Physics of Confinement enhancement in KSTAR FIRE mode

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Outline

- Introduction: Fast Ion Regulated Enhancement (FIRE) mode
- Physics of confinement enhancement in FIRE mode
 - Gyrokinetic simulation of microturbulence
 - Theory development on zonal flow with fast ions
- Ongoing Works on FIRE mode
 - Experimental analysis of FIRE mode edge
 - Fast ion-driven electrostatic and Alfvenic modes
 - NBI control, Higher density

Fast Ion Regulated Enhancement (FIRE)

- In KSTAR, a stationary ITB was established in NB (+optional ECH) heated plasmas at q₉₅ ~ 4–5.
- L-H transition was avoided by keeping low density ($\bar{n}_e \sim 1.5 \times 10^{19} m^{-3}$) and unfavorable ∇B USN.
- Fast ions play crucial roles to this new enhanced confinement regime, so it is coined to "Fast-lon-Regulated Enhancement (FIRE)."
- No Alfvenic activity during the transition and early phase of FIRE: Direct Impact of Fast Ions. (vs JET, DIII-D mediated by AE)



<Camera Image of KSTAR FIRE mode >





[H. Han, S.J. Park and Y.-S. Na et al., Nature 609, 269 (2022)] [H. Han et al., Phys. Plasmas 31, 032506 (2024)]

ITB Characteristics of FIRE mode

• Thermal Ion Heat Diffusivity and S-curve

Courtesy: Y.-S. Na

- The time evolution of the ion heat diffusivity was calculated from the power balance analysis.
- The thermal ion heat diffusivity reduces in time correlated with the expansion of ITB.
- The relation between the **ion energy flux** and the **ion temperature gradient** shows that there is a "S-curve" in the 3D landscape* [P.H. Diamond *et al.*, PRL '97] implying a transport bifurcation.
- ITB foot is correlated with fast ion population!



[H. Han, S.J. Park and Y.-S. Na et al., Nature 609, 269 (2022)] [H. Han et al., Phys. Plasmas 31, 032506 (2024)]

FIRE mode as a New ITB Scenario

Conventional ITB

FIRE mode

Difficulty in sustainment	Stationary up to 50 s
Severe instabilities	\Rightarrow No severe instabilities with high l
ELMs and heat load	No ELMs and reduced heat load
Impurity accumulations	No clear impurity accumulations
Sophisticated profile control	➡ Self-organized

- High performance (β_N , H_{89L}) even comparable to Hybrid mode
- Almost **non-inductive current** drive ($V_{loop} < 0.1 V$)
- High thermal ion temperature $\sim 10 \ keV$



[Y.-S. Na et al., submitted to Nucl. Fusion (2024)]



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Gyrokinetic Simulation of FIRE mode

- Local electromagnetic (EM) gyrokinetic simulations at just inside ITB foot
 - Significant linear stabilization of microturbulence (ITG mode)
 - + Further nonlinear suppression of turbulent transport
 - Candidates: EM (finite- β) effect, Dilution, Wave-particle interaction, E×B shear flow



[D. Kim et al., Nucl. Fusion 63, 124001 (2023)] [Y.-S. Na et al., submitted to Nucl. Fusion (2024)]

EM (finite-\beta) Stabilization

- Electromagnetic (finite- β) effect reduces both the linear growth rate γ of ITG turbulence [B.G. Hong *et al.*, PPCF '89] and the nonlinear turbulent heat flux Q [M.J. Pueschel *et al.*, PoP '08].
- In FIRE mode, fast ion contribution to total β is profound, so that both γ and Q reduces by ~1/4 by the inclusion of fast ions. (cf. [J. Critin *et al.*, PRL '13])



Courtesy: S.J. Park

Fast Ion-induced Dilution

- **Dilution**: due to significant fast ion population (i.e., density n_f), thermal ions n_i are diluted because of quasi-neutrality $n_e = n_i + n_f$. "Fast ion as a different ion species"
- It has appeared as the primary contributor of transport reduction in FIRE mode.
- Note the featured inverted thermal ion density in KSTAR FIRE mode vs. inverted electron density in TFTR hot ion mode



