

# Calculation of TAE mode structure in general axisymmetric toroidal geometry<sup>[1]</sup>

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[1] G. Wei, M. V. Falessi, T. Wang, F. Zonca, and Z. Qiu. Physics of Plasmas 31, 072505 (2024).

- **Background**
- **Theoretical framework**
  - Model equations: ideal MHD equations in ballooning space
  - Solving method: Floquet solutions  $\Rightarrow$  boundary condition
- **Numerical results**
  - Frequency and mode structure of TAE in DTT (slow sound approximation)
  - Effect of triangularity on TAE (slow sound approximation)
  - Finite damping rate and radial singular structure of TAE due to the coupling with acoustic continuum (full SAW-ISW system)
- **Summary and prospect**

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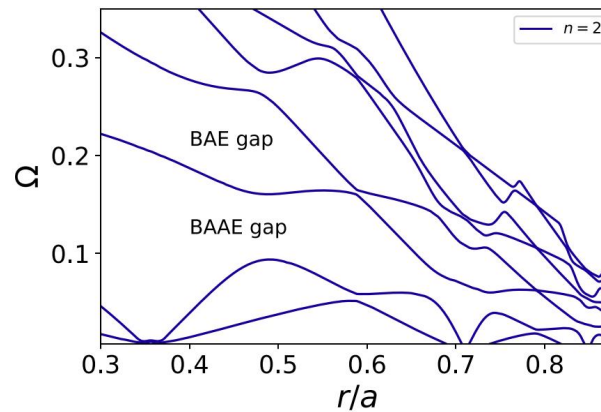
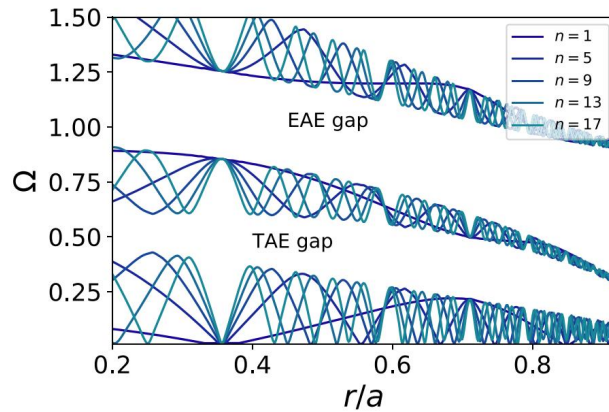
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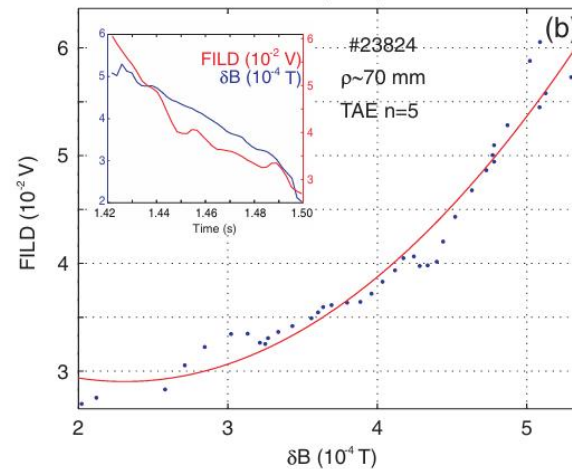
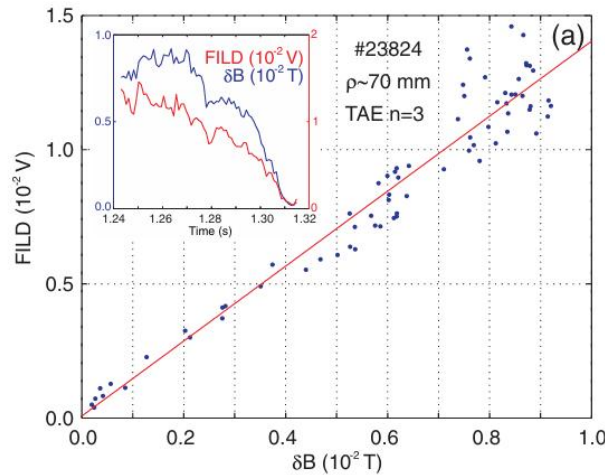
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## Continuous spectrum and Alfvén eigenmodes (AEs)

Continuous spectrum in DTT device using FALCON [Falessi PoP 19, Falessi JPP 20]



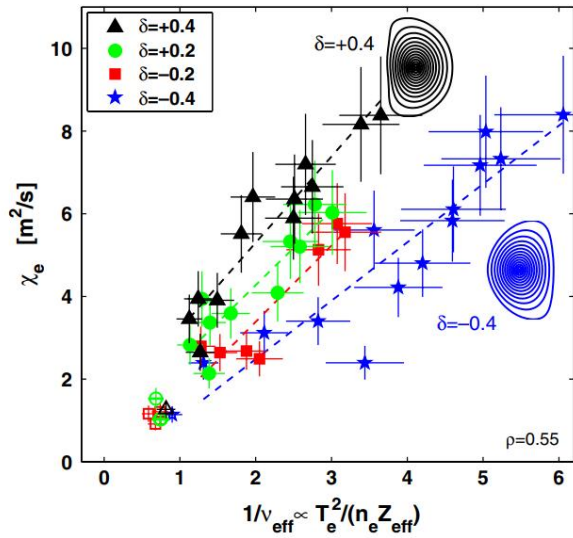
Convective and diffusive EP losses induced by TAE in ASDEX Upgrade [García-Munõz PRL 10]



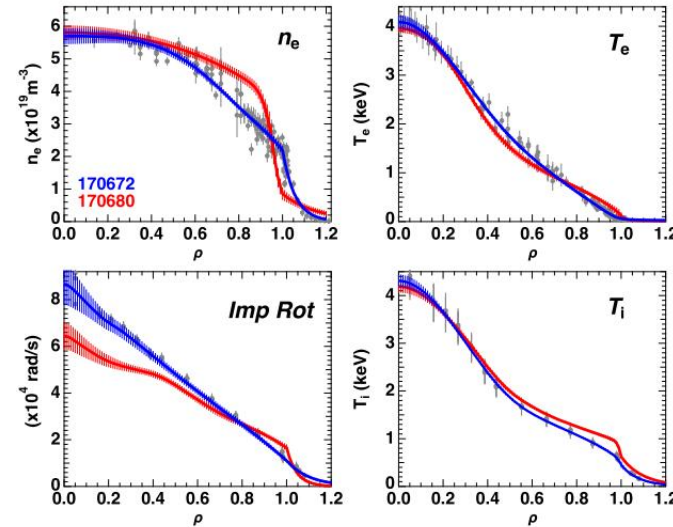
Calculation of frequency  
and mode structure of AE  
is important!

