values. (a) Real frequency  
 381  $\omega_r$  vs.  $k_\theta \rho_s$ ; (b) Linear growth rate  $\gamma$  vs.  $k_\theta \rho_s$ .



385 *Fig. 11 Electron collisional effects on the transport properties in I-mode. (a), The electron*  
 386 *heat conductivity is lowered by collisional effects. (b), The electron particle diffusivity is*  
 387 *lowered by collisional effects. (c), The GAM/LFZF saturation level is lowered by collisional*  
 388 *effects*

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