[D. Kim et al., Nucl. Fusion 63, 124001 (2023)] [H. Han et al., Phys. Plasmas 31, 032506 (2024)]

Courtesy: C. Sung

fast ion

KSTAR

 n_i/n_e

w/o fast ion

w/ fast ion

Wave-particle Interaction

- While the dilution is the essential and thus simplest effect of fast ion as a different ion species, wave-particle interaction b/w fast ion and ITG turbulence could give more complicated effect. [A. Di Siena *et al.*, PoP '18]
- In FIRE mode, NUBEAM-based modelled non-Maxwellian fast ion yields only small difference in linear stability of ITG mode, compared to the case with Maxwellian fast ion.
 - \Rightarrow Linear ITG-fast ion interaction seems to give only minor impact on ITG stability.

Courtesy: Y.-S. Na



[[]Y.-S. Na et al., submitted to Nucl. Fusion (2024)]

E×B Flow Shear Suppression

- The most widely-accepted, universal mechanism for transport barrier formation is the E×B flow shear suppression of turbulence. [K.H. Burrell, PoP '97, '20]
- "Rule-of-thumb criterion" for the E×B shear suppression: $\omega_{E\times B} > \Delta \omega_{T} \sim \gamma_{lin}$ [T.S. Hahm-K.H. Burrell, PoP '95], [R. Waltz *et al.*, PoP '94]
- In FIRE mode simulations, suppression of turbulent transport by the equilibrium
 E×B flow shear is notable, yet not dominant compared to EM and dilution effects.





Self-generated Zonal Flow

- At the meantime, FIRE mode simulations show significant increase of self-generated zonal flow by fast ions.
- Zonal flow self-generation by taking energy from turbulence is ubiquitously observed in gyrokinetic simulations [Z. Lin *et al.*, Science '98], and is well-known to trigger transition to an enhanced confinement regime [P.H. Diamond *et al.*, PPCF '05]. ⇒ "Rule-of-thumb criterion" → Energetics
- In FIRE mode, fast ions give only moderate change in residual zonal flow level [Y.W. Cho-T.S. Hahm, NF '19] (i.e., response), indicating significantly enhanced zonal flow generation (i.e., source).



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Derivation of Hasegawa-Mima Equation

• The modified Hasegawa-Mima equation [A. Hasegawa-K. Mima, PoF '87] is the paradigm equation to study zonal flow generation from drift wave turbulence. It can be naturally derived from the gyrokinetics.

Quasi-neutrality [A.J. Brizard-T.S. Hahm, RMP '07]

$$\Rightarrow \delta N_i + N_{i0} \rho_s^2 \nabla_{\perp}^2 \frac{e\phi}{T_e} = n_{e0} \frac{e\tilde{\phi}}{T_e}$$

Ion polarization density (long-wavelength limit expression) Adiabatic electron response

\rightarrow	δN_i _	$e ilde{\phi}$	$e^{2\nabla^2} e\phi$
	$\overline{n_{e0}}$ –	$\overline{T_e}$	$-\rho_{s} v_{\perp} \overline{T_{e}}$

 $\delta N_i + \delta n_{i\text{pol}} = \delta n_e$

Ion gyrocenter density δN_i is the gyrokinetic realization of the Potential Vorticity (PV)!