## Effect of negative triangularity (NT)

Strong reduction of electron heat flux in NT was first observed in TCV [Camenen NF 07]

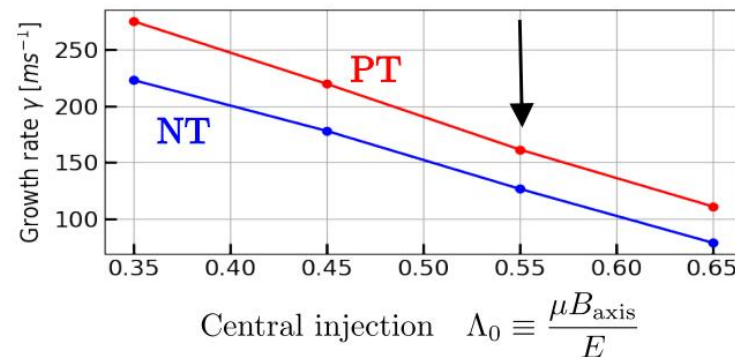
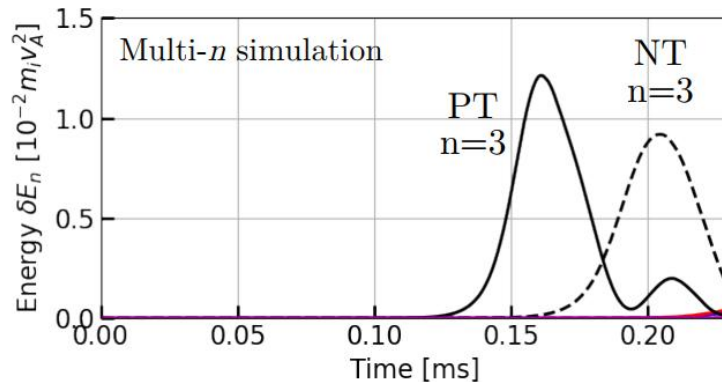


DIII-D team showed the H-mode-like confinement in NT L-mode, natural ELM-free [Austin PRL 19]



Red: PT  
Blue: NT

MEGA simulation shows that TAEs have lower energy and growth rate in NT [Oyola IAEA 23]



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- Vorticity equation [Chen PoP 17], derived from quasi-neutrality condition  $\nabla \cdot \delta \mathbf{J} = 0$ :

$$\begin{aligned} \mathcal{L}_A(\Phi_s) &\equiv B_0 \nabla_{\parallel} \left( \frac{1}{B_0} \nabla_{\perp}^2 \nabla_{\parallel} \Phi_s \right) - \nabla_{\perp} \cdot \left( \frac{\partial_t^2}{v_A^2} \nabla_{\perp} \Phi_s \right) \\ &\quad + \frac{8\pi}{B_0} (\mathbf{b}_0 \times \boldsymbol{\kappa}) \cdot \nabla_{\perp} \left[ \frac{\mathbf{b}_0}{B_0} \cdot (\nabla P_0 \times \nabla_{\perp} \Phi_s) \right] \\ &\quad + \frac{4\pi}{cB_0} [\mathbf{b}_0 \times \nabla_{\perp} (\nabla_{\parallel} \Phi_s)] \cdot \nabla J_{0\parallel} \\ &= -\frac{8\pi}{cB_0} (\mathbf{b}_0 \times \boldsymbol{\kappa}) \cdot \nabla_{\perp} \delta P_{\text{comp}}. \end{aligned} \quad (1)$$

- Perturbed pressure equation:

$$\begin{aligned} \mathcal{L}_S(\delta P_{\text{comp}}) &\equiv \partial_t^2 \delta P_{\text{comp}} - c_S^2 B_0 \nabla_{\parallel} \left( \frac{1}{B_0} \nabla_{\parallel} \delta P_{\text{comp}} \right) \\ &= -\frac{2\Gamma P_0 c}{B_0} (\mathbf{b}_0 \times \boldsymbol{\kappa}) \cdot \nabla_{\perp} \partial_t^2 \Phi_s. \end{aligned} \quad (2)$$

- $\Phi_s \rightarrow$  perturbed stream function,  $\delta \boldsymbol{\xi}_{\perp} = \frac{c}{B_0} \mathbf{b} \times \nabla \Phi_s$ ;  $\delta P_{\text{comp}} = -\Gamma P_0 \nabla \cdot \delta \boldsymbol{\xi}$   
 $\rightarrow$  compressional component of perturbed pressure.

- Equilibrium magnetic field:

$$\mathbf{B}_0 = F(\psi)\nabla\varphi + \nabla\psi \times \nabla\varphi.$$

- Boozer coordinates  $(\psi, \theta, \zeta)$ : magnetic field line is straightened;  $JB_0^2$  is a flux function.
- Ballooning mode representation [Lu PoP 12]:

$$\begin{aligned} f(r, \theta, \zeta) &= \sum_{m \in \mathbb{Z}} A_n(r) e^{i(n\zeta - m\theta)} \int d\vartheta e^{i(m - nq)\vartheta} \hat{f}_n(r, \vartheta) \\ &= 2\pi A_n(r) \sum_{\ell \in \mathbb{Z}} e^{in\zeta - inq(\theta - 2\pi\ell)} \hat{f}_n(r, \theta - 2\pi\ell). \end{aligned} \tag{3}$$

$$\begin{aligned} f(r, \theta, \zeta) &= e^{in\zeta} f_n(r, \theta) = \sum_m e^{in\zeta - im\theta} f_{n,m}(r), \\ f_{n,m}(r) &= f_n(r, nq - m) = \int_{-\infty}^{+\infty} d\vartheta e^{-i(nq - m)\vartheta} \hat{f}_n(r, \vartheta), \\ \sum_m e^{im\vartheta} &= 2\pi \sum_{\ell} \delta(\vartheta - 2\pi\ell). \end{aligned}$$



- Mapping relation of ballooning mode representation:

$$\begin{aligned}\partial_\theta &\mapsto \partial_\vartheta - inq, \\ \partial_r &\mapsto inq'(\theta_k - \vartheta), \\ p(\theta) &\mapsto p(\vartheta).\end{aligned}$$

- Coupled SAW-ISW equations in ballooning space:

$$\begin{aligned}&\left[ \partial_\vartheta^2 - \frac{\partial_\vartheta^2 \hat{\kappa}_\perp}{\hat{\kappa}_\perp} + \frac{\omega^2 \mathcal{J}^2 B_0^2}{v_A^2} - 8\pi \mathcal{J}^2 \frac{r B_0 P'}{q \hat{\kappa}_\perp \psi'} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_\perp}{\hat{\kappa}_\perp |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_\perp |\nabla \psi|} \right) \right] g_1(\vartheta) \\ &= - (2\Gamma\beta)^{1/2} \frac{\mathcal{J}^2 B_0^2}{q R_0} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_\perp}{\hat{\kappa}_\perp |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_\perp |\nabla \psi|} \right) g_2(\vartheta), \\ &\left( \frac{\mathcal{J}^2 B_0^2}{q^2 R_0^2} + \frac{\omega_S^2}{\omega^2} \partial_\vartheta^2 \right) g_2(\vartheta) = - (2\Gamma\beta)^{1/2} \frac{\mathcal{J}^2 B_0^2}{q R_0} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_\perp}{\hat{\kappa}_\perp |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_\perp |\nabla \psi|} \right) g_1(\vartheta),\end{aligned}\tag{4}$$

- $g_1(\vartheta) = \frac{\hat{\phi}_s(\vartheta)}{(\beta q^2)^{1/2}} \frac{ck_\vartheta}{B_0 R_0}$  with  $\hat{\phi}_s(\vartheta) = \hat{\kappa}_\perp \hat{\Phi}_s(\vartheta)$ ,  $g_2(\vartheta) = \frac{i\delta\hat{P}_{comp}(\vartheta)}{(2\Gamma)^{1/2} P_0}$ , and
- $$\begin{aligned}\kappa_g &= \frac{F(\psi)}{|\nabla \psi|} \frac{\partial_\theta B_0}{\mathcal{J} B_0^2}, \quad \kappa_n = \frac{|\nabla \psi|}{B_0} \left( \partial_\psi B_0 + \frac{4\pi}{B_0} \partial_\psi P_0 \right) + \frac{\nabla \psi \cdot \nabla \theta}{|\nabla \psi|} \frac{\partial_\theta B_0}{B_0}, \\ \hat{\kappa}_\perp &= \frac{\mathbf{k}_\perp}{k_\theta} = \left[ (s\vartheta - \textcolor{red}{s}\theta_k) \nabla r + r \nabla \theta - \frac{r}{q} \nabla \zeta \right] (1 + \mathcal{O}(1/nq)).\end{aligned}$$

- Slow sound approximation [Chu PFB 92], valid for  $\omega \gg k_{\parallel} c_S$ :

$$\begin{aligned} & \left[ \partial_{\vartheta}^2 - \frac{\partial_{\vartheta}^2 \hat{\kappa}_{\perp}}{\hat{\kappa}_{\perp}} + \frac{\omega^2 \mathcal{J}^2 B_0^2}{v_A^2} - 8\pi \mathcal{J}^2 \frac{r B_0 P'}{q \hat{\kappa}_{\perp} \psi'} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_{\perp}}{\hat{\kappa}_{\perp} |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_{\perp} |\nabla \psi|} \right) \right] g_1 \\ &= 2\Gamma \beta \mathcal{J}^2 B_0^2 \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_{\perp}}{\hat{\kappa}_{\perp} |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_{\perp} |\nabla \psi|} \right)^2 g_1. \end{aligned} \quad (5)$$

- In the following, the model equations will be written in the following form for simplicity:

$$\frac{d}{d\vartheta} \mathbf{g}(\vartheta) = \mathbf{V}(\omega; \vartheta) \mathbf{g}(\vartheta)$$

- $\mathbf{g} = (g_1, g'_1, g_2, g'_2)^T$  in full SAW-ISW system, and  $\mathbf{g} = (g_1, g'_1)^T$  in slow sound approximation.

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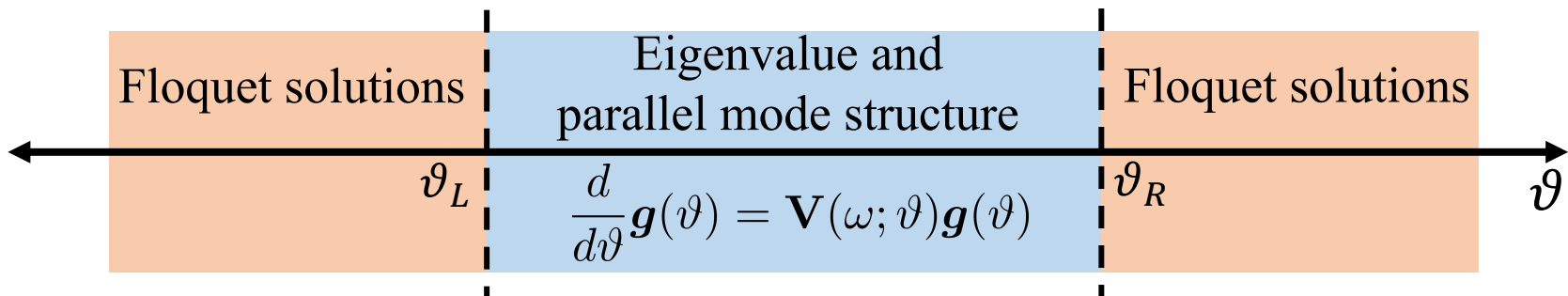
- In the large- $|\vartheta|$  limit,  $\mathbf{V}_0(\omega; \vartheta + 2\pi) = \mathbf{V}_0(\omega; \vartheta) \Rightarrow$  Floquet theory can be adopted.

$$\frac{d}{d\vartheta} \mathbf{g}(\vartheta) = \mathbf{V}(\omega; \vartheta) \mathbf{g}(\vartheta) \xrightarrow{|\vartheta| \rightarrow \infty} \frac{d}{d\vartheta} \mathbf{g}(\vartheta) = \mathbf{V}_0(\omega; \vartheta) \mathbf{g}(\vartheta)$$

- According to Floquet theory, it must have the solutions in the form of

$$\mathbf{x}_i(\omega; \vartheta) = \mathbf{P}_i(\vartheta) e^{i\nu_i \vartheta}.$$

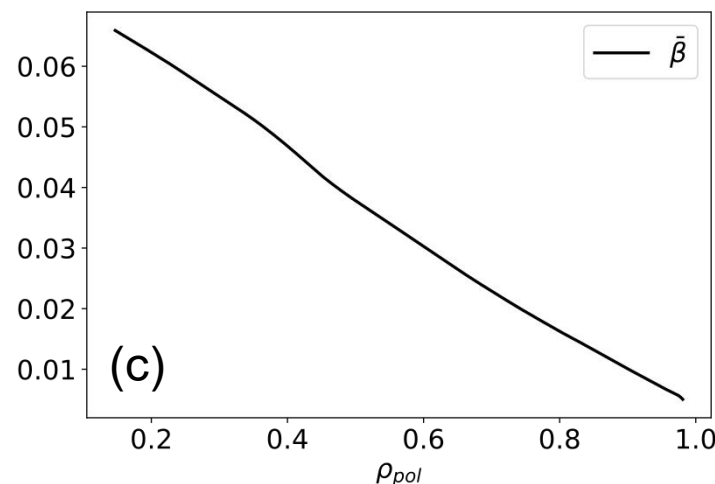
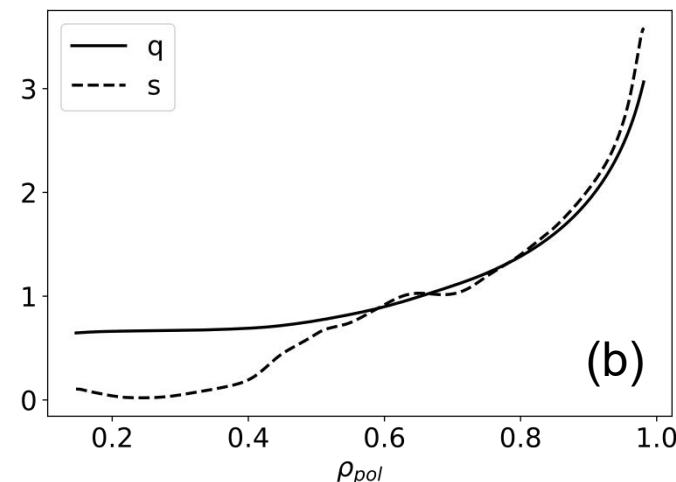
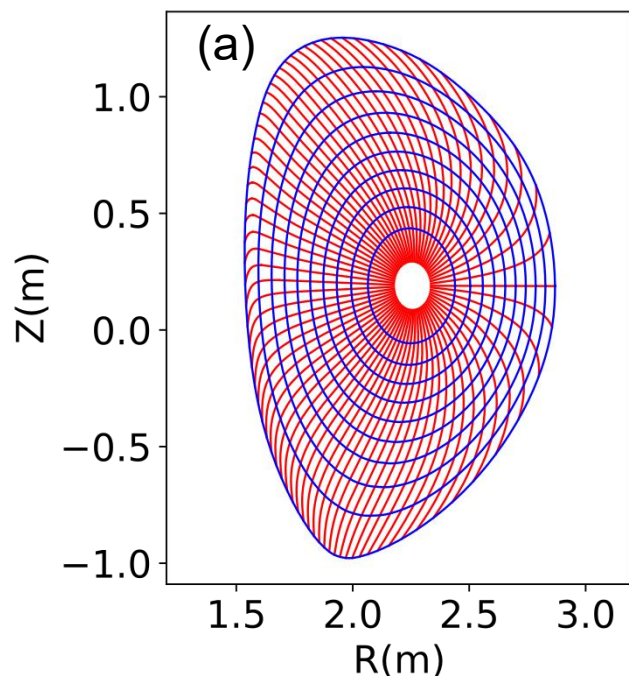
- For each  $\omega$  in the range of interest, we take the corresponding Floquet solutions  $\mathbf{x}_i(\omega; \vartheta)$  as the boundary condition.