 $\frac{\partial N_i}{\partial t} - \frac{1}{B} \nabla \phi \times \hat{z} \cdot \nabla N_i = 0$

Ion gyrocenter continuity equation (= PV equation)

$$\Rightarrow \frac{\partial}{\partial t} \left(\tilde{\phi} - \rho_s^2 \nabla_{\perp}^2 \phi \right) + \rho_s c_s \left[\phi, \tilde{\phi} - \rho_s^2 \nabla_{\perp}^2 \phi \right] + \rho_s c_s \frac{1}{n_{e0}} \left(\frac{\partial N_{i0}}{\partial x} \right) \frac{\partial \phi}{\partial y} = 0$$

Simple Fast Ion Response to Drift Wave

• For drift waves (DWs), with ω , $\omega_{*f} \ll k_{\parallel} v_{T_f}$ and Maxwellian fast ion F_{f0} , the linearized gyrokinetic equation

$$-i(\omega - k_{\parallel} v_{\parallel f})\delta \tilde{F}_{f} - i(\omega_{*f} - k_{\parallel} v_{\parallel f})\frac{e\tilde{\phi}}{T_{f}}J_{0}(k_{\perp}\rho_{f})F_{f0} = 0$$

yields a fast ion gyrocenter density response

 $\frac{\delta \widetilde{N}_f}{N_{f0}} = -\Gamma_0 \left(k_\perp^2 \rho_{Tf}^2 \right) \frac{e \widetilde{\phi}}{T_f}$

which together with fast ion polarization density

$$\frac{\delta n_{f\text{pol}}}{N_{f0}} = -\left[1 - \Gamma_0 \left(k_\perp^2 \rho_{Tf}^2\right)\right] \frac{e\phi}{T_f}$$

gives adiabatic fast ion response

$$\frac{\delta \tilde{n}_f}{N_{f0}} = -\frac{e \tilde{\phi}}{T_f}.$$

• Therefore, fast ion response to DW $\delta \tilde{n}_f$ is negligible compared to the electron response due to $T_f \gg T_e$.

Simple Fast Ion Response to Zonal Flow

• Meanwhile, for the fast ion response to zonal flows (ZFs), since we have $\langle \delta N_f \rangle = 0$,

$$\frac{\langle \delta n_f \rangle}{N_{f0}} = \frac{\langle \delta n_{f\text{pol}} \rangle}{N_{f0}} = -\left[1 - \Gamma_0 \left(k_{\perp}^2 \rho_{Tf}^2\right)\right] \frac{e\langle \phi \rangle}{T_f} \rightarrow -k_{\perp}^2 \rho_s^2 \frac{e\langle \phi \rangle}{T_e} \quad \text{for} \quad k_{\perp} \rho_f \ll 1$$

The same with $\frac{\langle \delta n_{i\text{pol}} \rangle}{N_{i0}}$

• As a result, in the long-wavelength limit $k_{\perp}\rho_{f} \ll 1$, the potential vorticity with fast ions becomes

$$\frac{\delta N_i}{n_{e0}} = \frac{\delta n_e}{n_{e0}} - \frac{\delta n_{ipol}}{n_{e0}} - \frac{\delta n_f}{n_{e0}} \qquad (e.g. \text{ KSTAR FIRE mode} : T_f/T_e \sim 10)$$

$$= \frac{e\tilde{\phi}}{T_e} - (1 - f)\rho_s^2 \nabla_{\perp}^2 \frac{e\tilde{\phi}}{T_e} - \rho_s^2 \nabla_{\perp}^2 \frac{e\langle\phi\rangle}{T_e} \qquad \text{where } f \equiv \frac{n_{f0}}{n_{e0}} \text{ fast ion population}$$

$$\frac{DW \text{ vorticity reduced by fast ion-induced dilution}}{DW \text{ vorticity reduced by fast ions}} \quad ZF \text{ vorticity unchanged by fast ions}$$

Hasegawa-Mima Equation with Fast lons

• Substituting δN_i to the continuity equation, we obtain the modified Hasegawa-Mima equation as follows.

$$\begin{split} &\frac{\partial}{\partial t} \{ \tilde{\phi} - (1 - f) \nabla_{\perp}^{2} \tilde{\phi} - \nabla_{\perp}^{2} \langle \phi \rangle \} + \left[\phi, \tilde{\phi} - (1 - f) \nabla_{\perp}^{2} \tilde{\phi} - \nabla_{\perp}^{2} \langle \phi \rangle \right] - \eta_{n} \frac{\partial \phi}{\partial y} = 0 \quad \text{where} \quad \eta_{n} \equiv \frac{L_{ni}}{L_{ne}} \\ &\left(\text{ Normalization:} \quad \frac{e\phi}{T_{e}} \to \phi, \quad \partial_{t} \to \Omega_{i} \partial_{t}, \quad \nabla \to \rho_{s} \nabla. \right) \end{split}$$