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DTT: **D**ivertor **T**okamak **T**est facility, a D-shaped superconducting device, which is being built at the ENEA Research Center in Frascati, Italy.

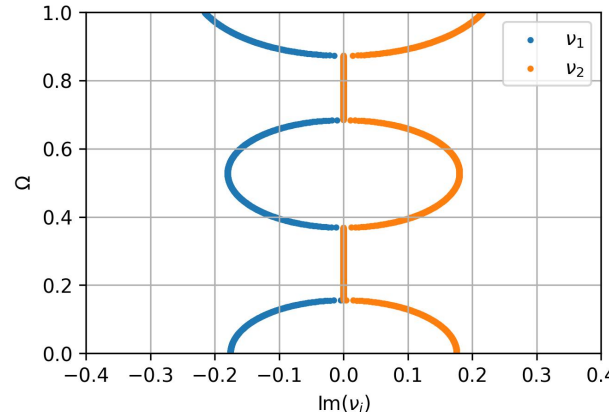
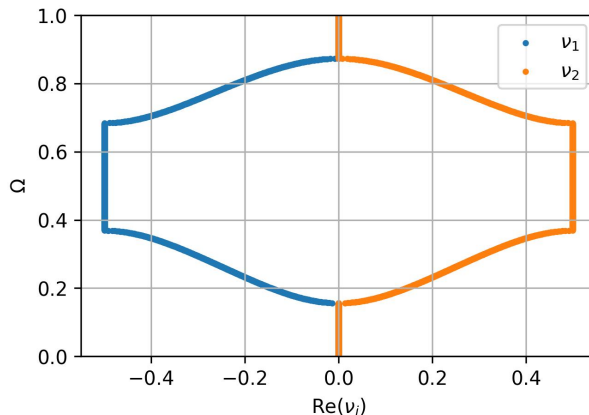
Parameter	DTT
Minor radius	$6.5 \times 10^{-1} \text{ m}$
Aspect ratio	3.3
Toroidal field	6.0 T
Plasma current	$5.5 \times 10^6 \text{ A}$
Additional power	$4.5 \times 10^7 \text{ W}$



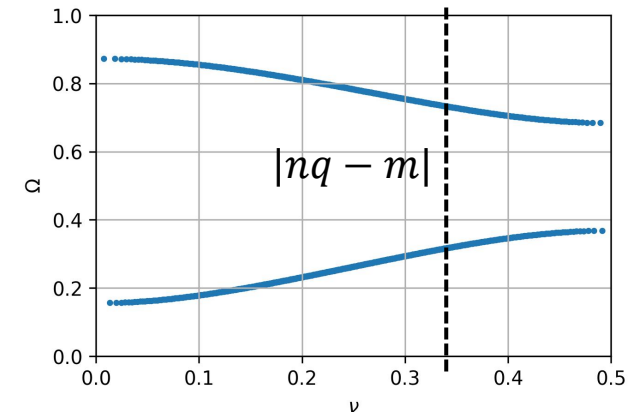
# Floquet solutions ( $\rho_{pol} = 0.702$ )



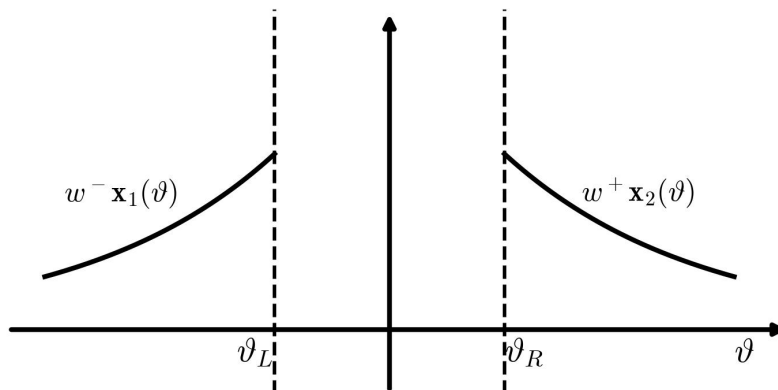
$$\Omega = \omega R_0 / \bar{v}_{A0}$$



$\nu = |nq - m|$  gives the frequencies of the local continuous spectrum.



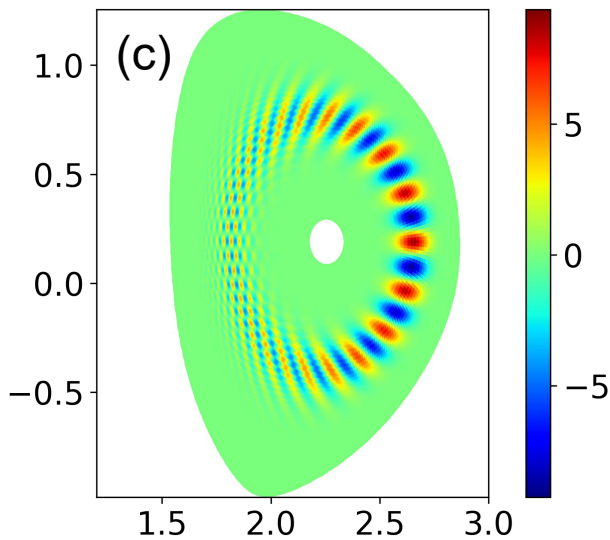
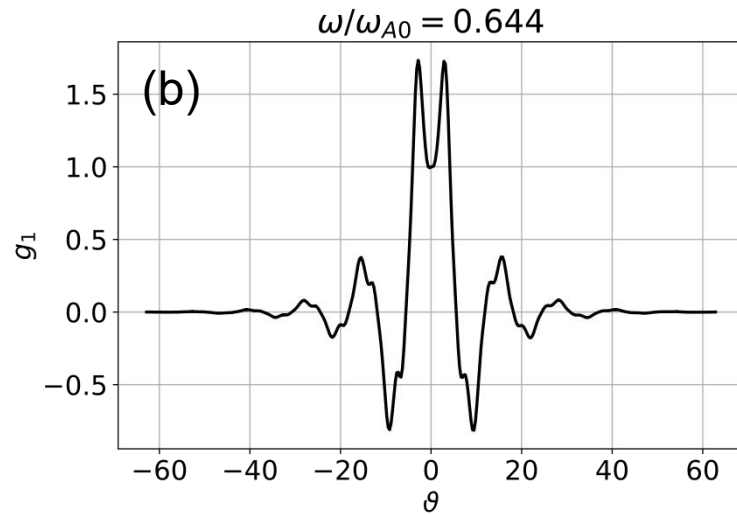
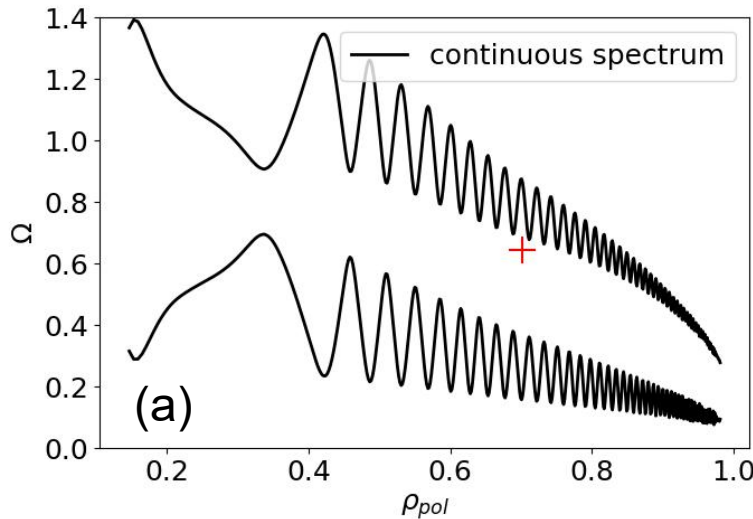
Boundary condition can be chosen easily from Floquet solutions  $\mathbf{x}_i(\vartheta) = \mathbf{P}_i(\vartheta)e^{i\nu_i\vartheta}$ .



Solutions of  $(g_1, g'_1)^T$  on the left and right matching at zero leads to

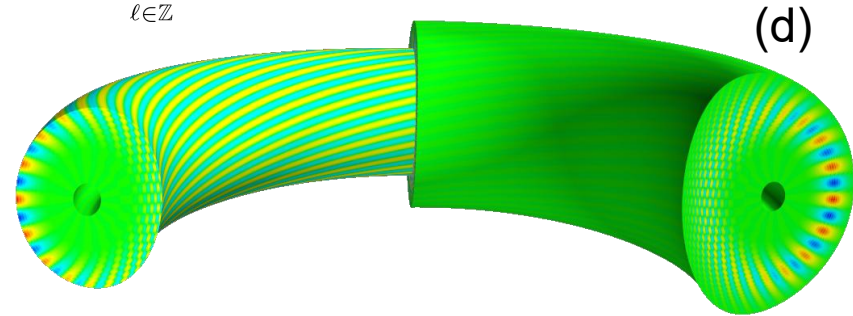
$$\mathbf{M}(\omega)\mathbf{w} = 0,$$

where  $\mathbf{w} = (w^-, w^+)^T$ .  $\det(\mathbf{M}(\omega)) = 0$  gives the dispersion relation.



$$f(r, \theta, \zeta) = \sum_{m \in \mathbb{Z}} A_n(r) e^{i(n\zeta - m\theta)} \int d\vartheta e^{i(m - nq)\vartheta} \hat{f}_n(\vartheta)$$

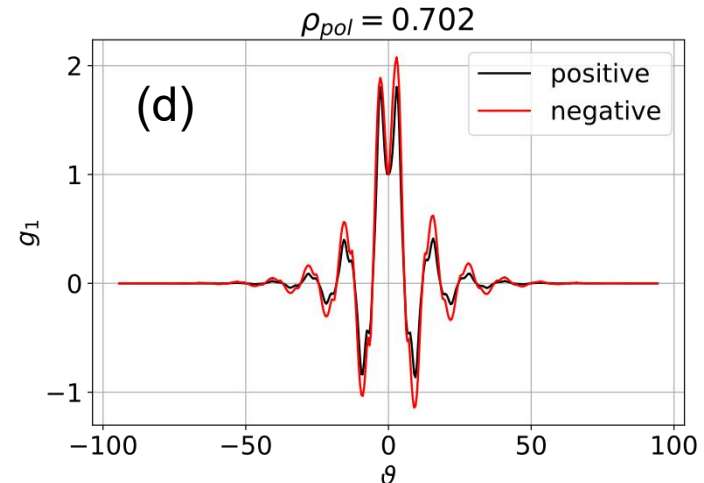
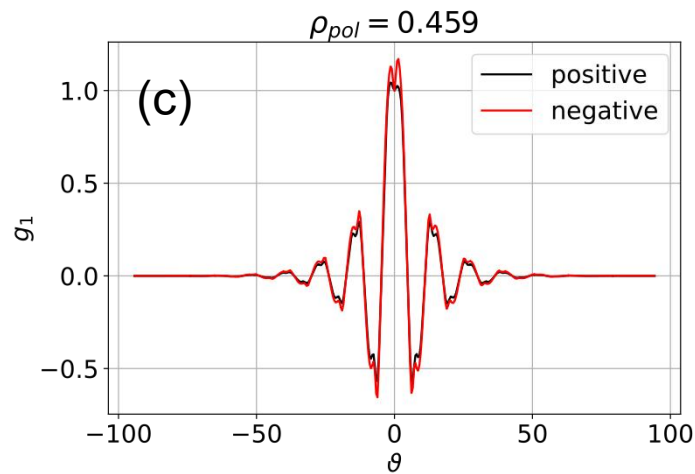
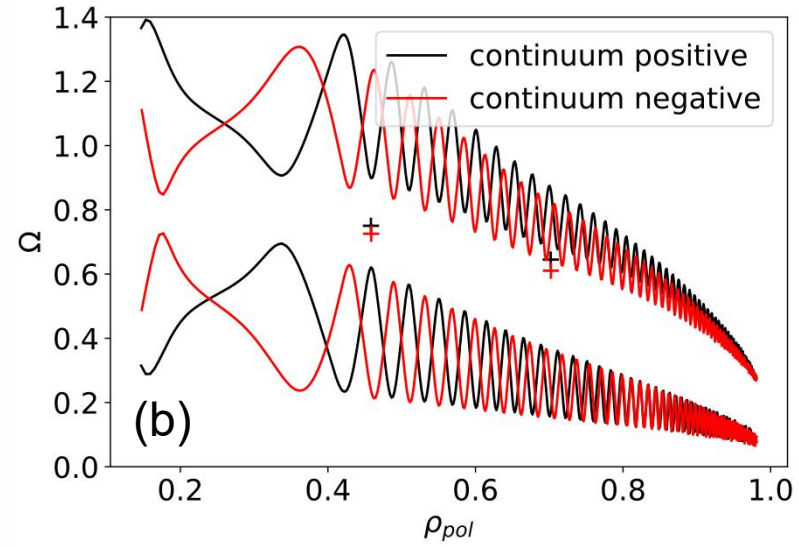
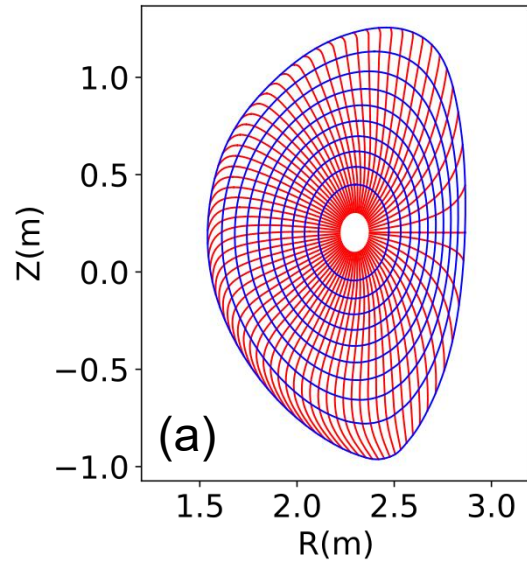
$$= 2\pi A_n(r) \sum_{\ell \in \mathbb{Z}} e^{in\zeta - inq(\theta - 2\pi\ell)} \hat{f}_n(\theta - 2\pi\ell).$$



(a) Continuous spectrum ( $n=20$ ) and location of TAE. (b) Parallel mode structure of TAE at  $\rho_{pol} = 0.702$ . (c) 2D (d) 3D mode structure ( $n=20$ ) obtained with an artificial radial envelop.