- E×B nonlinearity is unchanged; Hasegawa-Mima nonlinearity (\leftrightarrow Reynolds stress) is reduced by (1 f).
- After linearization we obtain the electron DW eigenfrequency

 $\omega = \frac{(1-f)\eta_n}{1+(1-f)k_{\perp}^2}\omega_* \quad \checkmark$

is **considerably decreased** by thermal ion dilution (1 - f) and profile gradient reduction $\eta_n < 1$.

Dilution Effect on Zonal Flow Generation

• As a consequence, with fast ions, the modulational zonal flow growth rate Γ becomes



$$\gamma_{\text{mod}}^{2} \cong 2(1-f)k_{y}^{2}q_{x}^{2} |\tilde{\phi}_{0}|^{2} \quad \text{Reynolds stress drive is reduced}$$
$$\Delta_{\text{mm}}^{2} \equiv \left\{ \frac{1}{2} \left((\omega_{0} - \omega_{+}) + (\omega_{0} + \omega_{-}) \right) \right\}^{2} \cong (1-f)^{4} \eta_{n}^{2} k_{y}^{2} q_{x}^{2}$$

Frequency mismatch is reduced much more strongly!

Therefore, we have significant reduction of threshold for zonal flow growth by fast ions.
 In other words, we have an easier zonal flow generation with fast ions!

ZF Generation from Broadband Turbulence

• Using wave-kinetic equation and zonal flow vorticity equation, a standard calculation with fast ions yields

$$-i\Omega = -(1-f)^2 q^2 \eta_n \sum_{\mathbf{k}} \frac{k_y^2 \omega_*}{\left[1 + (1-f)k_\perp^2\right]^2} R_q k_x \frac{\partial \langle N \rangle}{\partial k_x}, \qquad R_q^{-1} \simeq -i\left(\Omega - qv_{gx}\right) + 2\gamma$$

where
$$N(\mathbf{x}, \mathbf{k}, t) = \frac{\mathcal{E}_{\mathbf{k}}}{\omega_{\mathbf{k}}} = \frac{\left[1 + (1 - f)k_{\perp}^{2}\right]}{\omega_{\mathbf{k}}} \left|\tilde{\phi}_{\mathbf{k}}\right|^{2}$$
 Wave action density

Likely relevant to core confinement enhancement

- We have two limiting forms of the zonal flow dispersion relation as follows.
 - 1. Strong turbulence (resonant) regime

$$\Gamma \simeq -(1-f)^2 q^2 \eta_n \sum_{\mathbf{k}} \frac{k_y^2 \omega_*}{\left[1+(1-f)k_{\perp}^2\right]^2} \frac{1}{2\gamma} k_x \frac{\partial \langle N \rangle}{\partial k_x}$$

$$\Omega \simeq (1-f)^2 q^2 \eta_n \sum_{\mathbf{k}} \frac{k_y^2 \omega_*}{\left[1 + (1-f)k_\perp^2\right]^2} \frac{1}{\Omega - q v_{gx}} k_x \frac{\partial \langle N \rangle}{\partial k_x}$$

 \Rightarrow Recover the 3+3-wave calculation with

$$qv_{gx} = -\frac{2(1-f)^2 \eta_n \omega_* qk_x}{\left[1 + (1-f)k_{\perp}^2\right]^2}$$
 Continuum version of Frequency Mismatch

[G.J. Choi, P.H. Diamond and T.S. Hahm, Nucl. Fusion 64, 016029 (2024)]

Dilution Effect on Turbulence-ZF System



[G.J. Choi, P.H. Diamond and T.S. Hahm, Nucl. Fusion 64, 016029 (2024)]

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Predator-Prey model with Dilution Effect