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(a) Contour lines of  $\psi$  and isolines of  $\theta$  for negative triangularity equilibrium. (b) Continuous spectrum for the two equilibria. (c) Parallel mode structure at  $\rho_{pol} = 0.459$  for the two equilibria. (d) Parallel mode structure at  $\rho_{pol} = 0.702$  for the two equilibria.

## Local Miller equilibrium [Miller PoP 99]

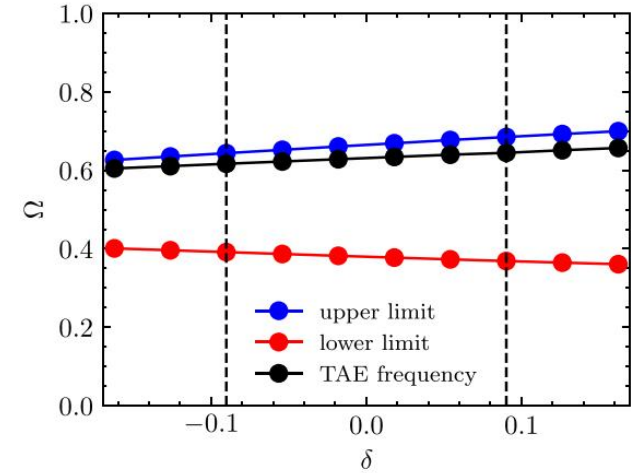
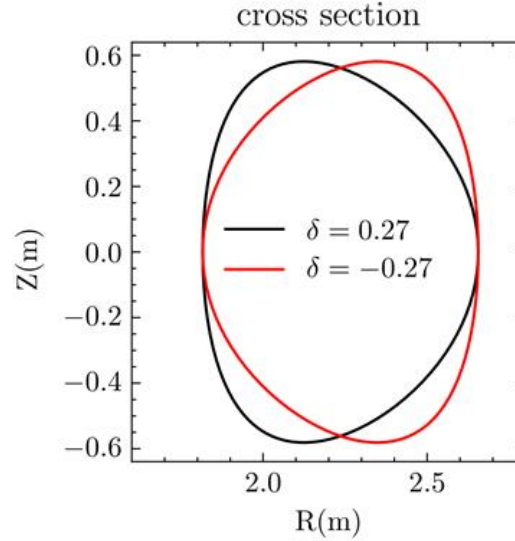
Flux surface:

$$R = R_0 + r \cos(\theta + \delta \sin \theta),$$

$$Z = \kappa r \sin \theta.$$

Magnetic field:

$$B_t = \frac{F}{R}, \quad B_p = \frac{\psi'}{R} |\nabla r|.$$



$$\left[ \partial_\theta^2 - \frac{\partial_\theta^2 \hat{\kappa}_\perp}{\hat{\kappa}_\perp} + \frac{\omega^2 \mathcal{J}^2 B_0^2}{v_A^2} - 8\pi \mathcal{J}^2 \frac{r B_0 P'}{q \hat{\kappa}_\perp \psi'} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_\perp}{\hat{\kappa}_\perp |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_\perp |\nabla \psi|} \right) \right] g_1$$

$$= 2\Gamma \beta \mathcal{J}^2 B_0^2 \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_\perp}{\hat{\kappa}_\perp |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_\perp |\nabla \psi|} \right)^2 g_1.$$

1. The value of  $\delta$  is generally small near the core region.
2. The dependence of the Jacobi on  $\delta$  is weak.
3. TAE is dominated by the  $\cos \theta$ -type variation of the magnetic field.

$$\mathcal{J}_0 = (\nabla \psi \times \nabla \theta \cdot \nabla \phi)^{-1} = \frac{1}{\psi'} \det \left[ \frac{\partial(R, Z)}{\partial(r, \theta)} \right] R$$

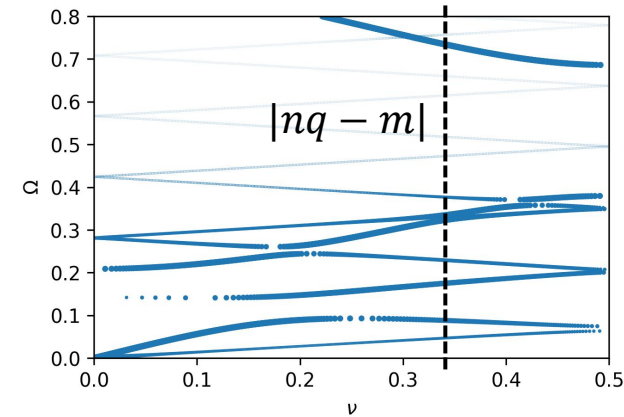
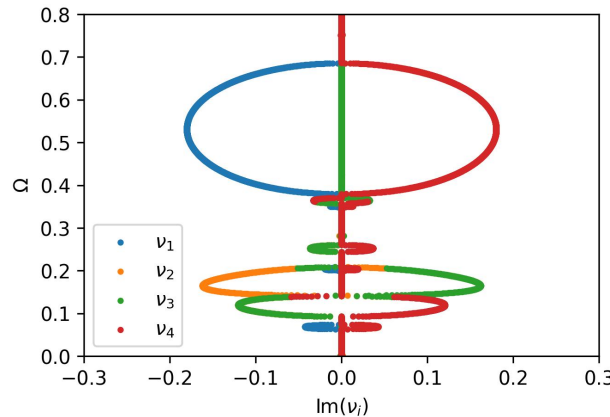
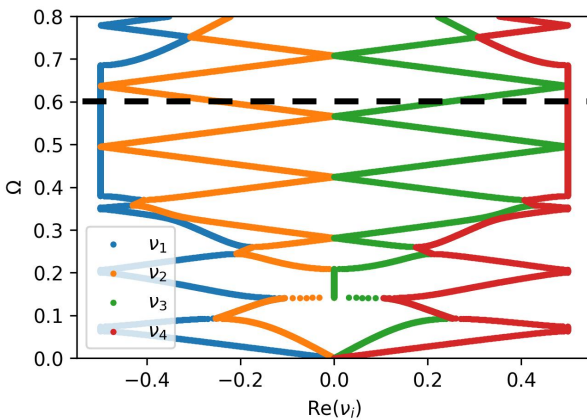
$$= \frac{r}{\psi'} \{ R'_0 \kappa \cos \theta + \kappa \cos(\delta \sin \theta) + \sin(\theta + \delta \sin \theta) \sin \theta [\cos \theta (\delta \kappa + r \delta \kappa' - r \delta' \kappa) + r \kappa'] \}$$

$$[R_0 + r \cos(\theta + \delta \sin \theta)].$$

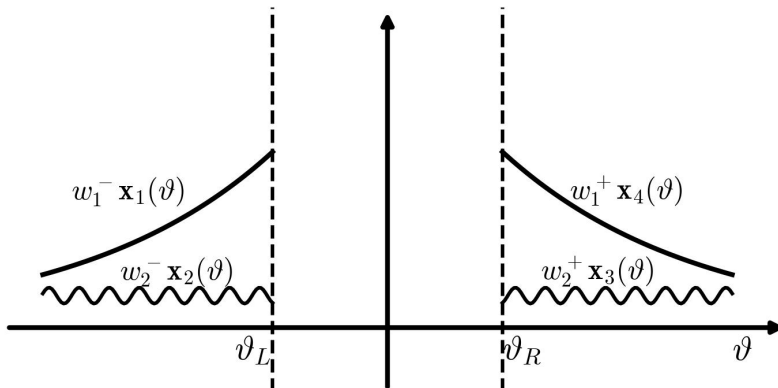
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Outgoing wave:  $\frac{\partial \omega}{\partial \nu} < 0$  on the left and  $\frac{\partial \omega}{\partial \nu} > 0$  on the right

$\nu = |nq - m|$  gives the frequencies of the local continuous spectrum.



Boundary condition can be chosen easily from Floquet solutions  $\mathbf{x}_i(\vartheta) = \mathbf{P}_i(\vartheta)e^{i\nu_i\vartheta}$ .



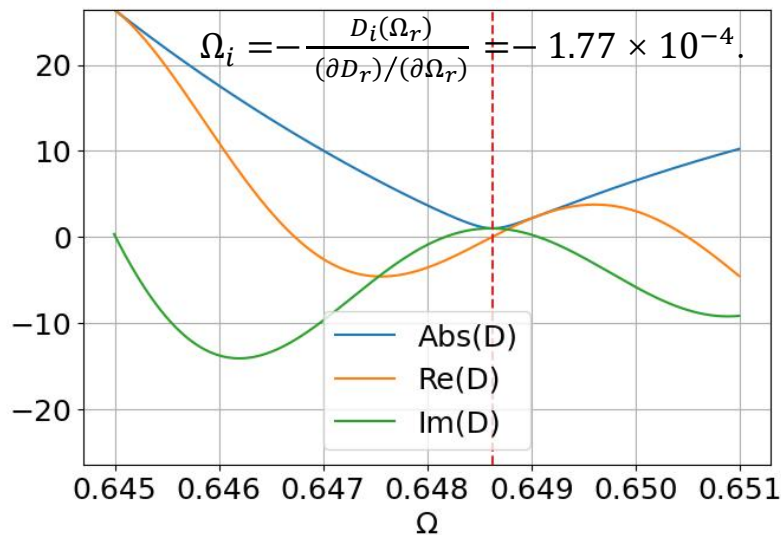
$$\mathcal{A} = \frac{\int_0^{2\pi} |g_1(\nu_i, \vartheta)|^2 d\vartheta}{\int_0^{2\pi} [|g_1(\nu_i, \vartheta)|^2 + |g_2(\nu_i, \vartheta)|^2] d\vartheta}$$

Solutions of  $(g_1, g'_1, g_2, g'_2)^T$  on the left and right matching at zero leads to

$$\mathbf{M}(\omega)\mathbf{w} = 0,$$

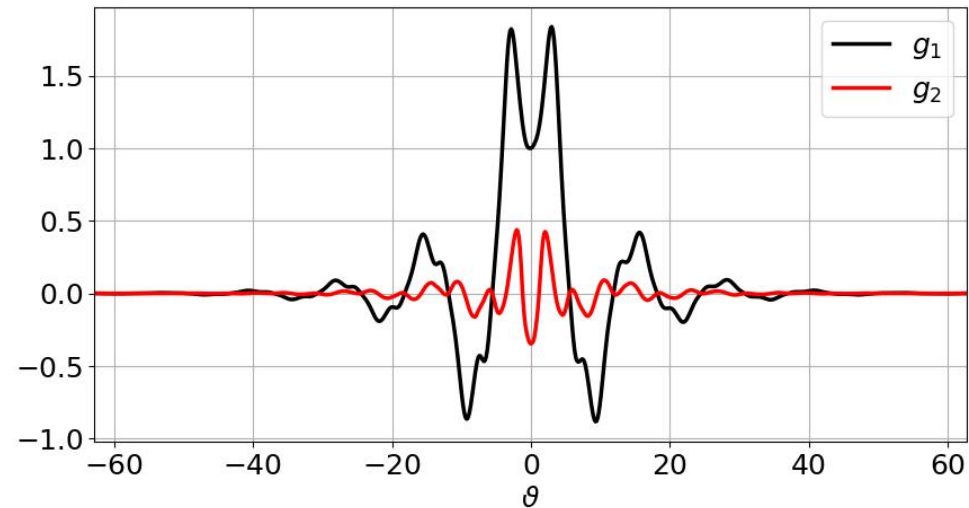
$\mathbf{w} = (w_1^-, w_2^-, w_1^+, w_2^+)^T$ .  $\det(\mathbf{M}(\omega)) = 0$  gives the dispersion function  $D(\omega)$ .

- Considering  $\Omega_i \ll \Omega_r$ ,  $\Omega_i$  can be solved perturbatively:  $\Omega_i = -\frac{D_i}{\partial D_r / \partial \Omega_r}$ .
- In the TAE gap ( $[\Omega_L, \Omega_U]$ ), find  $\Omega_r$  that minimizes  $|D(\Omega_r)|$ .