• Put everything together, for weak turbulence regime relevant to core turbulence,

ZF:
$$\partial_t u^2 = \sqrt{\gamma_{\text{mod}}^2 - \Delta_{\text{mm}}^2 H(\gamma_{\text{mod}} - \Delta_{\text{mm}})u^2 - (1 - f)\gamma_{d(0)}u^2}$$

DWT:
$$\partial_t \mathcal{E} = 2\gamma \mathcal{E} - \sqrt{\gamma_{\text{mod}}^2 - \Delta_{\text{mm}}^2 H(\gamma_{\text{mod}} - \Delta_{\text{mm}})u^2 - (1-f)B\mathcal{E}^2}$$

- The general expression for the nontrivial fixed point : $\gamma^2_{mod} = \Delta^2_{mm} + \gamma^2_d$
 - That is, either DW frequency mismatch or collisional ZF damping provide the threshold for ZF generation.
- ⇒ Collisionless limit relevant to core confinement enhancement:

$$\mathcal{E} \approx \frac{(1-f)^3 \eta_n^2 \Delta_{\text{mm}(0)}^2}{A'}$$

ned by $\gamma_{\text{mod}}^2 = (1-f)A'\mathcal{E}$

which is determined by a balance between

 $\gamma_{\text{mod}}^2 = (1 - f)A'\mathcal{E}$ $\Delta_{\text{mm}}^2 = (1 - f)^4 \eta_n^2 \Delta_{\text{mm}(0)}^2$

Significant reduction by fast ion-induced dilution

- ~ 1/3 for $f \sim 1/3$
- : modulational zonal flow drive
- : frequency mismatch
- [G.J. Choi, P.H. Diamond and T.S. Hahm, *Nucl. Fusion* **64**, 016029 (2024)]

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Predictive Modelling of FIRE mode

ASTRA CHEASE A FIRE mode, #26043 @4.3s $t \rightarrow t + \Delta t$ Equilibrium Integrated suite of code, TRIASSIC used FRANTIC Neutral **NCLASS** Several effects evaluated with TGLF Neo-TRIASSIC source classica transpoi : Dilution effect, ExB shearing effect, electromagnetic fluctuation Neutral Anomalous beam transport Exp.(fitting) All considered No dilution No $\omega_{E\times B}$ No δB_{\perp} TGLF NUBEAM [C.Y. Lee et al., Nucl. Fusion **61**, 96020 (2021)] n_e T_e T_i 10.0 Courtesy: Y.-S. Na [keV] T_i [keV] [10¹⁹m⁻ 7.5 5.0 ٩ De 2.5 0.00 0.25 0.50 0.75 1.00 0.00 0.25 0.50 0.000.25 0.50 0.75 1.000.751.00 ρ_N ρ_N ρ_N $\theta_{trapped} = 0.5$ used to match the growth rate of ion-scale turbulence from GKW

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FIRE mode Edge shows I-mode-like feature



[C.H. Heo et al., submitted to Nucl. Fusion (2024)]

WCM observed in FIRE mode

Broadband turbulence of L-mode is replaced by the WCM (Weakly Coherent Mode)-like fluctuation!



[C.H. Heo et al., submitted to Nucl. Fusion (2024)]

Nonlinear WCM-Zonal Flow Interaction

- Cross-bicoherence analyses of FIRE mode have revealed the existence of zonal flow (2 4 kHz) which
 nonlinearly interact with WCM at the location where WCM is the strongest.
- The zonal flow consistently shows phase delay with respect to the WCM, manifesting predator (ZF)-prey (WCM) relation between the two.
- Ongoing effort to measure zonal flow velocity: so far, what we have observed is zonal density, to be precise.