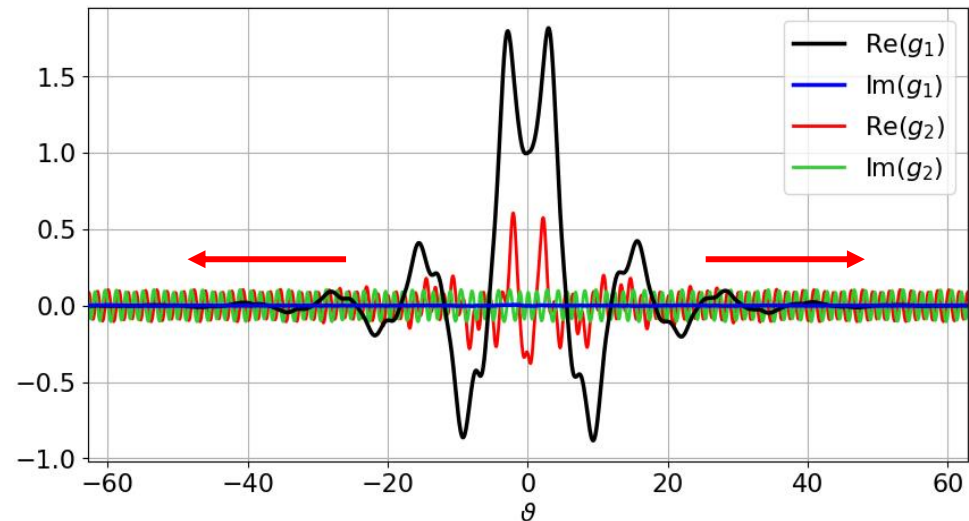


$$\bar{\beta} = 2.3\%$$

$$\Omega = 0.645$$

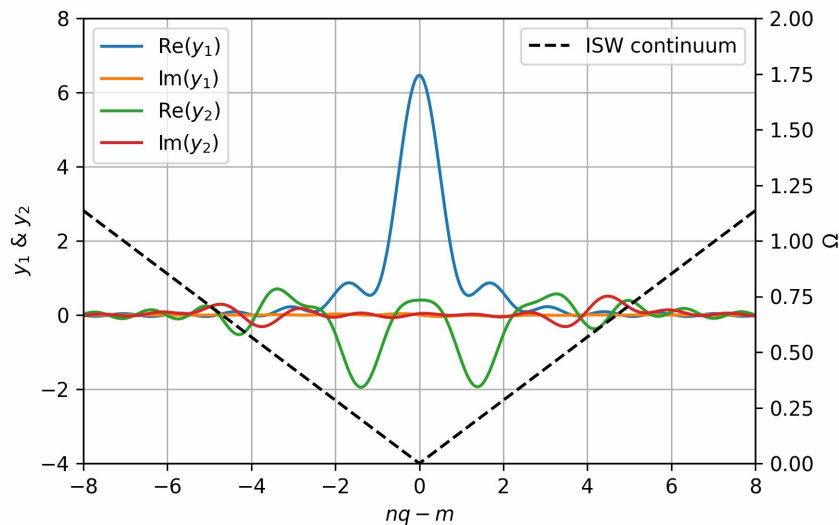


$$\Omega = 0.649 - 1.77 \times 10^{-4}i$$

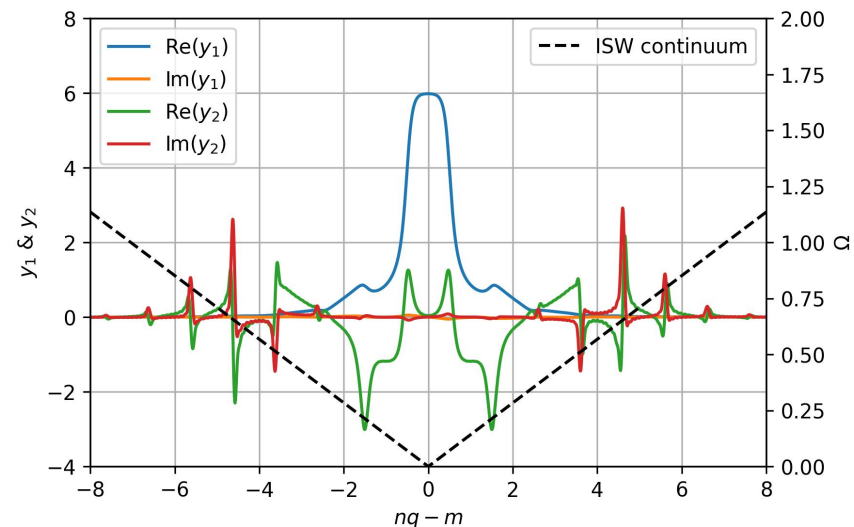


- m-th poloidal harmonic:  $f(nq - m) = \int_{-\vartheta_b}^{\vartheta_b} d\vartheta e^{-i(nq-m)\vartheta} \hat{f}(\vartheta)$ .
- By truncating a series of gradually increasing window sizes  $[-\vartheta_b, \vartheta_b]$  of the parallel mode structure and transforming them into real space, we may “simulate” the “time evolution” of the mode structure in real space.

“time evolution” of the mode structure in real space



Eigenmode solution with radial oscillation phase mixed away



- The generation of radial singular structure at the intersection of the mode frequency and the ISW continuum is observed.



- **Background**
- **Theoretical framework**
  - Model equations: ideal MHD equations in ballooning space
  - Solving method: Floquet solutions  $\Rightarrow$  boundary condition
- **Numerical results**
  - Frequency and mode structure of TAE in DTT (slow sound approximation)
  - Effect of triangularity on TAE (slow sound approximation)
  - Finite damping rate and radial singular structure of TAE due to the coupling with acoustic continuum (full SAW-ISW system)
- **Summary and prospect**



- We developed an eigenvalue code based on FALCON to calculate the frequency and parallel mode structure of AEs.
- Characteristics: **ideal MHD; ballooning mode representation; general geometry.**
- Slow sound approximation  $\Rightarrow$  focusing on TAE frequency range.
- Applications: frequency and parallel mode structure of TAE in DTT equilibrium; effect of triangularity.
- Novel result in the full SAW-ISW system: **finite damping rate and radial singular structure of TAE due to the coupling with acoustic continuum.**

- Extend to kinetic model to investigate various kinetic effects (ongoing work).
- Solve the global eigenvalue problem to get the radial envelope self-consistently.

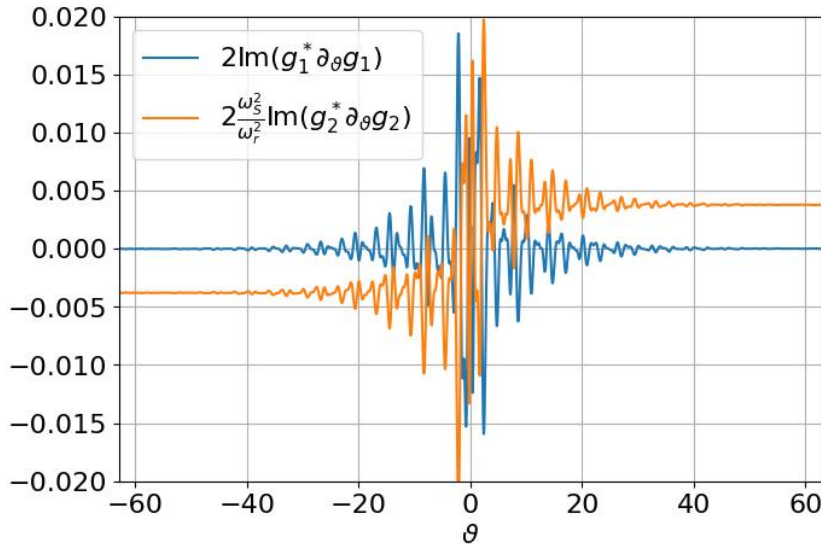
**Thanks for your attention!**

$$\left[ \partial_{\vartheta}^2 - \frac{\partial_{\vartheta}^2 \hat{\kappa}_{\perp}}{\hat{\kappa}_{\perp}} + \frac{\omega^2 \mathcal{J}^2 B_0^2}{v_A^2} - 8\pi \mathcal{J}^2 \frac{r B_0 P'}{q \hat{\kappa}_{\perp} \psi'} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_{\perp}}{\hat{\kappa}_{\perp} |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_{\perp} |\nabla \psi|} \right) \right] g_1(\vartheta) = - (2\Gamma\beta)^{1/2} \frac{\mathcal{J}^2 B_0^2}{q R_0} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_{\perp}}{\hat{\kappa}_{\perp} |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_{\perp} |\nabla \psi|} \right) g_2(\vartheta), \quad (a)$$

$$\left( \frac{\mathcal{J}^2 B_0^2}{q^2 R_0^2} + \frac{\omega_S^2}{\omega^2} \partial_{\vartheta}^2 \right) g_2(\vartheta) = - (2\Gamma\beta)^{1/2} \frac{\mathcal{J}^2 B_0^2}{q R_0} \left( \kappa_g \frac{\nabla \psi \cdot \hat{\kappa}_{\perp}}{\hat{\kappa}_{\perp} |\nabla \psi|} - \kappa_n \frac{r B_0}{q \hat{\kappa}_{\perp} |\nabla \psi|} \right) g_1(\vartheta), \quad (b)$$

$$\int_{-\infty}^{\infty} \{ g_1^* \cdot (a) - g_1 \cdot (a)^* + [g_2^* \cdot (b) - g_2 \cdot (b)^*] \} d\vartheta,$$

$$4i\omega_r\omega_i \int_{-\infty}^{\infty} \frac{\mathcal{J}^2 B_0^2}{v_A^2} |g_1|^2 d\vartheta = - (g_1^* \partial_{\vartheta} g_1 - g_1 \partial_{\vartheta} g_1^*) \Big|_{-\infty}^{\infty} - \frac{\omega_S^2}{\omega_r^2} (g_2^* \partial_{\vartheta} g_2 - g_2 \partial_{\vartheta} g_2^*) \Big|_{-\infty}^{\infty}.$$



- Variational principle:  $\Omega_i = -1.95 \times 10^{-4}$   
(perturbation method:  $\Omega_i = -1.77 \times 10^{-4}$ )
- Solution of  $\Omega$  in the complex space is consistent with these two approximate methods.