[C.H. Heo et al., submitted to Nucl. Fusion (2024)]

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Fast Ion-Driven Electrostatic Mode

- CGYRO simulations of FIRE mode have found a long-wavelength fast ion-driven electrostatic mode, which significantly contributes to both zonal flow generation and transport.
- Ongoing collaboration with NIFS on theory & simulation to identify its characteristics and fully understand its impact. (cf. [B.J. Kang-T.S. Hahm, *Phys. Plasmas* 26, 042501 (2019)], [B.J. Kang *et al.*, submitted to *Phys. Lett. A* (2024)] on theory of fast ion-driven electrostatic mode)



[D. Kim et al., Nucl. Fusion 64, 066013 (2024)]

Fast Ion-Driven Alfvenic Mode

- In the later phase of FIRE mode, we sometimes observe fast ion-driven AE which degrade plasma performance.
- In addition, core-localized lower-frequency Alfvenic mode is often observed, of which frequency $\sim \omega_{*pi,th} \Rightarrow LFAM?$
- It has weak impact on performance for weaker $B_T = 1.8$ T, whereas the impact is quite significant for higher $B_T = 2.5$ T.
- Ongoing global gyrokinetic simulations on these Alfvenic modes.

Fast Ion-Driven Alfvenic Mode

Future

Heading to understand 3-animal interactions in FIRE mode
 ⇒ Condition to utilized fast ion-driven modes to further
 enhance FIRE mode performance?

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Advanced NBI Control for FIRE mode

Main features of the <u>"Advanced NBI Control System"</u> Application to <u>"FIRE mode"</u>

1. <u>Decoupling beam</u> power and energy control Avoid n=1 mode while sustain ion temperature (Operation window expansion)

2. <u>In-shot continuous</u> <u>control</u>

MHD-free state access (sustaining high Ti level)

Courtesy: S.C. Hong

Extension toward Higher Density

- In 2024 KSTAR campaign, we attempt to extend FIRE mode to higher electron density n_e by increasing I_p + actively using gas puffing, SMBI and pellet injection.
- In addition, ITB broadening will be investigated by controlling NBI (P_{NBI} , V_{NBI}).

Comparative Physics Study with ST40

- Recent collaboration with ST40 toward establishment of novel operation strategy to burning plasma.
- As ST40 fast ion mode has higher n_e & lower T_{i,e} than KSTAR FIRE mode, comparative physics study and mutual benchmarking are ongoing.

$R/a(m)$ ~ 1.8/0.45 m κ ~ 1.7 δ 0.6 (up) I_p ~ 600 kA B_T (T) 1.8 T	Hot lon mode
κ ~1.7 δ 0.6 (up) 0.25 (lower) λ I_p ~ 600 kA B_T (T) 1.8 T	$\sim 0.4/0.25~m$
δ $0.6 (up) \\ 0.25 (lower)$ I_p ~ 600 kA B_T (T) $1.8 T$	~ 1.5
I_p ~ 600 kA B_T (T) 1.8 T	0.4 – 0.5 (symmetric)
B _T (T) 1.8 T	~ 600 <i>kA</i>
- 40	1.9 <i>T</i>
q_{95} 4.2	9
<i>E_{nb}</i> (kV) 90 / 70 / 90 <i>kV</i>	24 / 55 <i>kV</i>
P _{nbi} (MW) 1.4 / 1.6 /0.7 <i>MW</i> (Total 3.7 MW)	~ 0.7 / ~0.9 <i>MW</i> (Total 1.6 MW)
Configuration Diverted (USN, Unfavorable)	Limited
Coil Superconductor	
First Wall Graphite	Copper

Courtesy: J.H. Lee

Conclusion

- Electromagnetic (finite- β) effect, dilution and ExB flow shear work together leading to core confinement enhancement in KSTAR FIRE mode.
- In FIRE mode, dilution of thermal ion due to fast ion population appears to be the representative fast ion effect on confinement.
 - Linear stabilization of ITG turbulence
 - Enhancement of zonal flow self-generation & suppression of transport fluxes
- Ongoing works on physics study of I-mode-like feature of FIRE mode edge and fast ion-driven electrostatic/Alfvenic modes.
- Attempts to maximize performance and extend to higher density by advanced NBI control, together with gas puffing, SMBI, and pellet injection.